

## THE ACS VIRGO CLUSTER SURVEY. XII. THE LUMINOSITY FUNCTION OF GLOBULAR CLUSTERS IN EARLY-TYPE GALAXIES<sup>1</sup>

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### ABSTRACT

We analyze the luminosity function of the globular clusters (GCs) belonging to the early-type galaxies observed in the ACS Virgo Cluster Survey. We have obtained maximum likelihood estimates for a Gaussian representation of the globular cluster luminosity function (GCLF) for 89 galaxies. We have also fit the luminosity functions with an “evolved Schechter function”, which is meant to reflect the preferential depletion of low-mass GCs, primarily by evaporation due to two-body relaxation, from an initial Schechter mass function similar to that of young massive clusters in local starbursts and mergers. We find a highly significant trend of the GCLF dispersion  $\sigma$  with galaxy luminosity, in the sense that the GC systems in smaller galaxies have narrower luminosity functions. The GCLF dispersions of our Galaxy and M31 are quantitatively in keeping with this trend, and thus the correlation between  $\sigma$  and galaxy luminosity would seem more fundamental than older notions that the GCLF dispersion depends on Hubble type. We show that this narrowing of the GCLF in a Gaussian description is driven by a steepening of the cluster mass function above the classic turnover mass, as one moves to lower luminosity host galaxies. In a Schechter function description, this is reflected by a steady decrease in the value of the exponential cutoff mass scale. We argue that this behavior at the high-mass end of the GC mass function is most likely a consequence of systematic variations of the initial cluster mass function rather than long-term dynamical evolution. The GCLF turnover mass  $M_{\text{TO}}$  is roughly constant, at  $M_{\text{TO}} \simeq (2.2 \pm 0.4) \times 10^5 M_{\odot}$  in bright galaxies, but it decreases slightly (by  $\sim 35\%$  on average, with significant scatter) in dwarf galaxies with  $M_{B,\text{gal}} \gtrsim -18$ . It could be important to allow for this effect when using the GCLF as a distance indicator. We show that part, although perhaps not all, of the variation could arise from the shorter dynamical friction timescales in less massive galaxies. We probe the variation of the GCLF to projected galactocentric radii of 20–35 kpc in the Virgo giants M49 and M87, finding that the turnover point is essentially constant over these spatial scales. Our fits of evolved Schechter functions imply average dynamical mass losses ( $\Delta$ ) over a Hubble time that vary more than  $M_{\text{TO}}$ , and systematically but nonmonotonically as a function of galaxy luminosity. If the initial GC mass distributions rose steeply toward low masses as we assume, then these losses fall in the range  $2 \times 10^5 M_{\odot} \lesssim \Delta < 10^6 M_{\odot}$  per GC for all of our galaxies. The trends in  $\Delta$  are broadly consistent with observed, small variations of the mean GC half-light radius in ACSVCS galaxies, and with rough estimates of the expected scaling of average evaporation rates (galaxy densities) versus total luminosity. We agree with previous suggestions that if the full GCLF is to be understood in more detail, especially alongside other properties of GC systems, the next generation of GCLF models will have to include self-consistent treatments of dynamical evolution inside time-dependent galaxy potentials.

*Subject headings:* galaxies: elliptical and lenticular, cD — galaxies: star clusters — globular clusters: general

*Online material:* color figures, machine-readable table

### 1. INTRODUCTION

One of the remarkable features of the systems of globular clusters (GCs) found around most galaxies is the shape of their luminosity function, or the relative number of GCs with any given magnitude. Historically most important has been the fact that these distributions always appear to peak, or turn over, at a GC absolute magnitude around  $M_{V,\text{TO}} \approx -7.5$  (e.g., Harris 2001), corresponding roughly to a mass of  $M_{\text{TO}} \sim 2 \times 10^5 M_{\odot}$ . The near universality of this magnitude/mass scale for GCs has motivated

the widespread use of the globular cluster luminosity function (GCLF) as a distance indicator (see Harris 2001; Ferrarese et al. 2000), and it has also posed one of the longest standing challenges to theories of GC formation and evolution.

In recent years, some significant amount of attention has also been paid to the way that GCs are distributed in *mass* around the peak of the GCLF. Traditionally, the full GCLF has most often been modeled as a Gaussian distribution in magnitude, corresponding to a lognormal distribution of GC masses. However, if one focuses only on the distribution of GCs above the point where the magnitude distribution turns over, it is found that the mass function can usually be described by a power law (Harris &

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Pudritz 1994), or perhaps a Schechter (1976) function (Burkert & Smith 2000), which is very similar to the mass distributions of giant molecular clouds and the young massive star clusters forming in starbursts and galaxy mergers in the local universe (e.g., Zhang & Fall 1999). The main difference between ancient GCs and the present-day sites of star cluster formation is then that the mass functions of the latter rise steeply upward toward masses much less than  $M_{\text{TO}} \sim 10^5 M_{\odot}$ , far exceeding the observed frequency of such low-mass GCs.

There are two main possibilities to explain this fundamental difference. The first is that the conditions of star cluster formation in the early universe when GCs were assembling may have favored the formation of objects with masses in a fairly narrow range around  $\sim 10^5\text{--}10^6 M_{\odot}$  (to the exclusion, in particular, of much smaller masses). These conditions would no longer prevail in the environments forming young clusters in the nearby universe. Some theoretical models along these lines invoke the  $\sim 10^6 M_{\odot}$  Jeans mass at the epoch of recombination (Peebles & Dicke 1968), the detailed properties of  $\sim 10^6 M_{\odot}$  cold clouds in a two-phase protogalactic medium (Fall & Rees 1985), and reionization-driven compression of the gas in subgalactic ( $\lesssim 10^7 M_{\odot}$ ) dark matter halos (Cen 2001).

The second possibility is that GCs were in fact born with a wide spectrum of masses, like that observed for young star clusters, extending from  $10^6\text{--}10^7 M_{\odot}$  down to  $\sim 10^3\text{--}10^4 M_{\odot}$  or below. A subsequent transformation to the characteristic mass function of GCs today could then be effected mainly by dynamical processes (relaxation and tidal shocking) that are particularly efficient at destroying low-mass clusters over the lifetime of a GC system (e.g., Fall & Rees 1977; Gnedin & Ostriker 1997; Fall & Zhang 2001). Some observational evidence has been reported for such an evolution in the mass functions of young and intermediate-age star clusters (e.g., de Grijs et al. 2003; Goudfrooij et al. 2004).

If we take the Occam's razor view that indeed GCs formed through substantially the same processes as star clusters today, then the picture offered by observations of old GCLFs is unavoidably one of survivors. There has been some debate as to whether it was in fact the long-term dynamical mechanisms just mentioned that were mainly responsible for destroying large numbers of low-mass globulars, or whether processes more related to cluster formation strongly depleted many low-mass protoclusters on shorter timescales (Fall & Zhang 2001; Vesperini & Zepf 2003). Even the most massive Galactic GCs have rather low binding energies  $E_b \lesssim 10^{52}$  ergs (McLaughlin 2000), so that if conditions were not just right, very many protoglobular clusters could have been easily destroyed in the earliest  $\sim 10^7$  yr of their evolution, through the catastrophic mass loss induced by massive star winds and supernova explosions (see, e.g., Kroupa & Boily 2002; Fall et al. 2005). Furthermore, any clusters that survive this earliest mass-loss phase intact but with too low a concentration could potentially still dissolve within a relatively short time of  $\sim 10^8\text{--}10^9$  yr (Chernoff & Weinberg 1990). Homogeneous observations of large samples of old GCLFs can help clarify the relative importance of such early evolution versus longer term dynamical mass loss in the lives of star clusters generally.

The largest previous studies of GCLFs in early-type galaxies were performed with archival *Hubble Space Telescope* (HST) WFPC2 data. Kundu & Whitmore (2001a, 2001b) studied the GCLF for 28 elliptical and 29 S0 galaxies. They concluded that the turnover magnitude of the GCLF is an excellent distance indicator and that the difference in the turnover luminosity between the  $V$  and  $I$  bands increases with the mean metallicity of the GCs essentially as expected if the GC systems in most galaxies have similar age and mass distributions. Larsen et al. (2001) studied the

GCLF for 17 nearby early-type galaxies. They fitted Student's  $t$ -distributions separately to the subpopulations of metal-rich and metal-poor GCs in each galaxy and found that any difference in the derived turnovers was consistent with these subpopulations having similar mass and age distributions and the same GCLF turnover mass scale. Larsen et al. (2001) also fitted power laws to the mass distributions of GCs in the range  $M \simeq 10^5\text{--}10^6 M_{\odot}$  and found that they were well described by power-law exponents similar to those that fit the mass functions of young cluster systems.

In this paper we study the GCLFs of 89 early-type galaxies observed by HST as part of the ACS Virgo Cluster Survey (ACSVCS; Côté et al. 2004). This represents the most comprehensive and homogeneous study of its kind to date. Some of the results in this paper are also presented in a companion paper (Jordán et al. 2006). In the next section we briefly describe our data and present our observed GCLFs in a machine-readable table available for download from the electronic edition of the *Astrophysical Journal*. In § 3 we discuss two different models that we fit to the GCLFs, and in § 4 we describe our (maximum likelihood) fitting methodology. Section 5 presents the fits themselves, while § 6 discusses a number of trends for various GCLF parameters as a function of host galaxy luminosity and touches briefly on the issue of GCLF variations within galaxies. In § 7 we discuss some aspects of our results in the light of ideas about GC formation and dynamical evolution, focusing in particular on the relation between our data and a model of evaporation-dominated GCLF evolution. In § 8 we conclude.

## 2. DATA

A sample of 100 early-type galaxies in the Virgo Cluster was observed for the ACSVCS (Côté et al. 2004). Each galaxy was imaged in the F475W ( $\simeq$  Sloan  $g$ ) and F850LP ( $\simeq$  Sloan  $z$ ) bands for a total of 750 and 1210 s, respectively, and reductions were performed as described in Jordán et al. (2004b). These data have been used previously to analyze the surface brightness profiles of the galaxies and their nuclei (Ferrarese et al. 2006a, 2006b; Côté et al. 2006), their surface brightness fluctuations (Mei et al. 2005a, 2005b, 2007), and the properties of their populations of star clusters, mainly GCs (Jordán et al. 2004a, 2005; Peng et al. 2006a) but also dwarf-globular transition objects (or UCDs; Haşegan et al. 2005) and diffuse star clusters (Peng et al. 2006b).

One of the main scientific objectives of the ACSVCS is the study of the GC systems of the sample galaxies. We have developed a procedure by which we select GC candidates from the totality of observed sources around each galaxy, discarding the inevitable foreground stars and background galaxies that are contaminants for our purposes. This GC selection uses a statistical clustering method, described in detail in another paper in this series (A. Jordán et al. 2007, in preparation), in which each source in the field of view of each galaxy is assigned a probability  $p_{\text{GC}}$  that it is a GC. Our samples of GC candidates are then constructed by selecting all sources that have  $p_{\text{GC}} \geq 0.5$ . The results of our classification method are illustrated in Figure 1 of Peng et al. (2006a). For every GC candidate we record the background surface brightness  $I_b$  of the host galaxy at the position of the candidate, and we measure  $z$ - and  $g$ -band magnitudes and a half-light radius  $R_h$  by fitting PSF-convolved King (1966) models to the local light distribution of the cluster (Jordán et al. 2005). Photometric zero points are taken from Sirianni et al. (2005; see also Jordán et al. 2004b), and aperture corrections are applied as described by A. Jordán et al. (2007, in preparation).

Note that as part of the ACSVCS we have measured the distances to most of our target galaxies using the method of surface brightness fluctuations (SBFs; Tonry & Schneider 1988).

TABLE 1  
LUMINOSITY FUNCTION HISTOGRAMS FOR GCs AND EXPECTED CONTAMINANTS

VCC (1)	SAMPLE WITH $p_{GC} \geq 0.5$										SAMPLE WITH $m_z < 25.15$ OR $m_g < 26.35$ AND $R_h < 0.064''$									
	$m_z$ (2)	$h_z$ (3)	$N_{z,tot}$ (4)	$N_{z,cont}$ (5)	$f_z$ (6)	$m_g$ (7)	$h_g$ (8)	$N_{g,tot}$ (9)	$N_{g,cont}$ (10)	$f_g$ (11)	$m_z$ (12)	$h_z$ (13)	$N_{z,tot}$ (14)	$N_{z,cont}$ (15)	$f_z$ (16)	$m_g$ (17)	$h_g$ (18)	$N_{g,tot}$ (19)	$N_{g,cont}$ (20)	$f_g$ (21)
1226.....	18.0	0.4	0	0.0	1.00	19.2	0.4	0	0.0	1.00	18.0	0.4	0	0.1	1.00	19.2	0.4	0	0.1	1.00
1226.....	18.4	0.4	0	0.1	1.00	19.6	0.4	0	0.1	1.00	18.4	0.4	0	0.2	1.00	19.6	0.4	0	0.2	1.00
1226.....	18.8	0.4	2	0.0	1.00	20.0	0.4	1	0.1	1.00	18.8	0.4	0	0.2	1.00	20.0	0.4	0	0.2	1.00
1226.....	19.2	0.4	5	0.2	1.00	20.4	0.4	3	0.1	1.00	19.2	0.4	5	0.2	1.00	20.4	0.4	2	0.1	1.00
1226.....	19.6	0.4	4	0.3	1.00	20.8	0.4	8	0.4	1.00	19.6	0.4	4	0.3	1.00	20.8	0.4	8	0.5	1.00
1226.....	20.0	0.4	12	0.2	1.00	21.2	0.4	11	0.3	1.00	20.0	0.4	12	0.2	1.00	21.2	0.4	10	0.4	1.00
1226.....	20.4	0.4	25	0.4	1.00	21.6	0.4	24	0.2	1.00	20.4	0.4	24	0.5	1.00	21.6	0.4	23	0.4	1.00
1226.....	20.8	0.4	32	0.2	1.00	22.0	0.4	33	0.3	1.00	20.8	0.4	31	0.4	1.00	22.0	0.4	33	0.3	1.00
1226.....	21.2	0.4	57	0.4	1.00	22.4	0.4	59	0.5	1.00	21.2	0.4	57	0.4	1.00	22.4	0.4	58	0.5	1.00
1226.....	21.6	0.4	66	0.6	1.00	22.8	0.4	60	0.4	1.00	21.6	0.4	62	0.6	1.00	22.8	0.4	57	0.4	1.00
1226.....	22.0	0.4	91	0.9	1.00	23.2	0.4	78	0.6	1.00	22.0	0.4	86	0.6	1.00	23.2	0.4	73	0.5	1.00
1226.....	22.4	0.4	98	0.8	0.99	23.6	0.4	101	1.3	0.98	22.4	0.4	94	0.5	0.99	23.6	0.4	99	0.8	0.98
1226.....	22.8	0.4	95	1.6	0.94	24.0	0.4	107	1.4	0.90	22.8	0.4	90	0.9	0.94	24.0	0.4	100	0.8	0.90
1226.....	23.2	0.4	88	1.4	0.83	24.4	0.4	74	1.8	0.80	23.2	0.4	83	1.2	0.83	24.4	0.4	71	1.4	0.80
1226.....	23.6	0.4	70	2.0	0.72	24.8	0.4	78	2.5	0.71	23.6	0.4	65	1.4	0.72	24.8	0.4	72	2.1	0.71
1226.....	24.0	0.4	61	3.4	0.62	25.2	0.4	56	2.9	0.62	24.0	0.4	60	2.8	0.62	25.2	0.4	56	2.5	0.62
1226.....	24.4	0.4	39	3.3	0.51	25.6	0.4	50	2.9	0.52	24.4	0.4	38	3.2	0.51	25.6	0.4	47	2.6	0.52
1226.....	24.8	0.4	16	1.6	0.37	26.0	0.4	18	1.8	0.37	24.8	0.4	16	1.6	0.37	26.0	0.4	18	1.7	0.37
1226.....	25.2	0.4	3	0.4	0.19	26.4	0.4	3	0.3	0.18	25.2	0.4	3	0.4	0.19	26.4	0.4	3	0.3	0.18
1316.....	18.0	0.4	0	0.0	1.00	19.2	0.4	0	0.0	1.00	18.0	0.4	0	0.1	1.00	19.2	0.4	0	0.1	1.00

NOTES.—Col. (1): Galaxy VCC number. Cols. (2) and (3): Mean magnitude and width of bin in the  $z$  band. Col. (4): Total number of objects in bin with probability  $p_{GC} \geq 0.5$  of being a globular cluster. Col. (5): Expected number of contaminants in bin. Col. (6): GC completeness fraction in bin. Cols. (7)–(11): Same as cols. (2)–(6), but for the  $g$  band. Cols. (12)–(21): Same as cols. (2)–(11), but for GC samples constructed by applying cuts in magnitude and half-light radius  $R_h$ , rather than by selecting on the basis of  $p_{GC}$ . Table 1 is published in its entirety in the electronic edition of the *Astrophysical Journal Supplement*. A portion is shown here for guidance regarding its form and content.

The reduction procedures for SBF measurements, feasibility simulations for our observing configuration, and calibration have been presented in Mei et al. (2005a, 2005b), and the distance catalog is presented in another paper in this series (Mei et al. 2007). We use these distances in this paper<sup>11</sup> to transform observed GC magnitudes into absolute ones on a per galaxy basis whenever we wish to assess GCLF properties in physical (i.e., mass based) terms or need to compare the GCLFs of two or more galaxies. While some galaxies have larger distances, the average distance modulus that we employ is  $(m - M)_0 = 31.09 \pm 0.03$  (random)  $\pm 0.15$  (systematic), corresponding to  $D = 16.5 \pm 0.1$  (random)  $\pm 1.1$  (systematic) Mpc.

### 2.1. GCLF Histograms

There are three main ingredients we need to construct a GCLF for any galaxy. First, we have sets of magnitudes, in both the  $z$  and  $g$  bands, for all GC candidates. As mentioned above, we generally isolate GC candidates from a list of all detected objects by requiring that  $p_{GC} \geq 0.5$ . Note that here and throughout we use  $g$  as shorthand to refer to the F475W filter, and  $z$  denotes F850LP. Also, all GC magnitudes in this paper have already been dereddened (for details see § 2.7 in Jordán et al. 2004b).

Second, we have the (in)completeness functions in both band-passes. Our candidate GCs are marginally resolved with the ACS, and thus these completeness functions depend not only on the GC apparent magnitude  $m$  and its position in its parent galaxy (through the local background surface brightness  $I_b$ ) but also on the GC projected half-light radius  $R_h$ . Separate  $z$ - and  $g$ -band completeness functions  $f(m, R_h, I_b) \leq 1$  have therefore been calculated from

simulations in which we first added simulated GCs with sizes  $R_h = 1, 3, 6,$  and  $10$  pc and King (1966) concentration parameter  $c = 1.5$  to actual images from the ACSVCS (making sure to avoid sources already present) and then reduced the simulated images in an identical fashion to the survey data. We next found the fraction of artificial sources that were recovered, as a function of input magnitude and half-light radius, in each of 10 separate bins of background light intensity. The final product is a three-dimensional look-up table on which we interpolate to obtain  $f$  for any arbitrary values of  $(m, R_h, I_b)$ .

Last, we have the expected density of contaminants as a function of magnitude for each galaxy, obtained from analysis of archival ACS images (unassociated with the Virgo Cluster Survey) of 17 blank, high-latitude control fields, each observed with both  $g$  and  $z$  filters to depths greater than in the ACSVCS. We “customized” these data to our survey galaxies by performing object detections on every control field as if it contained each galaxy in turn. This procedure is described in more detail in Peng et al. (2006a, their § 2.2). The net result is 17 separate estimates of the number of foreground and background objects, as a function of  $g$  and  $z$  magnitude, expected to contaminate the list of candidate GCs in every ACSVCS field.

Of the 100 galaxies in the ACSVCS, we restrict our analysis to those that have more than five probable GCs, as estimated by subtracting the total number of expected contaminants from the full list of GC candidates for each galaxy. We additionally eliminated two galaxies for which we could not usefully constrain the GCLF parameters. This results in a final sample of 89 galaxies. The GCLF data for these are presented in Table 1.

Column (1) of Table 1 is the galaxy ID in the Virgo Cluster Catalogue (VCC: Binggeli et al. 1985; see Table 1 in Côté et al. 2004 for NGC and Messier equivalents). Column (2) contains an

<sup>11</sup> We use the distances obtained using the polynomial calibration presented in Mei et al. (2007).

apparent  $z$ -band magnitude defining the midpoint of a bin with width  $h_z$  given in column (3). This bin width was chosen to be 0.4 for all galaxies. Columns (4)–(6) of the table then give the total number  $N_{z,\text{tot}}$  of observed sources in this bin, the number  $N_{z,\text{cont}}$  of contaminants in the bin as estimated from the average of our 17 control fields, and the average completeness fraction  $f_z$  in the bin, all applying to the candidate GC sample defined on the basis of our GC probability threshold,  $p_{\text{GC}} \geq 0.5$ . Columns (7)–(11) repeat this information for the galaxy’s GC candidates identified in the  $g$  band. Columns (12)–(21) are the corresponding  $z$ - and  $g$ -band data for an alternate GC sample defined strictly by magnitude cuts and an upper limit of  $R_h < 0.064'' \simeq 5$  pc (which will include the large majority of real GCs; Jordán et al. 2005), rather than by relying on our  $p_{\text{GC}}$  probabilities. This provides a way of checking that selecting GC candidates by  $p_{\text{GC}}$  does not introduce any subtle biases into the GCLFs (see also § 4).

The data in Table 1 can be converted to distributions of absolute GC magnitude by applying the individual galaxy distances given in Mei et al. (2007). If they are used to fit model GCLFs, it should be by comparing the observed  $N_{\text{tot}}$  against a predicted ( $fN_{\text{model}} + N_{\text{cont}}$ ) as a function of magnitude. This is essentially what we do here, although we employ maximum likelihood techniques rather than using the binned data. However, before describing our model-fitting methodology, we pause first to discuss in some detail the models themselves. We work with two different distributions in this paper: one completely standard, and one that is meant to elucidate the connections between observed GC mass distributions and plausible initial conditions and dynamical evolution histories.

### 3. TWO GCLF MODELS

The term “globular cluster luminosity function” is customarily used to refer to a directly observed histogram of the number of GCs per unit magnitude. We follow this standard usage here, and in addition, whenever we refer simply to a “luminosity function,” we in fact mean the GCLF, i.e., the distribution of magnitudes again. We denote the magnitude in any arbitrary bandpass by a lowercase  $m$ , and thus the GCLF is essentially the probability distribution function  $dN/dm$ . It is *not* equivalent to the distribution of true GC luminosities, since of course  $m \equiv C - 2.5 \log L$  for some constant  $C$ , so  $dN/dL = (dN/dm)|\partial m/\partial L|$  has a functional form different from that of  $dN/dm$ .

In this paper, when we speak of GC masses, we denote them by an uppercase  $M$  and we almost always make the assumption that they are related by a multiplicative constant to GC luminosities, such that  $m = C' - 2.5 \log M$ , with  $C'$  another constant including the logarithm of a mass-to-light ratio (generally taken to be the same for all GCs in any one system, as is the case in the Milky Way; McLaughlin 2000). We refer to the number of GCs per unit mass,  $dN/dM$ , as a “mass function” or a “mass distribution.” In the literature, it is sometimes also called a “mass spectrum.” Its relation to the GCLF is

$$\frac{dN}{dM} \propto \frac{1}{M} \frac{dN}{d \log M} \propto 10^{0.4m} \frac{dN}{dm}. \quad (1)$$

As we have already mentioned, most observed GCLFs show a “peak” or “turnover” at a cluster magnitude that is generally rather similar from galaxy to galaxy. One important consequence of equation (1) is that any such feature in the GCLF does *not* correspond to a local maximum in the GC mass distribution: if the first derivative of  $dN/dm$  with respect to  $m$  vanishes at some magnitude  $m_{\text{TO}}$ , then the derivative of  $dN/dM$  with respect to  $M$  at the corresponding mass scale  $M_{\text{TO}}$  is strictly negative, i.e., the mass

function still rises toward GC masses below the point where the GCLF turns over. (More specifically, the logarithmic slope of  $dN/dM$  at the GCLF turnover point  $M_{\text{TO}}$  is always exactly  $-1$ ; see McLaughlin [1994] for further discussion.)

#### 3.1. The Standard Model

The function most commonly taken to describe GCLFs is a Gaussian, which is the easiest way to represent the peaked appearance of most luminosity functions in terms of number of clusters per unit magnitude. It is thus our first choice to fit to each of the observed GCLFs in this paper. Denoting the mean GC magnitude  $\mu \equiv \langle m \rangle$  and the dispersion  $\sigma_m = \langle (m - \mu)^2 \rangle^{1/2}$ , we have the usual

$$\frac{dN}{dm} = \frac{1}{\sqrt{2\pi}\sigma_m} \exp \left[ -\frac{(m - \mu)^2}{2\sigma_m^2} \right]. \quad (2)$$

In terms of GC masses,  $M$ , this standard distribution corresponds to a mass function  $dN/dM = (dN/dm)|\partial m/\partial M|$  or, since  $m = \text{constant} - 2.5 \log M$  (assuming a single mass-to-light ratio for all clusters in a sample),

$$\frac{dN}{dM} = \frac{1}{(\ln 10)M} \frac{1}{\sqrt{2\pi}\sigma_M} \exp \left[ -\frac{(\log M - \langle \log M \rangle)^2}{2\sigma_M^2} \right], \quad (3)$$

with  $\sigma_M \equiv \sigma_m/2.5$ .

As is evident in what follows, the GCLFs in a large sample such as ours show a variety of detail that is unlikely to be conveyed in full by a few-parameter family of distributions. But it is also clear that a Gaussian captures some of the most basic information we are interested in investigating—the mean and the standard deviation of the GC magnitudes in a galaxy—with a minimal number of parameters. It is also the historical function of choice for GCLF fitting, and in many cases the fit is indeed remarkably good.

Nevertheless, the Gaussian does have some practical limitations. Secker (1992) showed that the tails of the GCLF in the Milky Way and M31 are heavier than a Gaussian allows, and he argued that a Student’s  $t$ -distribution (with shape parameter  $\nu \simeq 5$ ) gives a better match to the data. More importantly, the observed GCLFs in our Galaxy and in M31 are *asymmetric* about their peak magnitude, a fact that has been emphasized most recently by Fall & Zhang (2001). This was implicit in the work of McLaughlin (1994), who advocated using piecewise power laws to fit the number of GCs per unit linear luminosity, or piecewise exponentials to describe the usual number of GCs per unit magnitude. Baum et al. (1997) used an asymmetric hyperbolic function to fit the strong peak and asymmetry in the combined Galactic and M31 GCLF.

However, all of these alternative fitting functions still share another shortcoming of the Gaussian, which is that there is no theoretical underpinning to it. Moreover, with the exception of the power laws in McLaughlin (1994), there is no obvious connection with the mass distributions of the young massive clusters that form in mergers and starbursts in the local universe. We therefore introduce an alternative fitting function, based on existing, more detailed studies of initial cluster mass functions and their long-term dynamical evolution, to address these issues.

#### 3.2. An Evolved Schechter Function

##### 3.2.1. Initial GC Mass Function

Observations of young star clusters indicate that the number of clusters per unit mass is well described by a power law,  $dN/dM \propto M^{-\beta}$  with  $\beta \approx 2$ , or alternatively by a Schechter (1976) function

with an index of about 2 in its power-law part and an exponential cutoff above some large mass scale that might vary from galaxy to galaxy (e.g., Gieles et al. 2006b). Perhaps the best-observed mass distribution for a young cluster system is that in the Antennae galaxies, NGC 4038/4039 (Zhang & Fall 1999). In this specific case, a pure power-law form suffices to describe the cluster  $dN/dM$  as it is currently known, but a Schechter function with an appropriately high cutoff mass also fits perfectly well. Thus, assuming  $dN/dM \propto M^{-\beta} \exp(-M/M_c)$ , we find from the data plotted by Zhang & Fall (1999) that  $\beta = 2.00 \pm 0.04$  and  $\log(M_c/M_\odot) = 6.3_{-0.3}^{+0.7}$  for their sample of clusters with ages 2.5–6.3 Myr, and  $\beta = 1.92 \pm 0.14$  and  $\log(M/M_c) = 5.9_{-0.25}^{+0.45}$  for ages 25–160 Myr.

The mass functions of old globular clusters in the Milky Way and M31 can also be described by power laws with  $\beta \simeq 2$  for clusters more massive than the GCLF peak (McLaughlin 1994; McLaughlin & Pudritz 1996; Elmegreen & Efremov 1997). And the GC mass distributions in large ellipticals follow power laws over restricted high-mass ranges, although here the slopes are somewhat shallower (McLaughlin 1994; Harris & Pudritz 1994) and there is clear evidence of curvature in  $dN/dM$  (McLaughlin & Pudritz 1996) that is better described by the exponential cutoff at very high cluster masses in a Schechter function (e.g., Burkert & Smith 2000). Theoretical models for GC formation, which aim expressly to explain these high-mass features of GCLFs and relate them to the distributions of younger clusters and molecular clouds, have been developed by McLaughlin & Pudritz (1996) and Elmegreen & Efremov (1997).

The important difference between the mass functions of old GCs and young massive clusters, then, is not the power-law or Schechter function form of the latter per se; it is the fact that the frequency of young clusters continues to rise toward the low-mass limits of observations, while the numbers of GCs fall well below any extrapolated power-law behavior for  $M \lesssim 2 \times 10^5 M_\odot$ , i.e., for clusters fainter than the classic peak magnitude of the GCLF. We therefore assume a Schechter function,

$$\frac{dN}{dM_0} \propto M_0^{-2} \exp(-M_0/M_c), \quad (4)$$

as a description of the initial mass distribution of GCs generally. We emphasize again that the fixed power law of  $M_0^{-2}$  at low masses is chosen for compatibility with current data on systems of young massive clusters. The variable cutoff mass  $M_c$  is required to match the well-observed curvature present at  $M \gtrsim 10^6 M_\odot$  in the mass distributions of old GC systems in large galaxies. This feature is certainly allowed by the young cluster data, even if it may not be explicitly required by them.

A strong possibility to explain the difference between such an initial distribution and the present-day  $dN/dM$  is the preferential destruction of low-mass GCs by a variety of dynamical processes acting on Gyr timescales (see Fall & Zhang 2001; Vesperini 2000, 2001 and references therein).

### 3.2.2. Evolution of the Mass Function

Fall & Zhang (2001) give a particularly clear recent overview of the dynamical processes that act to destroy GCs on Gyr timescales as they orbit in a fixed galactic potential. The main destruction mechanisms are dynamical friction, shock heating caused by passages through galaxy bulges and/or disks, and evaporation as a result of two-body relaxation. Only the latter two are important to the development of the low-mass end of the GCLF, since dynamical friction timescales grow rapidly toward low  $M$ , as  $\tau_{df} \propto M^{-1}$  (cluster disruption due to stellar evolution mass loss does not change the shape of the GC mass function if the stellar IMF is

universal, unless a primordial correlation between cluster concentration and mass is invoked; see Fall & Zhang 2001; Vesperini & Zepf 2003).

Tidal shocks drive mass loss on timescales  $\tau_{sh} \propto \rho_h P_{cr}$ , where  $\rho_h \propto M/R_h^3$  is the mean density of a cluster inside its half-mass radius and  $P_{cr}$  is the typical time between disk or bulge crossings. Evaporation scales rather differently, roughly as  $\tau_{ev} \propto M/\rho_h^{1/2}$ . A completely general assessment of the relative importance of the two processes can therefore be complicated. However, tidal shocks are rapidly self-limiting in most realistic situations (Gnedin et al. 1999): clusters with high enough  $\rho_h$  and on orbits that expose them only to “slow” and well-separated shocks (i.e., with both the duration of individual shocks and the interval  $P_{cr}$  longer than an internal dynamical time,  $t_{dyn} \propto \rho_h^{-1/2}$ ) will experience an early, sharp increase in  $\rho_h$  in response to the first few shocks. Thereafter  $\tau_{ev} \ll \tau_{sh}$ , and in the long term shock heating presents a second-order correction to the dominant mass loss caused by evaporation. Most GCs today, at least in our Galaxy, appear to be in this evaporation-driven evolutionary phase (Gnedin et al. 1999; see also Fig. 1 of Fall & Zhang 2001; Prieto & Gnedin 2006).

Fall & Zhang (2001) therefore develop a model for the evolution of the Galactic GCLF that depends largely on evaporation to erode an initially steep  $dN/dM_0$  (in fact, they adopt a Schechter function, as in eq. [4], for one of their fiducial cases). They assume, as is fairly standard (see, e.g., Vesperini 2000, 2001), that any cluster roughly conserves its mean half-mass density  $\rho_h$  as it loses mass, at least after any rapid initial adjustments due to stellar mass loss or the first tidal shocks, and when the evolution is dominated by evaporation. The mass-loss rate<sup>12</sup> is then

$$\mu_{ev} \equiv -dM/dt \propto M/t_{th} \propto (M/R_h^3)^{1/2} \sim \text{constant}, \quad (5)$$

where  $t_{th} \sim \rho^{-1} \langle v^2 \rangle^{3/2} \propto M^{1/2} R_h^{3/2}$  is the relaxation time at the half-mass radius  $R_h$ . Under this assumption, the mass of a GC at any age  $t$  is just  $M(t) = M_0 - \mu_{ev}t$ . For any collection of clusters with the same density (for example, those on similar orbits, if  $\rho_h$  is set by tides at a well-defined perigalacticon),  $\mu_{ev}$  is independent of cluster mass as well as time, and if the GCs are coeval in addition, then  $\mu_{ev}t$  is a strict constant. The mass function of such a cluster ensemble with any age  $t$  is then related to the initial one as in equation (11) of Fall & Zhang (2001):

$$\frac{dN}{dM(t)} = \frac{dN}{dM_0} \left| \frac{\partial M_0}{\partial M} \right| = \frac{dN}{dM_0}. \quad (6)$$

Thus, simply making the substitution  $M_0 = M + \mu_{ev}t$  in the functional form of the original GC mass function gives the evolved distribution. An initially steep  $dN/dM_0$  therefore always evolves to a flat mass function,  $dN/dM(t) \sim \text{constant}$ , at sufficiently low masses  $M \ll \mu_{ev}t$ . As Fall & Zhang (2001) show for the Milky Way, and as we also see for the early-type ACSVCS galaxies, this gives a good fit to observed GCLFs if the cumulative mass-loss term  $\mu_{ev}t > 10^5 M_\odot$  by  $t \simeq 13$  Gyr.

The key physical element of this argument, as far as the GCLF is concerned, is the linear decrease of cluster mass with time. While the quickest way to arrive at such a conclusion is to follow the logic of Fall & Zhang (2001), as just outlined, there are some caveats to be kept in mind.

<sup>12</sup> Note that we use  $\mu_{ev}$  to denote the evaporation mass-loss rate in eq. (5) in order to be consistent with the notation of Fall & Zhang (2001). This should not be confused with our use of the symbol  $\mu$  (with different subscripts) to represent the mean magnitude in a Gaussian description of the GCLF.

Tidal shocks can be much more important than evaporation for some globulars. In particular, clusters with low densities and low concentrations (such that shocks significantly disturb the cores as well as the halos) and/or those on eccentric orbits with very short intervals between successive bulge or disk crossings may never recover fully from even one shock. Rather than readjusting quickly to a situation in which  $\tau_{\text{ev}} \ll \tau_{\text{sh}}$ , such clusters may be kept out of dynamical equilibrium for most of their lives, significantly overflowing their nominal tidal radii. Their entire evolution could then be strongly shock driven. This appears to be the case, for example, with the well-known Galactic globular, Palomar 5 (see Dehnen et al. 2004). The extremely low mass and concentration of Palomar 5 make it highly unusual in comparison to the vast majority of known GCs in any galaxy currently, but many more clusters like its progenitor may well have existed in the past. This then raises the question of whether considering evaporation-dominated evolution alone gives a complete view of the dynamical reshaping of the GC mass function. Here, however, it is important that the  $N$ -body simulations of Dehnen et al. (2004) show that the late-time evolution of even the most strongly shock-dominated clusters is still characterized by a closely linear decrease of mass with time: rather than  $\rho_h$  being conserved in this case, the half-light radius  $R_h$  is nearly constant in time, and for a given orbit the mass-loss rate is  $M/\tau_{\text{sh}} \propto M/\rho_h \propto R_h^3$ . While the physical reasoning changes, the end result for the GCLF of clusters in this physical regime is the same as equation (6).<sup>13</sup>

The importance of Fall & Zhang’s (2001) assumption of a constant  $\rho_h$  for evaporation-driven cluster evolution is its implication that the mass-loss rate is constant in time. This has some direct support from  $N$ -body simulations (e.g., Vesperini & Heggie 1997; Baumgardt & Makino 2003). (Note the distinction in Baumgardt & Makino [2003] between the total cluster mass loss and that due only to evaporation; see their Fig. 6 and related discussion.) But more than this, if evaporation is to be primarily responsible for the strong depletion of a GC mass function at scales  $M < \mu_{\text{ev}}t$ , then after a Hubble time  $\mu_{\text{ev}}t$  must be roughly of order the current GCLF turnover mass  $M_{\text{TO}} \sim 2 \times 10^5 M_{\odot}$ . Since  $\mu_{\text{ev}} \propto \rho_h^{1/2}$ , this argument ultimately constrains the average density required of the globulars, which can of course be checked against data. In addition, satisfying observational limits on the (small) variation of GCLFs between different subsets of GCs in any one system (for example, as a function of galactocentric position) puts constraints on the allowed distribution of initial (and final) GC densities.

Fall & Zhang (2001) calculate in detail the evolution of the Milky Way GCLF over a Hubble time under the combined influence of stellar evolution (which, as mentioned above, does not change the *shape* of  $dN/dM$  except in special circumstances), evaporation, and tidal shocks (which, again, contribute second-order corrections to the results of evaporation in their treatment). They relate  $\mu_{\text{ev}} \propto \rho_h^{1/2}$  to GC orbits, by assuming that  $\rho_h$  is set by tides at the pericenter of a cluster orbit in a logarithmic potential with a circular speed of  $220 \text{ km s}^{-1}$ . They then find the GC orbital distribution that allows both for an average cluster density high enough to give a good fit to the GCLF of the Milky Way as a whole and for a narrow enough spread in  $\rho_h$  to reproduce the observed weak variation in  $M_{\text{TO}}$  with galactocentric radius (e.g., Harris 2001).

<sup>13</sup> Another process that may fall in this regime is impulsive shocking due to encounters between GCs and massive concentrated objects like giant molecular clouds; see Lamers & Gieles (2006) for a recent discussion of this. However, this is presumably most relevant to clusters orbiting in disks, where the shocks can occur in fairly rapid succession. It is not important for the large majority of GCs in galaxy halos.

Ultimately, the GC distribution function found by Fall & Zhang (2001) in this way is too strongly biased toward radial orbits with small pericenters to be compatible with the observed kinematics and  $\rho_h$  distributions of globulars in the Milky Way and other galaxies, as both they and others (e.g., Vesperini et al. 2003) have pointed out. However, Fall & Zhang (2001) also note that the difficulties at this level of detail do not necessarily disprove the basic idea that long-term dynamical evolution is primarily responsible for the present-day shape of the GCLF at low masses. The problem may lie instead in the specific relation adopted to link the densities, and thus the disruption rates, of GCs to their orbital pericenters. In particular, Fall & Zhang (2001), along with almost all other studies along these lines, assume a spherical and time-independent Galactic potential. Both assumptions obviously break down in a realistic, hierarchical cosmology. Once time-variable galaxy potentials are taken properly into account in more sophisticated simulations, it could still be found that cluster disruption on Gyr timescales can both explain the low-mass side of GC mass functions and be consistent with related data on the present-day cluster orbital properties,  $\rho_h$  distributions, and so on. Recent work in this vein by Prieto & Gnedin (2006) appears promising, although it is not yet decisive.

We return to these issues in § 7.1. First, however, we describe an analytical form for  $dN/dM$ , which combines the main idea in Fall & Zhang (2001)—that evaporation causes cluster masses to decrease linearly with time—with a plausible, Schechter function form for the initial  $dN/dM_0$ . We fit the evolved function to the GCLF of the Milky Way, to show that it provides a good approximation to the fuller, numerical models of Fall & Zhang (2001), and then we fit it to our ACSVCS data, to produce new empirical constraints for detailed modeling of the formation and evolution of GC mass functions under conditions not specific only to our Galaxy.

### 3.2.3. Fitting Functions for $dN/dM$ and the GCLF

To summarize the discussion above, we assume that the mass-loss rate of any GC is constant in time. Following Fall & Zhang (2001), we expect that this will occur naturally if the disruption process most relevant to the GCLF in the long term is evaporation, which plausibly conserves the average densities  $\rho_h$  of individual clusters inside their half-mass radii. Thus, we continue to denote the mass-loss rate by  $\mu_{\text{ev}}$ . However, it should be recognized that tidal shocks can contribute second-order corrections to  $\mu_{\text{ev}}$  and may even, in some extreme cases, dominate evaporation (although the net result arguably could still be a constant total  $dM/dt$ ).

For any set of clusters with similar ages  $t$  and similar  $\rho_h$  (and on similar orbits, if these significantly affect  $\rho_h$  or add tidal shock contributions to  $\mu_{\text{ev}}$ ), the cumulative mass loss  $\Delta \equiv \mu_{\text{ev}}t$  is a constant, so that each cluster has  $M(t) = M_0 - \Delta$ . Combining equation (4) for the initial mass distribution with equation (6) for its evolution then yields an “evolved Schechter function”

$$\frac{dN}{dM} \propto \frac{1}{(M + \Delta)^2} \exp\left(-\frac{M + \Delta}{M_c}\right), \quad (7)$$

with  $M_c$  allowed to vary between galaxies. Once again,  $\Delta$  in this expression may vary between different sets of GCs, with different densities or orbits, in the same galaxy. The detailed modeling of Fall & Zhang (2001) takes this explicitly into account. But in what follows, we fit equation (7) to GC data taken from large areas over galaxies, which effectively returns an estimate of the average mass loss per cluster over a Hubble time. Since

$\mu_{\text{ev}} \propto \rho_h^{1/2}$  when evaporation dominates shocks, this implicit averaging is essentially done over the distribution of GC mean half-mass densities.

To relate this evolved mass function to the standard observational definition of a GCLF, the number of GCs per unit magnitude, we write  $m \equiv C - 2.5 \log M$ ,  $\delta \equiv C - 2.5 \log \Delta$ , and  $m_c \equiv C - 2.5 \log M_c$ , where  $C$  is related to the solar absolute magnitude and the typical cluster mass-to-light ratio in an appropriate band-pass. The model then reads

$$\frac{dN}{dm} \propto \frac{10^{-0.4(m-m_c)}}{(10^{-0.4(m-m_c)} + 10^{-0.4(\delta-m_c)})^2} \exp\left(-10^{-0.4(m-m_c)}\right). \quad (8)$$

In both of equations (7) and (8), the constants of proportionality required to normalize the distributions can be evaluated numerically.

Figure 1 illustrates the form of the evolved Schechter function, in terms of both the mass distribution  $dN/dM$  and the GCLF  $dN/dm$ . (Note that mass  $M$  increases to the right along the  $x$ -axis in the top panel, but, as usual, larger  $M$  corresponds to brighter magnitudes  $m$ , at the left of the axis in the bottom panel.) From the equations above, it is clear that the mass  $M_c$  or the magnitude  $m_c$  sets the scale of the function, while the ratio  $\Delta/M_c$  or the magnitude difference  $(\delta - m_c)$  controls its overall shape. For very small  $\Delta \ll M_c$  (faint  $\delta \gg m_c$ ), the function approaches an unmodified Schechter (1976) function. This is drawn in Figure 1 as the dot-dashed curves that rise unabated toward low cluster masses or faint magnitudes. The magnitude  $m_{\text{TO}}$  at which the GCLF peaks in general can be found by setting to zero the derivative of equation (8) with respect to  $m$ . This yields

$$10^{-0.8(m_{\text{TO}}-m_c)} + 10^{-0.4(m_{\text{TO}}-m_c)} \left(1 + 10^{-0.4(\delta-m_c)}\right) - 10^{-0.4(\delta-m_c)} = 0, \quad (9)$$

the solution to which corresponds to a mass of

$$M_{\text{TO}} = \frac{-(M_c + \Delta) + \sqrt{(M_c + \Delta)^2 + 4\Delta M_c}}{2}. \quad (10)$$

From either of equation (9) or equation (10), or from the sequence of curves in Figure 1, it can be seen that when  $\Delta \ll M_c$ , the GCLF peaks at a magnitude  $m_{\text{TO}} \simeq \delta$ , i.e., the turnover reasonably approximates the average cluster mass loss in the model (although  $m_{\text{TO}}$  is formally always fainter than  $\delta$ ). As the ratio  $\Delta/M_c$  increases, the GCLF turnover initially tracks  $\Delta$  but eventually approaches an upper limit set by the exponential cutoff scale in the mass function:  $m_{\text{TO}} \rightarrow m_c$  as  $(\delta - m_c) \rightarrow -\infty$  ( $\Delta \gg M_c$ ).

For any fixed value of  $\Delta/M_c$ , Figure 1 shows that in the limit of low masses,  $M \ll \Delta$ , the mass function in equation (7) is essentially flat. As Fall & Zhang (2001) first pointed out, this is a direct consequence of the assumption of a mass-loss rate that is constant in time. It follows generically from equation (6) above, independently of the specific initial GC mass function. At the other extreme, for very high masses  $M \gg \Delta$  the evolved  $dN/dM$  just approaches the assumed underlying initial function with  $\Delta = 0$ . In terms of the GCLF, this means that  $dN/dm$  tends (always) to an exponential,  $dN/dm \propto 10^{-0.4m}$ , at magnitudes much fainter than the turnover, and (for the initial Schechter function assumed here) to the steeper  $dN/dm \propto 10^{0.4m} \exp(-10^{-0.4(m-m_c)})$  for very bright magnitudes. The faint half of the GCLF in this model is therefore significantly broader than the bright half.

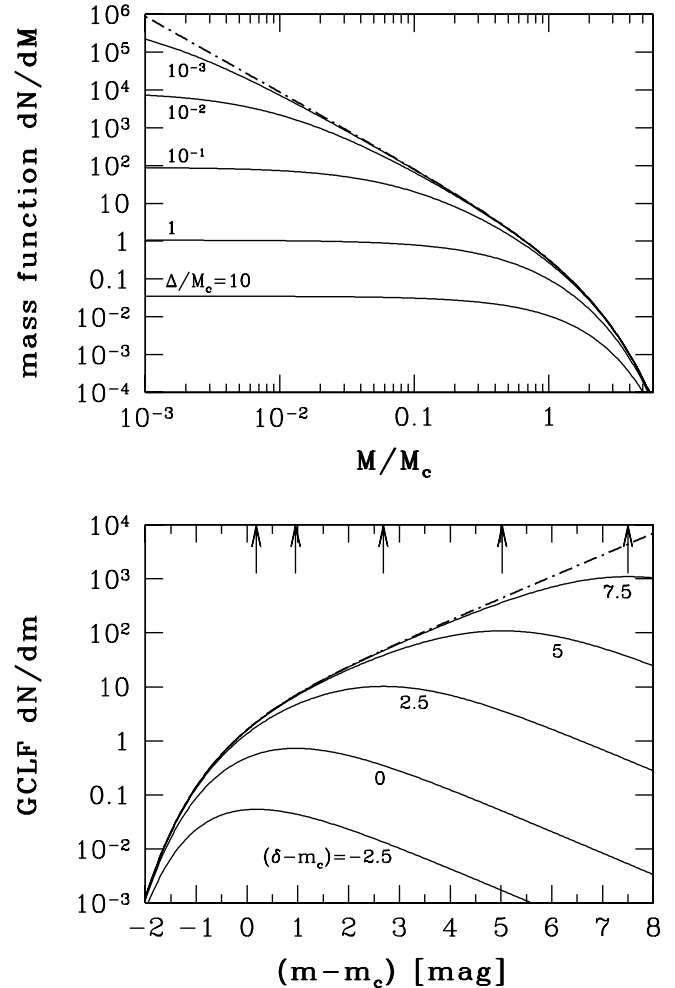


FIG. 1.—*Top*: Evolved Schechter mass functions  $dN/dM$  (eq. [7]), for various values of the ratio  $\Delta/M_c$ , which fixes the shape of the distribution. Curves are arbitrarily normalized. The uppermost, dot-dashed curve corresponds to  $\Delta = 0$ , i.e., a regular Schechter (1976) function with a power-law exponent of  $-2$ . For nonzero  $\Delta$ ,  $dN/dM$  is flat at low masses. *Bottom*: GCLFs  $dN/dm$  corresponding to the mass functions in the top panel (see eq. [8]). Curves are again arbitrarily normalized, and the parameter controlling the shape is the magnitude difference  $(\delta - m_c) = -2.5 \log(\Delta/M_c)$ . For any finite  $(\delta - m_c)$ , the GCLF peaks and turns over at the magnitude  $m_{\text{TO}}$  given by eq. (9) (corresponding to the mass in eq. [10]), and the faint side of the GCLF always approaches the limiting shape  $dN/dm \propto 10^{-0.4m}$ . Arrows mark the turnover points of the models shown here. In the limit  $(\delta - m_c) \rightarrow +\infty$  (i.e.,  $\Delta \ll M_c$ ), we have that  $m_{\text{TO}} \rightarrow \delta$ , while in the limit  $(\delta - m_c) \rightarrow -\infty$  (large  $\Delta \gg M_c$ ), the turnover  $m_{\text{TO}} \rightarrow m_c$ . For  $\Delta/M_c \gtrsim 10$  or  $(\delta - m_c) \lesssim -2.5$ , the GCLF has an essentially fixed shape.

Finally, it is worth considering the widths of the GCLFs in the bottom panel of Figure 1 in more detail. For  $\Delta = 0$ , the FWHM of  $dN/dm$  is undefined, since there is no turnover. As the ratio  $\Delta/M_c$  increases and a well-defined peak appears in the GCLF, the distribution clearly becomes narrower and narrower. As we have already discussed, even though formally  $\Delta/M_c$  can increase without limit, the turnover magnitude ultimately has a maximum brightness  $m_{\text{TO}} \rightarrow m_c$ . Similarly, the FWHM of the GCLF approaches a firm *lower limit* of FWHM  $\simeq 2.66$  mag. This includes a limiting half-width at half-maximum of HWHM  $\simeq 1.59$  mag on the faint side of the GCLF and a smaller HWHM  $\simeq 1.07$  mag on the bright side. All of these numbers can be obtained from analysis of equation (8) by letting  $(\delta - m_c) \rightarrow -\infty$ , i.e.,  $\Delta/M_c \rightarrow +\infty$ . In this limit, the GCLF approaches a fixed shape and is free only to shift left or right depending on the value of  $m_c \simeq m_{\text{TO}}$ . This limiting shape is already essentially achieved with  $\Delta/M_c = 10$  or

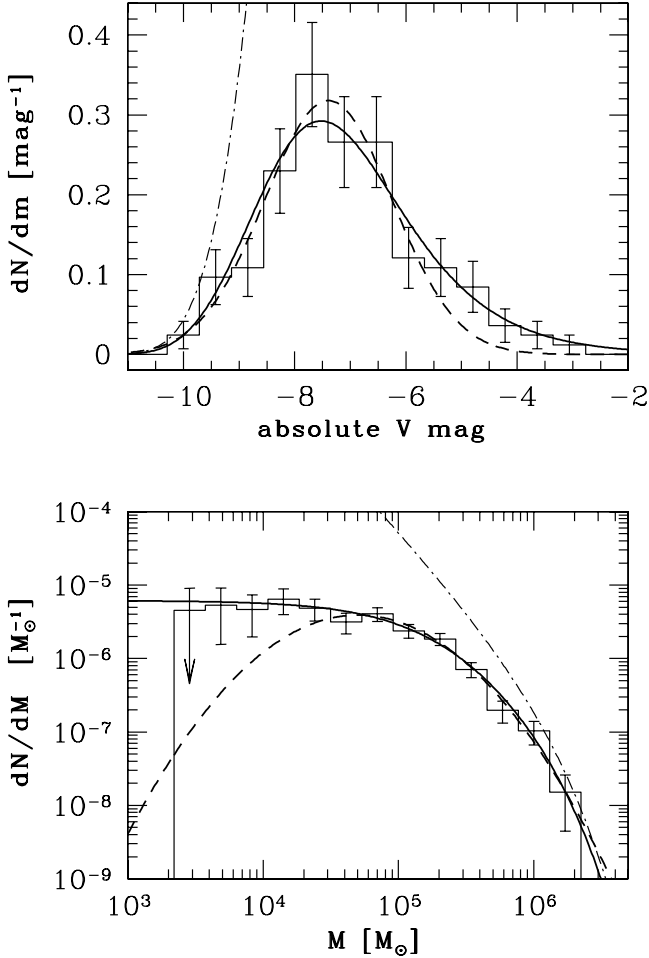


Fig. 2.—*Top:* Fits of a Gaussian (*dashed curve*) and an evolved Schechter function (*solid curve*) to the Milky Way GCLF, expressed as the (normalized) number of clusters per unit of absolute  $V$  magnitude. The dot-dashed curve is a Schechter function with the same value for  $M_c$  as the solid curve but with mass-loss parameter  $\Delta$  set to zero. *Bottom:* Corresponding observed GC mass function  $dN/dM$  and model fits derived from the GCLF assuming a  $V$ -band mass-to-light ratio of  $2 M_\odot L_V^{-1}$  for all clusters (McLaughlin & van der Marel 2005).

$(\delta - m_c) = -2.5$ , which is plotted in Figure 1 (even though the turnover is still about 0.18 mag fainter than  $m_c$  in this case).

As seen in §§ 5.2 and 6.1.2, the GCLFs observed in the ACSVCS are all best fitted with  $\Delta/M_c \gtrsim 0.1$ , or  $(\delta - m_c) \lesssim 2.5$  mag. This is the case also in the Milky Way.

### 3.3. Comparison with the Milky Way GCLF

Figure 2 plots the GCLF and the corresponding GC mass function in the Milky Way. The top panel of this figure shows the GCLF  $dN/dm$ , in terms of clusters per unit absolute  $V$  magnitude, for 143 GCs in the online catalog of Harris (1996).<sup>14</sup> (Note again that cluster luminosity and mass increase to the left in this standard magnitude distribution.) The dashed line is the usual Gaussian representation (eq. [2]) with parameters given by Harris (2001):

$$\mu_V = -7.4 \pm 0.1 \text{ mag}, \quad \sigma_V = 1.15 \pm 0.10 \text{ mag}. \quad (11)$$

The solid curve is our fit of the evolved Schechter function in equation (8), with

$$\delta_V = -8.0 \pm 0.3 \text{ mag}, \quad m_{c,V} = -9.3 \pm 0.3 \text{ mag}. \quad (12)$$

<sup>14</sup> Available at <http://physwww.mcmaster.ca/~harris/mwgc.dat>.

The dot-dashed curve rising steeply toward faint magnitudes is a normal Schechter function with  $m_c$  as in equation (12) but no mass-loss parameter, i.e.,  $\delta \rightarrow \infty$  in equation (8). The shape of this curve is therefore typical of the distribution of *logarithmic* mass for young massive clusters in nearby galaxies.

The bottom panel of Figure 2 contains a log-log representation of the Galactic GC mass function,  $dN/dM$ . To construct this distribution, we converted the absolute  $V$  magnitude of each GC into an equivalent mass by assuming a mass-to-light ratio of  $\Upsilon_V = 2 M_\odot L_\odot^{-1}$  for all clusters (as implied by population synthesis models; see McLaughlin & van der Marel 2005). The curves here are the mass equivalents of those in the top panel. Thus, the dashed curve traces equation (3) with

$$\langle \log(M/M_\odot) \rangle = 5.2 \pm 0.04, \quad \sigma_M = 0.46 \pm 0.04, \quad (13)$$

while the solid curve is equation (7) with

$$\log(\Delta/M_\odot) = 5.4 \pm 0.1, \quad \log(M_c/M_\odot) = 5.9 \pm 0.1, \quad (14)$$

and the dot-dashed curve is equation (7) with  $\log(M_c/M_\odot) = 5.9$  and  $\Delta = 0$ , again representative of young cluster mass functions.

Although both model fits to the GCLF are acceptable in a statistical sense, the evolved Schechter function yields a significantly lower  $\chi^2$  value. This is because of the clear asymmetry in the observed GCLF, which appears as a faintward skew in the top panel and as a failure of the mass function  $dN/dM$  to decline toward low masses in the bottom panel. This behavior is described well by the evolved Schechter function but is necessarily missed by the Gaussian, which systematically underestimates the number of clusters with  $M \lesssim 3 \times 10^4 M_\odot$ .

As a result of this, the best-fit evolved Schechter function yields a GCLF peak that is slightly brighter than the Gaussian. From the parameters given just above and either of equation (9) or equation (10), we find a turnover magnitude of  $m_{\text{TO}} = -7.5 \pm 0.1$  in the  $V$  band, some 0.1 mag brighter than the Gaussian turnover in equation (11). The turnover mass implied by the evolved Schechter function is thus  $M_{\text{TO}} \simeq (1.75 \pm 0.15) \times 10^5 M_\odot$ , just over 10% more massive than the Gaussian fit returns. The intrinsically symmetric Gaussian model is forced to a fainter or lower mass turnover in order to better fit the relatively stronger low-mass tail of the observed GCLF. We find similar offsets in general between the GCLF turnovers from the two model fits to our ACSVCS data (see §§ 5 and 6).

We reiterate that the parameter  $\Delta$  in the evolved Schechter function represents the average total mass loss per cluster (presumably due mostly to evaporation) that is required to transform an initial mass function (IMF) like that of young clusters in the local universe into a typical old GCLF. Both qualitatively and quantitatively, our model fits in Figure 2 correspond to the various similar plots in Fall & Zhang (2001). In fact, the value  $\Delta \simeq (2.5 \pm 0.5) \times 10^5 M_\odot$  obtained here for the Milky Way agrees well with the mass losses required by Fall & Zhang (2001) for their successful models with the second-order effects of tidal shocks included. The simple function in equation (7) is thus a good approximation to their much fuller treatment of the GCLF.

It is also worth emphasizing just how close  $\Delta$  is to the GCLF turnover mass scale. This implies that essentially *all* globulars currently found in the faint “half” of the GCLF are remnants of substantially larger initial entities. Equivalently, any clusters initially less massive than  $\simeq (2-3) \times 10^5 M_\odot$  are inferred to have disappeared completely from the GC system.



Despite any difficulties in detail (§§ 3.2.2 and 7.1) that might remain to be resolved in this evaporation-dominated view of the GCLF, and of GC systems in general, it is important just to have at hand a fitting formula like the evolved Schechter function. In purely phenomenological terms, it fits the GCLF of the Milky Way, which is, after all, still the best defined over the largest range of cluster masses, at least as well as any other function yet tried in the literature. In particular, it captures the basic asymmetry of the distribution without sacrificing the small number of parameters and the simplicity of form that have always been the primary strengths of a Gaussian description. But at the same time, it is grounded in a detailed physical model with well-specified input assumptions (Fall & Zhang 2001). Fitting it to large data sets, such as that afforded by the ACSVCS, thus offers the chance to directly, quantitatively, and economically assess the viability of these ideas, in much more general terms than has been possible to date.

#### 4. FITTING METHODOLOGY AND TECHNICAL CONSIDERATIONS

##### 4.1. Maximum Likelihood Fitting

Given either of the models just discussed, or, of course, any other, we wish to estimate a set of parameters for the intrinsic GCLF of a cluster sample using the method of maximum likelihood, following an approach similar to that of Secker & Harris (1993). To do so, we make use of all the observational material described in § 2.1.

First, we denote the set of GC magnitudes and uncertainties in any galaxy, in either the  $z$  or the  $g$  band, by  $\{m_i, \epsilon_{m,i}\}$ . Second, we write the three-dimensional completeness function discussed above as  $f(m, R_h, I_b)$ , which again depends not only on GC apparent magnitude but also on a cluster's half-light radius and the background ("sky" and galaxy) light intensity at the position of the cluster. Third, from our 17 control fields we are able to estimate the luminosity function of contaminants in the field of any ACSVCS galaxy. We call this function  $b(m)$ , and we determine it by constructing a normal kernel density estimate, with bandwidth chosen using cross validation (see Silverman 1986, §§ 2.4 and 3.4). Finally, this further allows us to estimate the net fractional contamination in the GC sample of each galaxy:  $\hat{B} = N_C/N$ , where  $N_C \equiv (1/17) \sum_{i=1}^{17} N_{C,i}$ , with  $N_{C,i}$  the total number of contaminants present in the  $i$ th customized control field, and  $N$  is the total number of all GC candidates in the sample.

Now, given this observational input, we assume that an intrinsic GCLF is described by some function  $G(m|\Theta)$ , where  $\Theta$  is the set of model parameters to be fitted. The choices for  $G$  that we explore in this paper were discussed in detail in § 3. Thus, for example, for the Gaussian model of equation (2),  $\Theta \equiv \{\mu, \sigma_m\}$ , while for the evolved Schechter function of equation (8),  $\Theta \equiv \{\delta, m_c\}$ . We further assume that magnitude measurement errors are Gaussian distributed, so that, in the absence of contamination, the probability of finding an apparent magnitude  $m$  for a GC with given effective radius  $R_h$ , galaxy background  $I_b$ , and magnitude uncertainty  $\epsilon_m$  would be

$$G_T(m|\Theta, R_h, I_b, \epsilon_m) = \mathcal{A}[h(m|\epsilon_m) \otimes G(m|\Theta)]f(m, R_h, I_b), \quad (15)$$

where  $h(m|\epsilon_m) = (2\pi\epsilon_m^2)^{-1/2} \exp(-m^2/2\epsilon_m^2)$ ;  $\otimes$  denotes convolution; and the normalization  $\mathcal{A}$ , a function of the GCLF parameters  $\Theta$  and the GC properties  $R_h, I_b$ , and  $\epsilon_m$ , is fixed by

requiring that the integral of  $G_T$  over the entire magnitude range covered by the observations be unity.<sup>15</sup>

If a fraction  $\mathcal{B}$  of sources in a galaxy are contaminants, then the probability of having a bona fide GC with magnitude  $m$  (and given  $R_h$ , etc.) is reduced to  $(1 - \mathcal{B})G_T$ , and thus the likelihood that a set of GCLF model parameters  $\Theta$  can account for  $N$  total objects with observed magnitudes  $\{m_i\}$  and properties  $\{R_{h,i}, I_{b,i}, \epsilon_{m,i}\}$  is

$$\mathcal{L}(\Theta, \mathcal{B}) = \prod_{i=1}^N [(1 - \mathcal{B})G_T(m_i|\Theta, R_{h,i}, I_{b,i}, \epsilon_{m,i}) + \mathcal{B}b(m_i)], \quad (16)$$

in which it is assumed that the luminosity function  $b(m)$  of contaminants is also normalized.

For any chosen functional form  $G(m|\Theta)$  of the intrinsic GCLF, we specify some initial parameter values  $\Theta$ , compute  $G_T$  and  $b$  for each observed object in a galaxy, and maximize on  $\Theta$  the product in equation (16). In principle, it is possible simultaneously to determine the contamination fraction in this way, but in practice we found this to be a rather unstable procedure (even small inadequacies in the chosen model for  $G$  can lead to a maximum likelihood solution that converges to quite unreasonable values for  $\mathcal{B}$ ). Thus, we instead made direct use of our prior information from the 17 control fields and fixed this fraction to the rather precise average  $\hat{B}$  that we have measured for each galaxy.

The uncertainties in the fitted parameters  $\Theta$  are estimated by using the covariance matrix calculated at the point of maximum likelihood (e.g., Lupton 1993). These uncertainties include the effects of possible correlation between the parameters, but they do not include the additional, unavoidable uncertainty arising from cosmic variance in the form of  $b(m)$  and the expected number  $\hat{B}$  of contaminants in any field. As such, they constitute lower limits to the total uncertainty. This is not a significant issue for GCLF fits to cluster samples combined from several galaxies (see below), but it can be important for fits to individual galaxies.

To deal with this, when we fit any individual GC system, we rerun our maximum likelihood algorithm 17 times, each time using the background contamination fraction  $\mathcal{B}$  as estimated from a different one of our 17 control fields (vs. using  $\hat{B}$  from an average of all control fields to obtain the nominal best fit). We record the different sets of best-fit GCLF parameters obtained in these trials and use the variance in them to evaluate the additional uncertainty arising from cosmic variance of the background contamination.

##### 4.2. Bias Tests

Maximum likelihood estimators are biased in general. It is thus important when deriving conclusions to test the bias properties of the estimator used, under circumstances similar to the ones under study. We have done this specifically for the benchmark case of Gaussian fits to the GCLF. After obtaining mean magnitudes and dispersions from our maximum likelihood routine for the 89 ACSVCS galaxies, we analyzed 20 simulated data sets per galaxy, using the following procedure. First, we subtracted the number of contaminants  $\hat{B}N$  in the galaxy from the total number  $N$  of GC candidates there, to estimate the expected population  $N_{GC}$  of bona

<sup>15</sup> In principle there should be another factor multiplying  $G_T$  proportional to the marginalization over  $R_h$  of the joint GC distribution in  $m$  and  $R_h$  times an indicator function that is 1 over the area that satisfies  $p_{GC} \geq 0.5$ . We neglect this factor here, which is justified a posteriori by the agreement of results using GC samples constructed using different selection functions.

fide GCs. We then randomly drew a sample of  $N_{GC}$  magnitudes from a Gaussian distribution with a mean  $\mu$  taken to be the fitted maximum likelihood estimate for that galaxy and a dispersion chosen from  $\sigma_m = 0.4, 0.7, 1, \text{ or } 1.3$  mag. (We did five simulations for each of these dispersions, giving the total of 20 simulations per galaxy.) The randomly generated objects replaced the  $N_{GC}$  objects in that galaxy’s sample with the highest  $p_{GC}$  values. The values of  $R_h$  and  $I_h$  of the latter objects plus the simulated magnitude are used to determine the completeness value  $f$  for each source. A uniform random deviate is then computed, and if that is larger than the value of  $f$ , the source is discarded, a new magnitude drawn from the Gaussian, and the process repeated until the condition is met. In this way the effects of completeness are taken into account. The maximum likelihood procedure was finally run on each simulated sample and the output parameters compared to the input ones.

The results of these simulations in the  $z$  band are summarized in Figure 3. There may be slight biases in the recovered parameters, with  $\langle \Delta\sigma_m/\sigma_m \rangle \approx -0.03$  and  $\langle \Delta\mu/\sigma_m \rangle \approx -0.03$ , although there are no significant trends in these average offsets with galaxy luminosity (i.e., sample size). Moreover, the statistical significance of these biases is not high ( $< 3\sigma$ ), and so we choose not to correct for them. As a result, it is possible that our output best-fit parameters are biased at the level of 3% of the GCLF dispersion; but with the possible exception only of the most populous GC system (that of M87=VCC 1316), this turns out always to be smaller than the formal uncertainties on the GCLF parameters (see § 5.1). Note that the *scatter* of the retrieved parameters compared with the input ones increases toward fainter galaxy magnitudes because the candidate GC sample size is decreasing, and the variance in the estimates of both  $\sigma$  and  $\mu$  scales as  $\sim 1/N$ .

#### 4.3. Effects of Selection Procedure

As we mentioned in § 2, the procedure we used to construct a sample of GC candidates for each galaxy involved assigning a probability  $p_{GC}$  to each source and allowing into the sample only those objects with  $p_{GC} \geq 0.5$ . This may influence the resulting observed luminosity function and consequently affect the derived parameters of any fitted model. In order to check that we do not unduly bias our GCLF fitting by this selection technique, we also constructed alternate candidate GC samples that do not use the selection on  $p_{GC}$  but only apply a magnitude cut and an upper limit of  $R_h \lesssim 5$  pc (cf. the second half of Table 1 in § 2.1). The magnitude distributions of such samples are free of any selection effects arising from using the  $p_{GC}$  values and are useful for testing the robustness of any result. Thus, when we fit GCLFs to any of our data, we have verified that consistent conclusions are obtained using either of our sample definitions.

#### 4.4. Binned Samples

While we always perform GCLF fits to individual galaxies, some of the fainter systems suffer from small number statistics and/or excessive contamination. We thus constructed still more GC samples by combining all candidate clusters from as many galaxies as required to reach a total sample size above some minimum. Going down the list of our target galaxies sorted by apparent  $B$  magnitude, we accumulate galaxies until the expected number of bona fide GCs (i.e., the total number of candidates minus the number of contaminants estimated from our customized control fields) is  $\geq 200$ . Although many of the brighter galaxies satisfy this condition by themselves, we refer to the samples

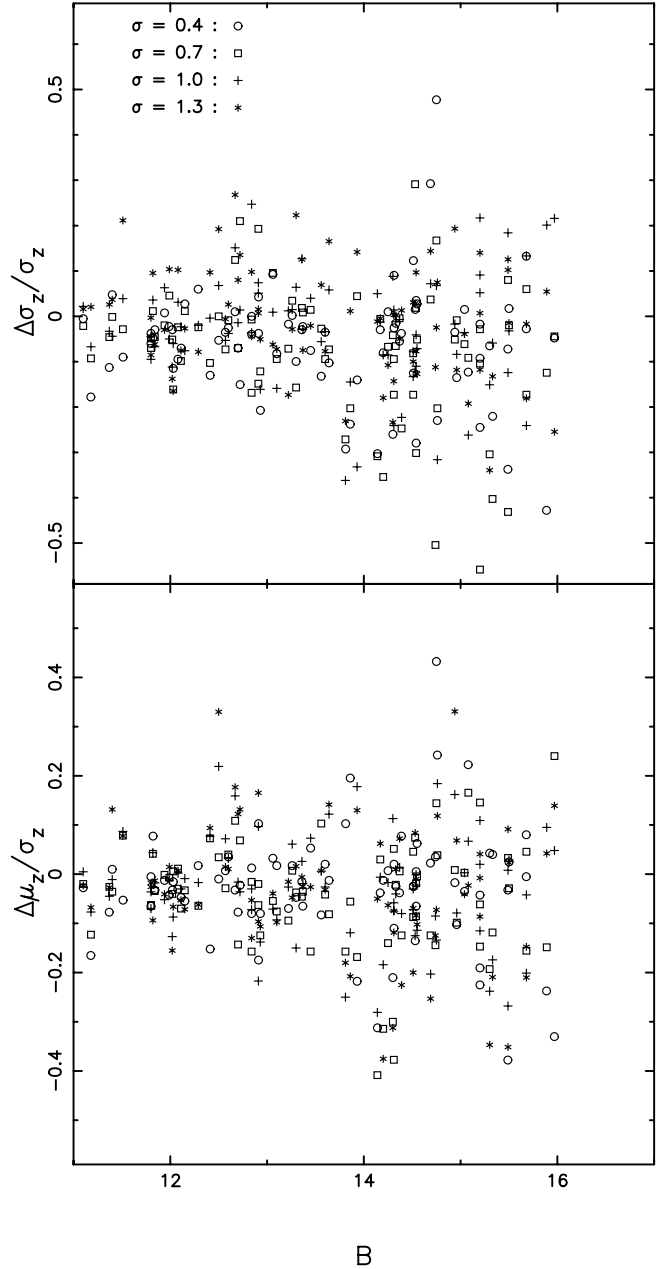


FIG. 3.—*Top*: Fractional difference  $\Delta\sigma_z/\sigma_z$  between input and recovered Gaussian dispersion for simulated GC systems with four different Gaussian dispersions assumed ( $\sigma = 0.4, 0.7, 1.0, 1.3$ ). *Bottom*: Difference  $\Delta\mu_z/\sigma_z$  between input and recovered Gaussian mean  $\mu$  for the same simulated GC systems as in the top panel.

defined in this way as “binned” samples.<sup>16</sup> There are 24 of them in all, and they are used in § 6 particularly, to assess trends in GCLF parameters as a function of galaxy luminosity without the significant scatter caused by the small numbers of GCs in faint systems.

Our SBF analysis has shown that some of the ACSVCS galaxies have distance moduli significantly different from the mean

<sup>16</sup> We excluded five galaxies when constructing the binned samples, namely, VCC 798, VCC 1192, VCC 1199, VCC 1297, and VCC 1327. The first was excluded due to the presence of a strong excess of diffuse clusters (Peng et al. 2006b) and the rest because of their proximity to either M87 or M49, making their GC systems dominated by those of their giant neighbor; see § 6.3. We additionally excluded all galaxies without available SBF distances.

TABLE 2  
GAUSSIAN GCLF PARAMETERS FOR INDIVIDUAL ACSVCS GALAXIES

VCC (1)	$B_{\text{gal}}$ (2)	$\mu_g$ (3)	$\sigma_g$ (4)	$\mu_z$ (5)	$\sigma_z$ (6)	$\hat{\beta}$ (7)	$N$ (8)	Comments (9)
VCC 1226.....	9.31	24.105 ± 0.086	1.366 ± 0.061	22.789 ± 0.077	1.321 ± 0.053	0.023	764	
VCC 1316.....	9.58	24.018 ± 0.049	1.312 ± 0.035	22.689 ± 0.041	1.242 ± 0.030	0.014	1745	
VCC 1978.....	9.81	24.062 ± 0.077	1.340 ± 0.058	22.747 ± 0.070	1.316 ± 0.050	0.022	807	
VCC 881.....	10.06	23.950 ± 0.097	1.274 ± 0.075	22.834 ± 0.093	1.238 ± 0.071	0.034	367	
VCC 798.....	10.09	25.120 ± 0.232	1.708 ± 0.130	23.722 ± 0.179	1.562 ± 0.102	0.016	507	Faint excess
VCC 763.....	10.26	23.973 ± 0.074	1.178 ± 0.055	22.836 ± 0.070	1.159 ± 0.052	0.035	506	
VCC 731.....	10.51	24.403 ± 0.061	1.207 ± 0.046	23.211 ± 0.059	1.199 ± 0.044	0.021	907	
VCC 1535.....	10.61	23.685 ± 0.097	1.079 ± 0.076	22.512 ± 0.092	1.063 ± 0.067	0.042	244	
VCC 1903.....	10.76	23.446 ± 0.089	1.192 ± 0.071	22.255 ± 0.089	1.215 ± 0.073	0.046	308	
VCC 1632.....	10.78	23.951 ± 0.103	1.423 ± 0.077	22.717 ± 0.095	1.390 ± 0.071	0.038	456	
VCC 1231.....	11.10	23.715 ± 0.090	1.103 ± 0.072	22.592 ± 0.089	1.106 ± 0.069	0.058	254	
VCC 2095.....	11.18	24.429 ± 0.296	1.564 ± 0.226	23.503 ± 0.333	1.615 ± 0.209	0.076	134	Faint excess
VCC 1154.....	11.37	23.902 ± 0.092	0.988 ± 0.072	22.813 ± 0.094	1.001 ± 0.072	0.065	192	
VCC 1062.....	11.40	23.687 ± 0.133	1.218 ± 0.110	22.548 ± 0.123	1.203 ± 0.097	0.066	179	
VCC 2092.....	11.51	24.009 ± 0.198	1.111 ± 0.176	22.882 ± 0.186	1.135 ± 0.148	0.114	92	
VCC 369.....	11.80	23.622 ± 0.117	1.102 ± 0.102	22.447 ± 0.108	1.077 ± 0.091	0.068	179	Faint excess
VCC 759.....	11.80	23.805 ± 0.121	1.120 ± 0.098	22.689 ± 0.114	1.084 ± 0.090	0.067	172	
VCC 1692.....	11.82	23.872 ± 0.146	1.073 ± 0.123	22.831 ± 0.153	1.120 ± 0.117	0.096	136	
VCC 1030.....	11.84	23.737 ± 0.098	0.980 ± 0.078	22.621 ± 0.098	1.021 ± 0.076	0.072	176	
VCC 2000.....	11.94	23.482 ± 0.119	1.183 ± 0.100	22.471 ± 0.109	1.159 ± 0.087	0.071	197	
VCC 685.....	11.99	23.692 ± 0.135	1.248 ± 0.110	22.584 ± 0.127	1.213 ± 0.104	0.085	167	
VCC 1664.....	12.02	23.675 ± 0.121	1.049 ± 0.094	22.502 ± 0.110	1.009 ± 0.086	0.092	146	
VCC 654.....	12.03	23.981 ± 0.200	0.911 ± 0.192	23.053 ± 0.207	0.930 ± 0.166	0.194	48	
VCC 944.....	12.08	23.721 ± 0.140	0.868 ± 0.121	22.712 ± 0.140	0.893 ± 0.114	0.132	91	
VCC 1938.....	12.11	23.798 ± 0.145	1.077 ± 0.123	22.830 ± 0.140	1.020 ± 0.130	0.113	101	
VCC 1279.....	12.15	23.666 ± 0.111	1.031 ± 0.088	22.612 ± 0.111	1.035 ± 0.086	0.097	138	
VCC 1720.....	12.29	23.672 ± 0.159	0.798 ± 0.150	22.615 ± 0.161	0.871 ± 0.141	0.141	71	
VCC 355.....	12.41	24.618 ± 0.364	1.221 ± 0.250	23.406 ± 0.239	1.036 ± 0.168	0.167	62	
VCC 1619.....	12.50	24.255 ± 0.238	1.050 ± 0.207	23.178 ± 0.235	1.061 ± 0.175	0.165	66	
VCC 1883.....	12.57	24.135 ± 0.217	1.106 ± 0.175	23.066 ± 0.184	1.064 ± 0.144	0.124	83	
VCC 1242.....	12.60	23.741 ± 0.130	0.919 ± 0.115	22.624 ± 0.126	0.963 ± 0.101	0.105	116	
VCC 784.....	12.67	24.299 ± 0.203	0.870 ± 0.188	23.122 ± 0.179	0.813 ± 0.164	0.178	64	
VCC 1537.....	12.70	23.688 ± 0.279	0.977 ± 0.279	22.789 ± 0.328	1.143 ± 0.274	0.256	45	
VCC 778.....	12.72	24.197 ± 0.215	1.081 ± 0.166	23.120 ± 0.204	1.043 ± 0.147	0.163	74	
VCC 1321.....	12.84	24.025 ± 0.275	0.831 ± 0.276	23.057 ± 0.255	0.849 ± 0.223	0.198	50	
VCC 828.....	12.84	23.817 ± 0.177	1.042 ± 0.159	22.800 ± 0.147	0.902 ± 0.126	0.143	80	
VCC 1250.....	12.91	23.585 ± 0.163	0.815 ± 0.147	22.611 ± 0.165	0.834 ± 0.132	0.200	54	
VCC 1630.....	12.91	24.201 ± 0.406	1.310 ± 0.313	23.150 ± 0.361	1.316 ± 0.252	0.217	57	
VCC 1146.....	12.93	23.895 ± 0.153	0.901 ± 0.185	22.757 ± 0.180	0.892 ± 0.173	0.148	82	
VCC 1025.....	13.06	24.265 ± 0.125	0.844 ± 0.117	23.357 ± 0.148	0.933 ± 0.116	0.143	104	
VCC 1303.....	13.10	23.640 ± 0.150	0.780 ± 0.128	22.836 ± 0.158	0.807 ± 0.122	0.176	61	
VCC 1913.....	13.22	23.764 ± 0.137	0.750 ± 0.128	22.749 ± 0.144	0.759 ± 0.120	0.180	65	
VCC 1327.....	13.26	23.686 ± 0.133	1.259 ± 0.107	22.624 ± 0.118	1.211 ± 0.094	0.081	173	VCC 1316 companion
VCC 1125.....	13.30	23.701 ± 0.144	0.791 ± 0.144	22.650 ± 0.146	0.783 ± 0.123	0.179	62	
VCC 1475.....	13.36	24.094 ± 0.159	0.999 ± 0.155	23.239 ± 0.190	1.112 ± 0.146	0.138	85	
VCC 1178.....	13.37	23.621 ± 0.148	1.002 ± 0.114	22.574 ± 0.129	0.953 ± 0.093	0.124	90	
VCC 1283.....	13.45	24.058 ± 0.172	0.880 ± 0.155	23.062 ± 0.179	0.930 ± 0.139	0.170	66	
VCC 1261.....	13.56	24.038 ± 0.350	1.146 ± 0.342	23.058 ± 0.358	1.246 ± 0.263	0.217	46	
VCC 698.....	13.60	23.793 ± 0.096	0.832 ± 0.071	22.799 ± 0.089	0.814 ± 0.064	0.105	119	
VCC 1422.....	13.64	23.711 ± 0.276	0.703 ± 0.261	22.595 ± 0.236	0.694 ± 0.220	0.256	37	
VCC 2048.....	13.81	23.481 ± 0.508	0.976 ± 0.303	22.444 ± 0.340	0.893 ± 0.284	0.420	22	
VCC 1871.....	13.86	23.597 ± 0.739	1.194 ± 0.588	22.619 ± 0.690	1.190 ± 0.581	0.516	18	
VCC 9.....	13.93	23.863 ± 0.547	1.023 ± 0.378	22.833 ± 0.371	0.897 ± 0.236	0.246	34	
VCC 575.....	14.14	24.952 ± 0.263	0.558 ± 0.219	23.881 ± 0.333	0.316 ± 0.362	0.386	27	
VCC 1910.....	14.17	23.787 ± 0.237	1.181 ± 0.198	22.655 ± 0.215	1.141 ± 0.185	0.180	60	
VCC 1049.....	14.20	24.110 ± 0.564	0.559 ± 0.530	23.221 ± 0.463	0.671 ± 0.373	0.487	18	
VCC 856.....	14.25	23.886 ± 0.263	0.922 ± 0.189	22.797 ± 0.193	0.870 ± 0.139	0.211	50	
VCC 140.....	14.30	24.029 ± 0.321	0.800 ± 0.281	23.027 ± 0.300	0.822 ± 0.196	0.327	29	
VCC 1355.....	14.31	24.536 ± 0.957	1.260 ± 0.714	23.696 ± 0.785	1.168 ± 0.675	0.468	20	
VCC 1087.....	14.31	23.741 ± 0.151	0.929 ± 0.120	22.722 ± 0.139	0.900 ± 0.119	0.162	68	
VCC 1297.....	14.33	23.401 ± 0.119	1.140 ± 0.097	22.298 ± 0.106	1.083 ± 0.086	0.092	152	VCC 1316 companion
VCC 1861.....	14.37	23.688 ± 0.293	1.042 ± 0.243	22.603 ± 0.225	0.953 ± 0.187	0.233	49	
VCC 543.....	14.39	23.908 ± 0.235	0.701 ± 0.177	22.844 ± 0.195	0.646 ± 0.139	0.330	28	
VCC 1431.....	14.51	24.132 ± 0.190	1.050 ± 0.169	23.112 ± 0.199	1.088 ± 0.149	0.158	71	
VCC 1528.....	14.51	23.552 ± 0.149	0.717 ± 0.119	22.621 ± 0.136	0.702 ± 0.115	0.221	49	

TABLE 2—*Continued*

VCC (1)	$B_{\text{gal}}$ (2)	$\mu_g$ (3)	$\sigma_g$ (4)	$\mu_z$ (5)	$\sigma_z$ (6)	$\hat{B}$ (7)	$N$ (8)	Comments (9)
VCC 1695.....	14.53	24.408 ± 0.461	0.957 ± 0.481	23.462 ± 0.558	1.093 ± 0.434	0.380	22	
VCC 1833.....	14.54	24.116 ± 0.268	0.700 ± 0.246	22.953 ± 0.160	0.500 ± 0.209	0.332	28	
VCC 437.....	14.54	23.942 ± 0.198	0.782 ± 0.169	23.063 ± 0.179	0.845 ± 0.140	0.229	50	
VCC 2019.....	14.55	23.543 ± 0.255	0.858 ± 0.258	22.612 ± 0.236	0.849 ± 0.213	0.303	34	
VCC 200.....	14.69	24.471 ± 0.326	0.672 ± 0.463	23.578 ± 0.402	0.825 ± 0.359	0.379	25	
VCC 571.....	14.74	24.362 ± 0.684	0.938 ± 0.822	24.366 ± 1.728	1.460 ± 0.956	0.478	17	
VCC 21.....	14.75	24.332 ± 0.802	1.427 ± 0.802	23.293 ± 0.701	1.350 ± 0.478	0.351	26	
VCC 1488.....	14.76	24.137 ± 0.421	0.573 ± 0.364	23.030 ± 0.539	0.511 ± 0.392	0.471	19	
VCC 1499.....	14.94	24.496 ± 0.691	1.352 ± 0.608	23.806 ± 0.674	1.325 ± 0.387	0.271	35	
VCC 1545.....	14.96	24.079 ± 0.178	0.884 ± 0.175	23.148 ± 0.178	0.894 ± 0.152	0.189	63	
VCC 1192.....	15.04	23.777 ± 0.091	1.070 ± 0.072	22.660 ± 0.086	1.049 ± 0.067	0.064	213	VCC 1226 companion
VCC 1075.....	15.08	23.514 ± 0.240	0.553 ± 0.247	22.522 ± 0.197	0.515 ± 0.226	0.378	26	
VCC 1440.....	15.20	24.280 ± 0.278	0.887 ± 0.251	23.298 ± 0.228	0.826 ± 0.175	0.259	38	
VCC 230.....	15.20	23.957 ± 0.218	0.545 ± 0.198	23.099 ± 0.336	0.581 ± 0.319	0.274	38	
VCC 2050.....	15.20	23.900 ± 0.436	0.281 ± 0.536	22.964 ± 0.389	0.304 ± 0.370	0.459	20	
VCC 751.....	15.30	23.508 ± 0.267	0.493 ± 0.212	22.674 ± 0.247	0.501 ± 0.177	0.495	17	
VCC 1828.....	15.33	23.806 ± 0.250	0.701 ± 0.265	22.757 ± 0.283	0.664 ± 0.329	0.355	27	
VCC 1407.....	15.49	24.449 ± 0.144	0.666 ± 0.145	23.468 ± 0.150	0.747 ± 0.120	0.186	60	
VCC 1886.....	15.49	23.027 ± 0.984	0.967 ± 1.086	21.565 ± 0.520	0.463 ± 0.566	0.622	14	
VCC 1199.....	15.50	23.828 ± 0.102	1.163 ± 0.084	22.679 ± 0.092	1.123 ± 0.072	0.060	228	VCC 1226 companion
VCC 1539.....	15.68	23.810 ± 0.213	0.826 ± 0.214	22.821 ± 0.207	0.901 ± 0.163	0.275	43	
VCC 1185.....	15.68	23.840 ± 0.197	0.691 ± 0.137	22.910 ± 0.159	0.639 ± 0.113	0.292	33	
VCC 1489.....	15.89	23.977 ± 0.439	0.378 ± 0.260	23.156 ± 0.381	0.482 ± 0.526	0.417	22	
VCC 1661.....	15.97	24.177 ± 0.154	0.225 ± 0.201	23.059 ± 0.417	0.615 ± 0.336	0.477	19	

NOTES.—Col. (1): Galaxy VCC number. Col. (2): Galaxy  $B$  magnitude from Binggeli et al. (1985). Cols. (3) and (4): Maximum likelihood estimates of the Gaussian mean  $\mu$  and dispersion  $\sigma$  of the  $g$ -band GCLF. Cols. (5) and (6): Same as cols. (3) and (4), but for the  $z$  band. Col. (7): Fraction  $\hat{B}$  of the sample that is expected to be contamination. Col. (8): Total number  $N$  of all objects (including contaminants and uncorrected for incompleteness) with  $p_{\text{GC}} \geq 0.5$ . Col. (9): Comments on individual galaxies.

$(m - M)_0 = 31.09$  mag for Virgo (Mei et al. 2007), and thus simply combining the apparent magnitudes of GCs from different galaxies with no correction could artificially inflate the dispersion of any composite GCLF. To avoid this, we do the binning by first using the SBF distances to transform all candidate GC luminosities to the value they would have at a distance of 31.1 mag ( $D = 16.5$  Mpc).

## 5. MODEL FITS

In this section we present the results of our maximum likelihood fitting of Gaussians and evolved Schechter functions to the GCLFs in the Virgo Cluster Survey. Recall that any alternative model may be fitted to the GCLF histograms in Table 1, which can be downloaded from the electronic edition of the *Astrophysical Journal*.

### 5.1. Gaussian Fits

The parameter estimates for an intrinsic Gaussian fitted to our 89 individual GCLFs are given in Table 2. There we list each galaxy’s ID number in the VCC and its total apparent magnitude  $B_{\text{gal}}$ , both taken from Binggeli et al. (1985). Following this are the maximum likelihood values of the mean GC magnitude and dispersion and their uncertainties in the  $g$  band ( $\mu_g, \sigma_g$ ), the same quantities in the  $z$  band ( $\mu_z, \sigma_z$ ), the fraction  $\hat{B}$  of the sample that is expected to be contamination, and the total number  $N$  of all objects (including contaminants and uncorrected for incompleteness) in the galaxy’s candidate GC sample. Column (9) of Table 2 gives comments on a few galaxies with noteworthy aspects. Note that the uncertainties in the Gaussian parameters include contributions from cosmic variance in the shape and normalization of the contamination luminosity function  $b(m)$  (see § 4.1).

In Figure 4 we present histograms of the observed GCLFs along with the best-fitting maximum likelihood models. The galaxies are arranged in order of decreasing apparent  $B_{\text{gal}}$  magnitude (i.e., the same order as in Table 2), and there are two panels per galaxy: one presenting the  $z$ -band data and model fits, and one for the  $g$  band. The bin width chosen for display purposes here is not the same for all galaxies, but follows the rule  $h = 2(\text{IQR})N^{-1/3}$ , where (IQR) is the interquartile range of the magnitude distribution and  $N$  is the total number of objects in each GC sample (Izenman 1991).

There are four curves drawn in every panel of Figure 4. The dashed curve is the best-fit intrinsic Gaussian GCLF, given by equation (2) with the parameters listed in Table 2. The dotted curve is this intrinsic model multiplied by the completeness function,  $f(m, R_h, I_b)$ , after marginalizing the latter over the distribution of  $R_h$  and  $I_b$  for the observed sources in each galaxy.<sup>17</sup> The solid gray curve is our kernel density estimate of the expected contaminant luminosity function. Finally, the solid black curve is the sum of the solid gray and dotted curves; it is the net distribution for which the likelihood in equation (16) above is maximized.

Since we have two realizations of the GCLF for every galaxy, one in the  $z$  band and one in the  $g$  band, we are able to check the

<sup>17</sup> In order to marginalize  $f(m, R_h, I_b)$ , one needs to know the distributions of  $R_h$  and  $I_b$ , information that is not available a priori. Using the full observed distributions of  $R_h$  and  $I_b$  is not possible because they are affected by completeness (e.g., faint GCs with large  $R_h$  are less likely to be detected). We therefore marginalize  $f$  assuming that the underlying distributions in of  $R_h$  and  $I_b$  are given by the observed distributions for objects satisfying  $z < 22.5$  and  $g < 23.7$ , which gives samples of objects that can be considered complete with high confidence, anywhere in any of our galaxies.

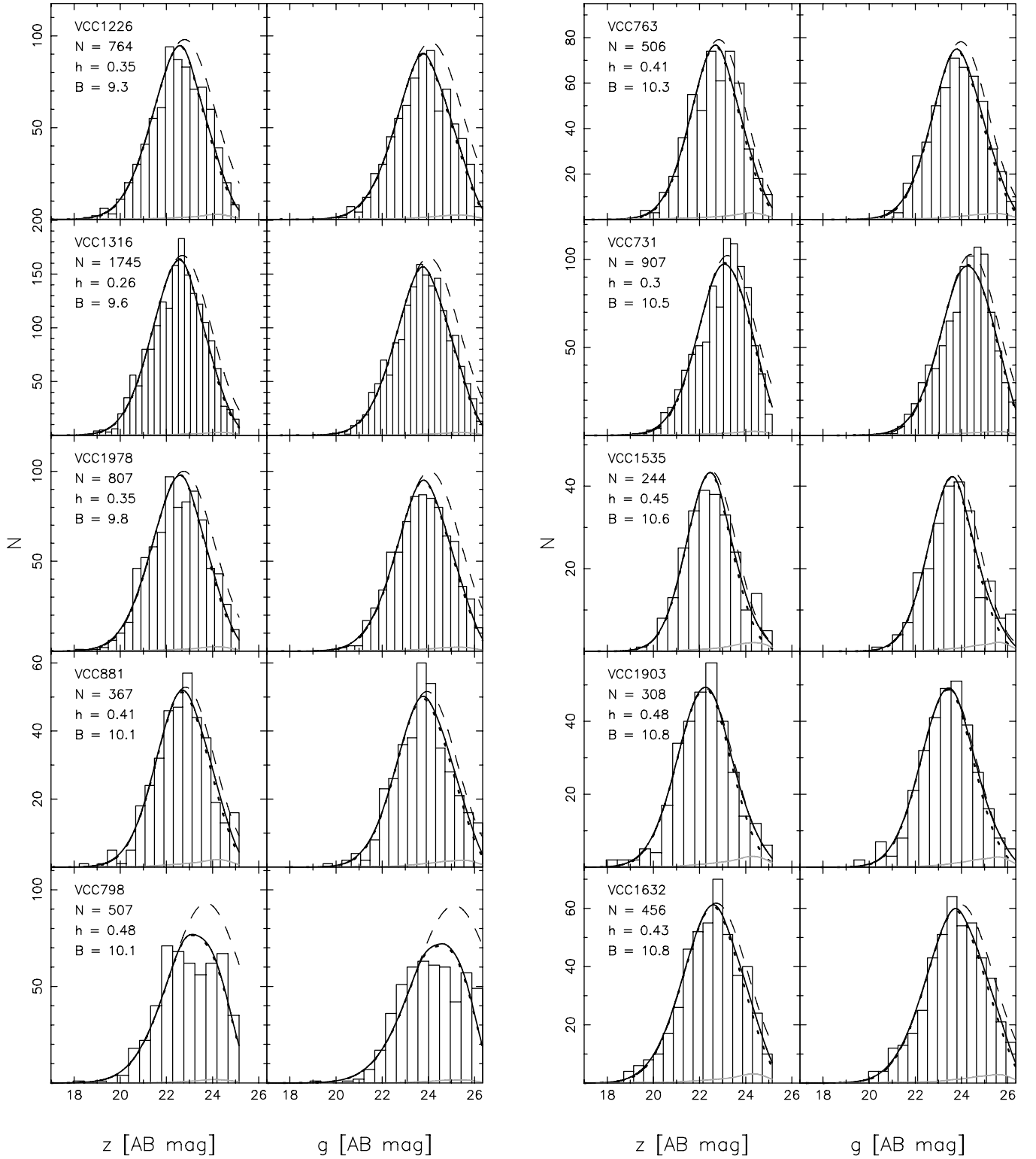


FIG. 4.—Histograms of the GCLFs for our sample galaxies. For each galaxy we present the  $z$ -band and  $g$ -band GCLFs side by side. The VCC name and  $B$  magnitude of the galaxy are indicated in the upper left corner of the left panel, where we also indicate the total number of sources in each histogram and the bin width  $h$  used to construct the histogram. In addition, we show the best-fit model (*solid black curve*), the intrinsic Gaussian component (*dashed curve*), the Gaussian component multiplied by the expected completeness (*dotted curve*), and a kernel density estimate of the expected contamination in the sample (*solid gray curve*). The solid black curve is the sum of the solid gray and dotted curves. The galaxies are ordered by decreasing apparent  $B$ -band total luminosity, reading down from the upper left corner. The parameters of the fits are given in Table 2. [See the electronic edition of the Supplement for a color version of this figure.]

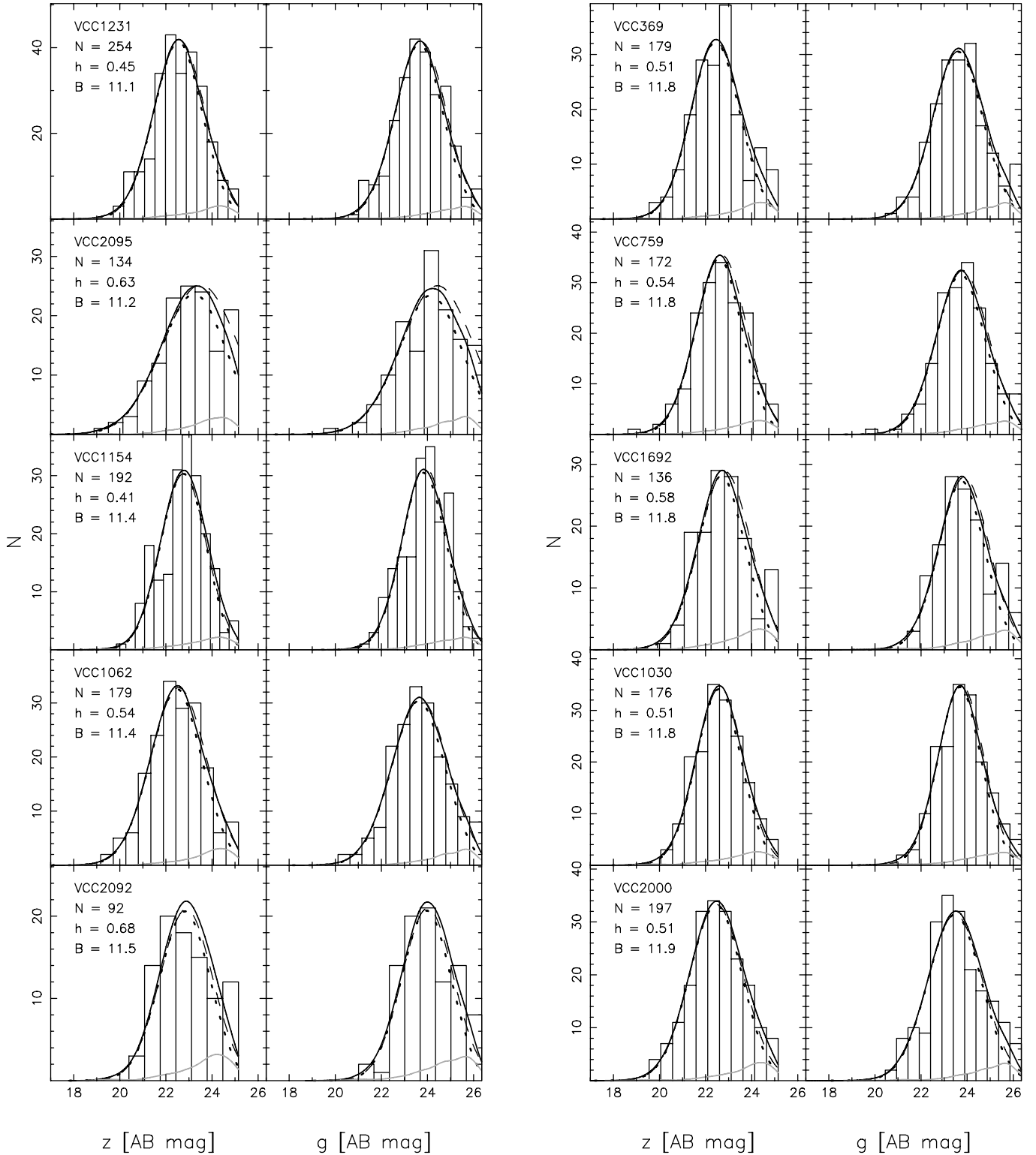


FIG. 4—Continued

internal consistency of our model parameter estimates. Thus, in Figure 5 we compare the measured Gaussian means and dispersions in the two bands. The left panel of this plot shows the scatter of  $\sigma_z$  versus  $\sigma_g$  about a line of equality, while the right panel shows the difference in fitted means ( $\mu_g - \mu_z$ ) versus the average GC ( $g - z$ ) color in each galaxy (from Peng et al. 2006a), again compared to a line of equality. Both cases show excellent agreement between the maximum likelihood results for the two band-

passes. We conclude that the measurements are internally consistent and that our uncertainty estimates are reasonable.

Finally, we also fit Gaussians to our 24 “binned” GC samples, constructed by combining the candidates in as many galaxies as necessary to reach net sample sizes of at least 200 (see § 4.4). The IDs and total magnitudes of the galaxies going into each of these bins are summarized in Table 3, along with the best-fit  $z$ - and  $g$ -band Gaussian parameters for each binned GCLF and

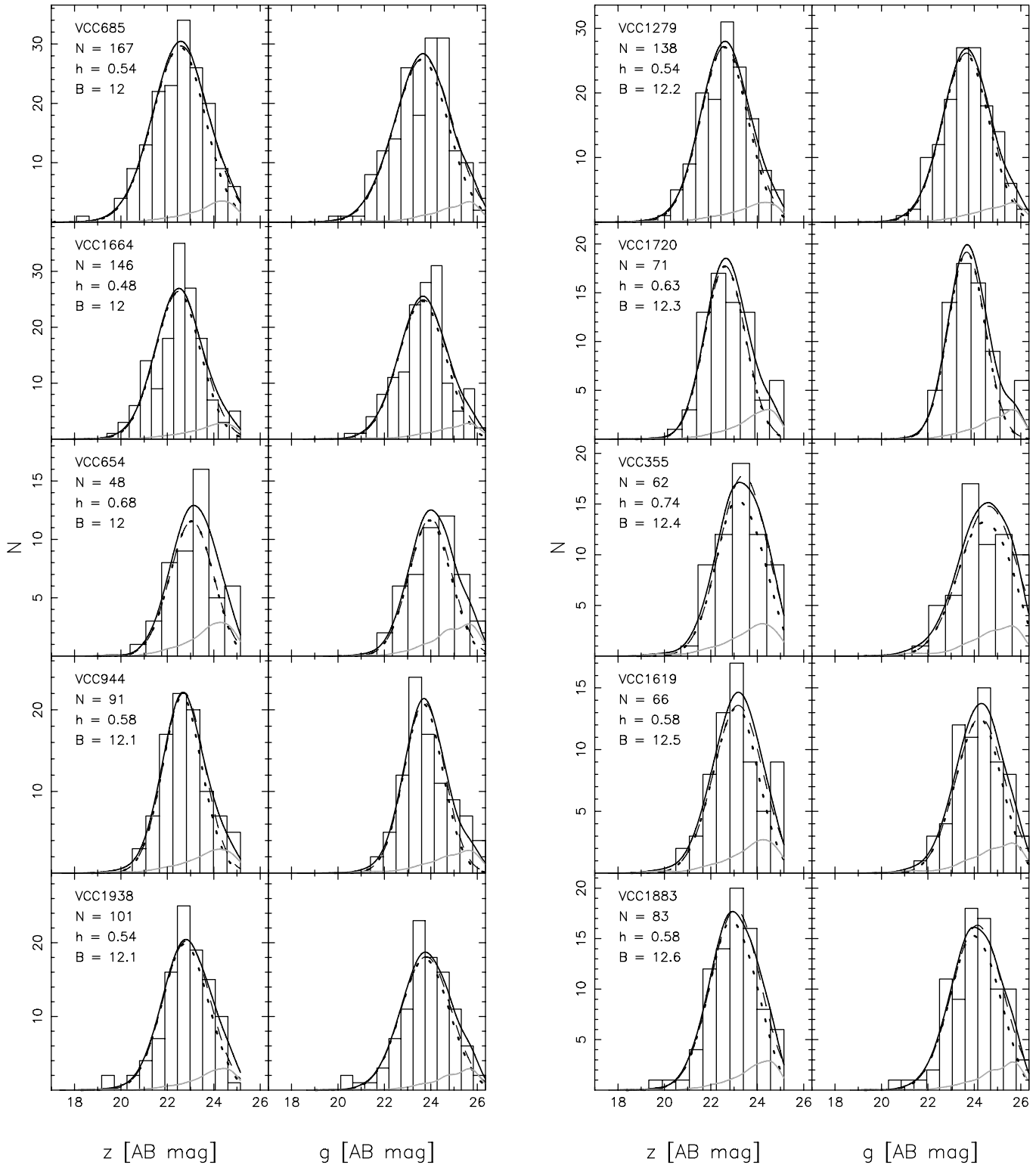


FIG. 4—Continued

the best-fit parameters for the evolved Schechter function discussed in § 3 (see just below). In Figure 6 we display the binned GCLFs in histogram format, along with a number of curves representing the maximum likelihood Gaussian fits. The curves in every panel have exactly the same meaning as in the individual GCLF fits of Figure 4. We additionally show in this figure (as the crosses in each magnitude bin of each histogram) alternative GCLFs for the binned galaxy samples, obtained by defining

GCs on the basis of absolute magnitude and an upper limit on the half-light radius  $R_h$  (§ 4.3).<sup>18</sup>

In § 6 we compare GCLF systematics as a function of galaxy properties for these binned samples versus the fits to individual galaxies. We also note here, without showing further details, that

<sup>18</sup> Note that these alternative GCLFs do not have exactly the same numbers of objects as the bar histograms corresponding to GC samples defined by  $p_{GC} \geq 0.5$ .

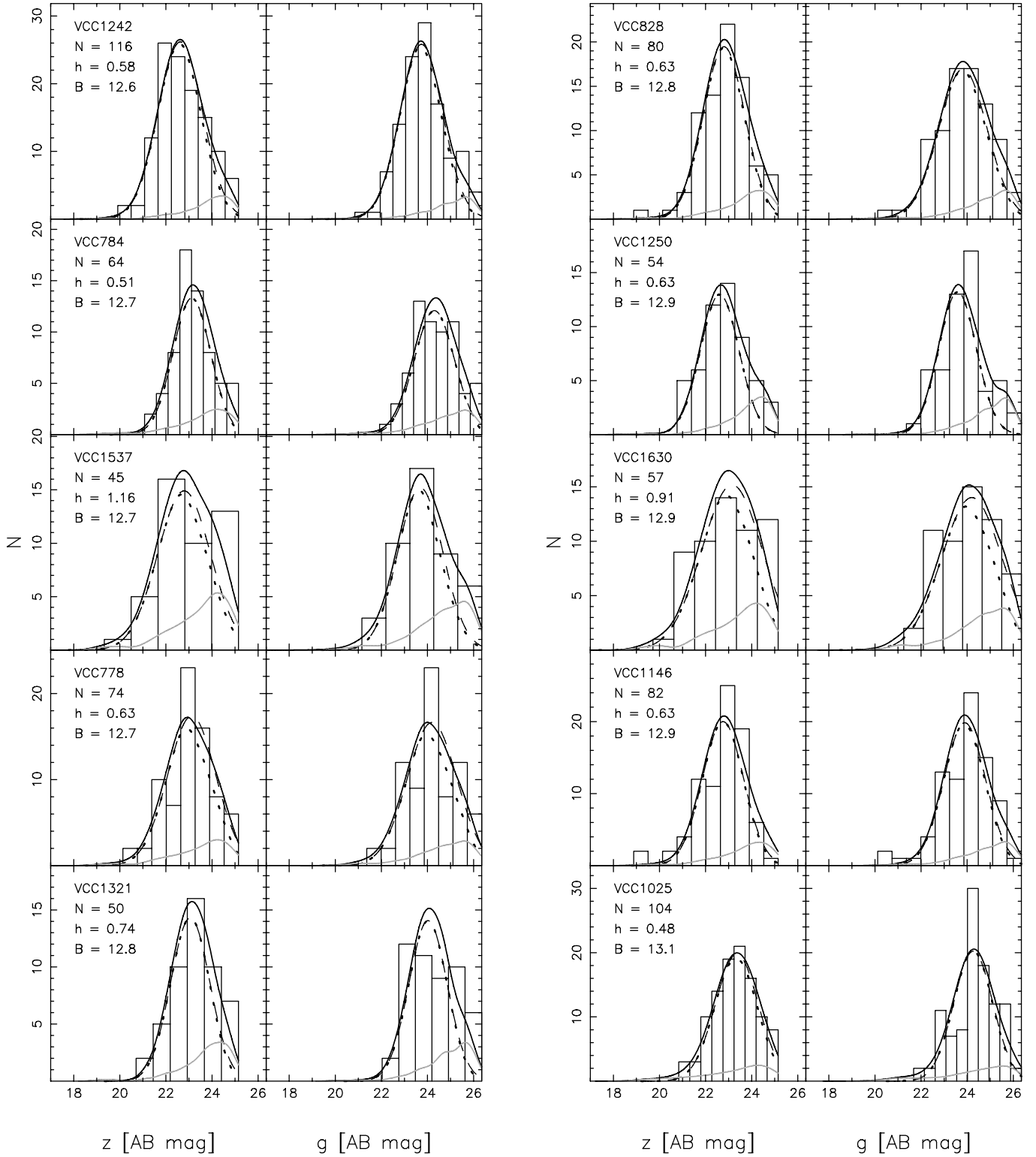


FIG. 4—Continued

repeating the exercises of this section using the samples of GC candidates selected only by magnitude and  $R_h$ , rather than by a  $p_{GC}$  criterion, leads to results that are consistent in all ways with those we present below.

### 5.2. Fits of Evolved Schechter Functions

We have performed fits of the evolved Schechter function in equation (8), or equivalently the more transparent equation (7),

to the GCLFs of our individual galaxies and binned samples. Here we discuss only the results of fitting the 24 binned GC samples, as the results from fitting to all 89 galaxies separately lead to similar conclusions.

In all these fits, we enforced the constraint that the fitted (average) mass loss  $\Delta$  be less than 10 times the exponential cutoff mass scale  $M_c$ :  $\Delta/M_c < 10$ , or  $(\delta - m_c) > -2.5$  in magnitude terms. This was done because, as was discussed in § 3.2 (see Fig. 1),



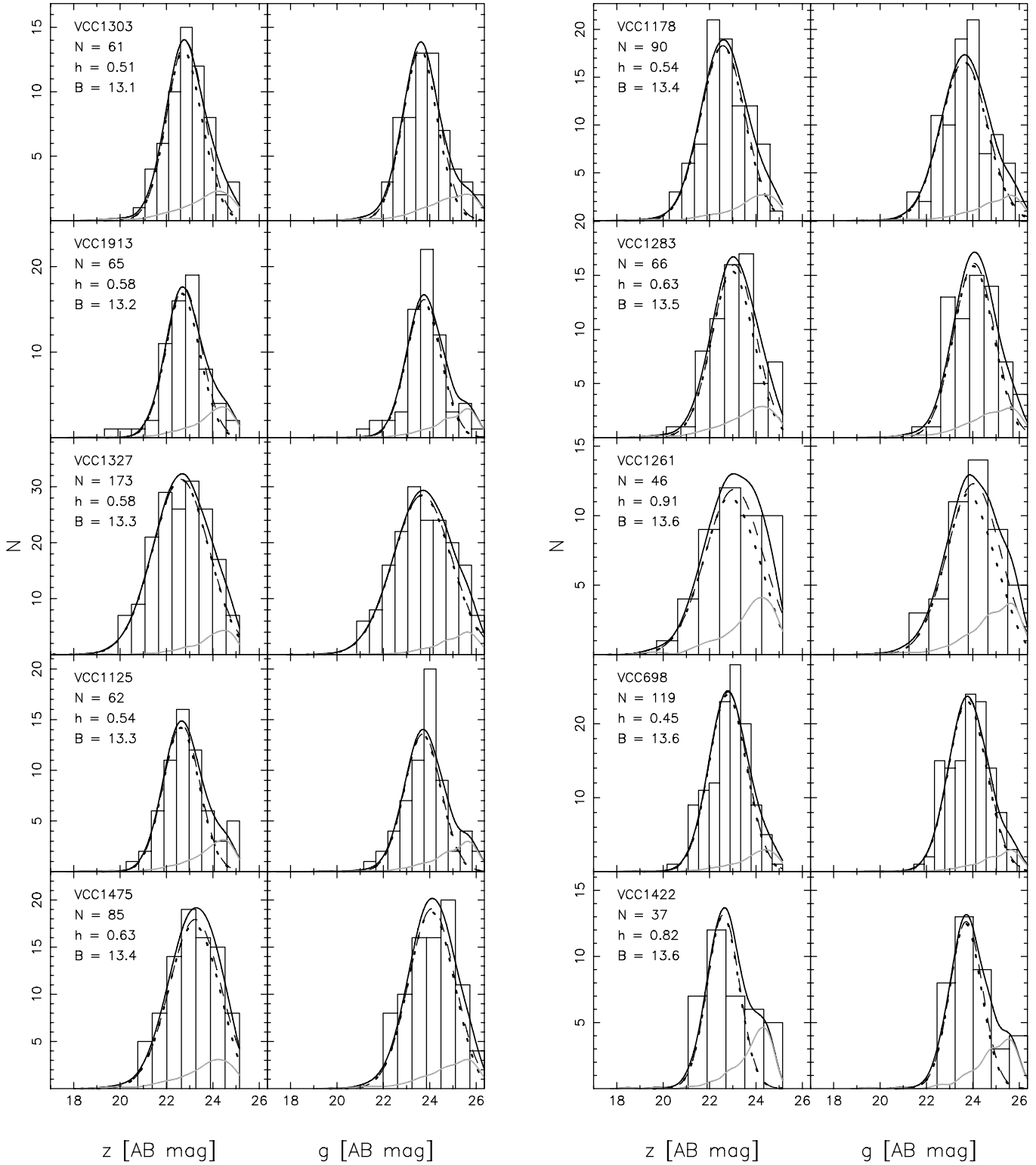


FIG. 4—Continued

for such large ratios of  $\Delta$  to  $M_c$  the evolved Schechter function has essentially attained a universal limiting shape. The likelihood surface then becomes very flat for any greater  $\Delta/M_c$ , and the fitting procedure has difficulty converging if this parameter is allowed to vary to arbitrarily high values. The majority of our evolved Schechter function fits do converge to  $\Delta/M_c$  values that satisfy our imposed constraint; in only one case does the “best-fit” model have the limiting  $\Delta/M_c = 10$ .

We show in Figure 7 the binned sample GCLF histograms, along with model curves analogous to those in Figure 6. Again, then, the intrinsic evolved Schechter model GCLF is the dashed curve; this model multiplied by the marginalized completeness function is the dotted curve; a kernel density estimate of the contaminant luminosity function is shown as the solid gray curve; and the net best-fitting model (sum of dotted and solid gray curves) is drawn as a solid black curve. Also as in Figure 6, we use crosses

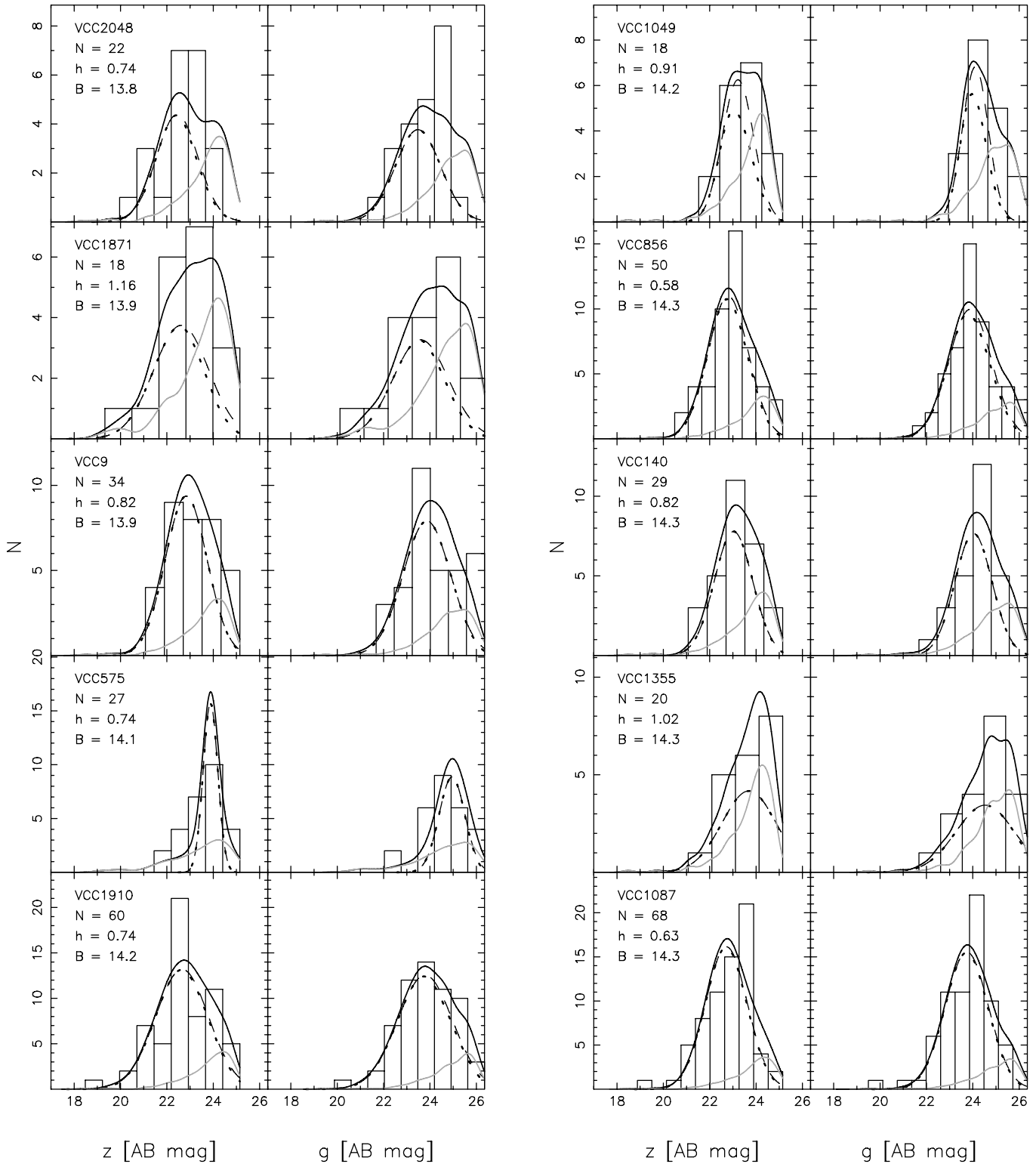


FIG. 4—Continued

in Figure 7 to show the GCLFs inferred in every galaxy bin when we define GC samples by simple magnitude cuts and  $R_h$  limits, rather than by using our  $p_{GC}$  probabilities.

Comparing Figure 7 with Figure 6, it is apparent that an evolved Schechter function describes the GCLFs of bright galaxies about as well as a Gaussian does. In some of the fainter galaxies there is possibly a tendency for the Schechter function to overestimate the relative number of faint GCs, but it is dif-

ficult to assess how serious this might be. The worst disagreements between the model fits and the data tend to occur in the very faintest extents of the histograms for the handful of the faintest galaxy bins at the end of Figure 7. Indeed, the largest discrepancies appear at magnitudes where contaminants account for  $\geq 50\%$  of the total observed population. Any impression of success or failure for *any* model in these extreme regimes of the GCLFs must be tempered by the realization

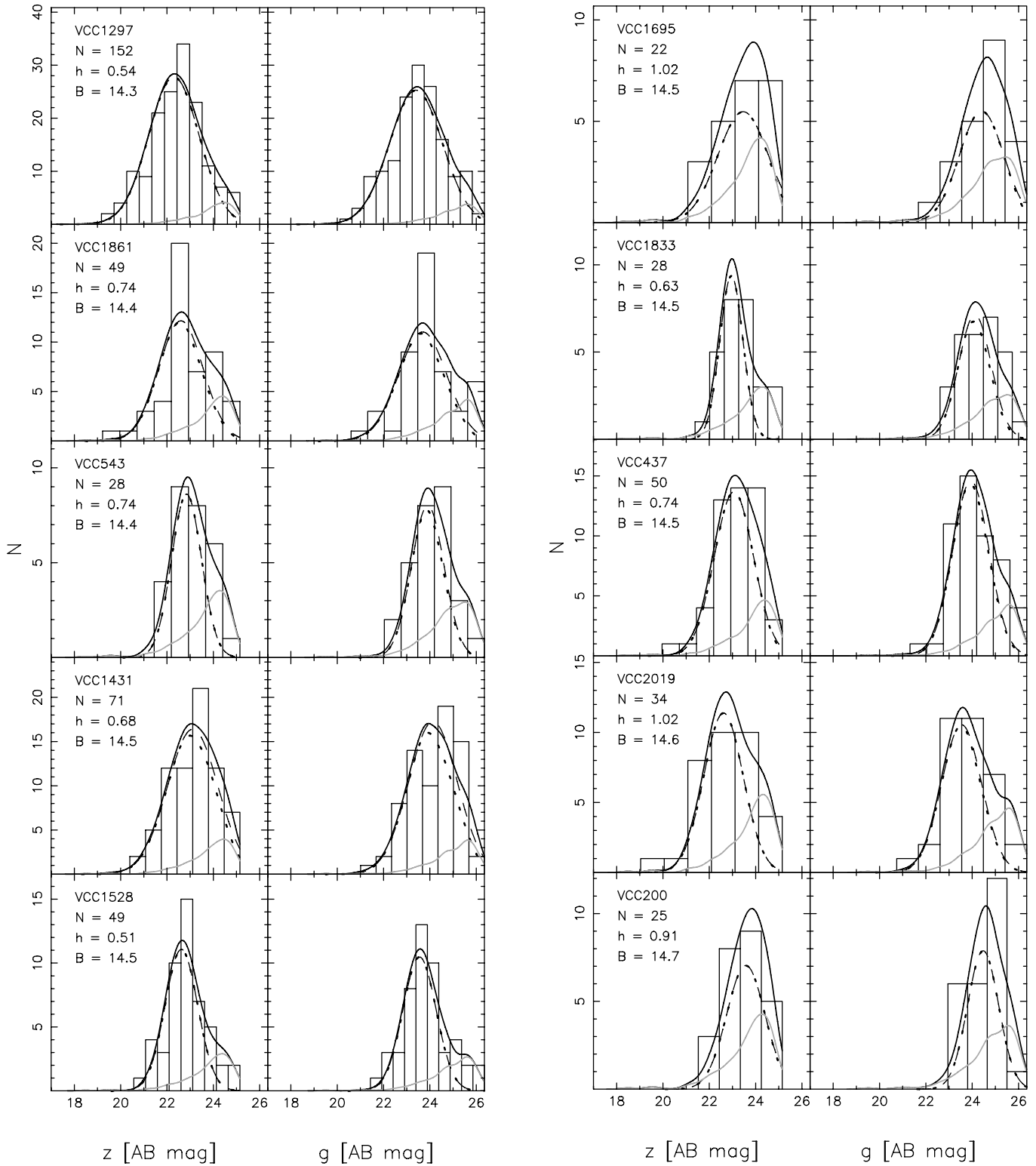


FIG. 4—Continued

that the fitting itself is something of a challenge under such conditions.

This is further illustrated by contrasting, in both Figures 6 and 7, the GCLFs for cluster samples selected by magnitude and  $R_h$  only (*crosses*) to those for samples selected on the basis of  $p_{GC}$  probabilities (*bars*). The former samples generally tend to put more objects in the faintest GCLF bins, an effect particularly apparent in the faintest galaxies. The low-mass end of the GCLF

for faint galaxies is thus not tightly constrained by our observations; there is a fundamental uncertainty, due to contamination, that cannot be overcome by any selection procedure. (Note that some of the more extreme discrepancies between the different GCLF definitions, such as in the faintest magnitude bin of BG 20, are due to the presence in some galaxies of a strong excess of diffuse clusters that are classified as contaminants when using  $p_{GC}$  to construct the sample; see Peng et al. 2006b.) But it is still worth

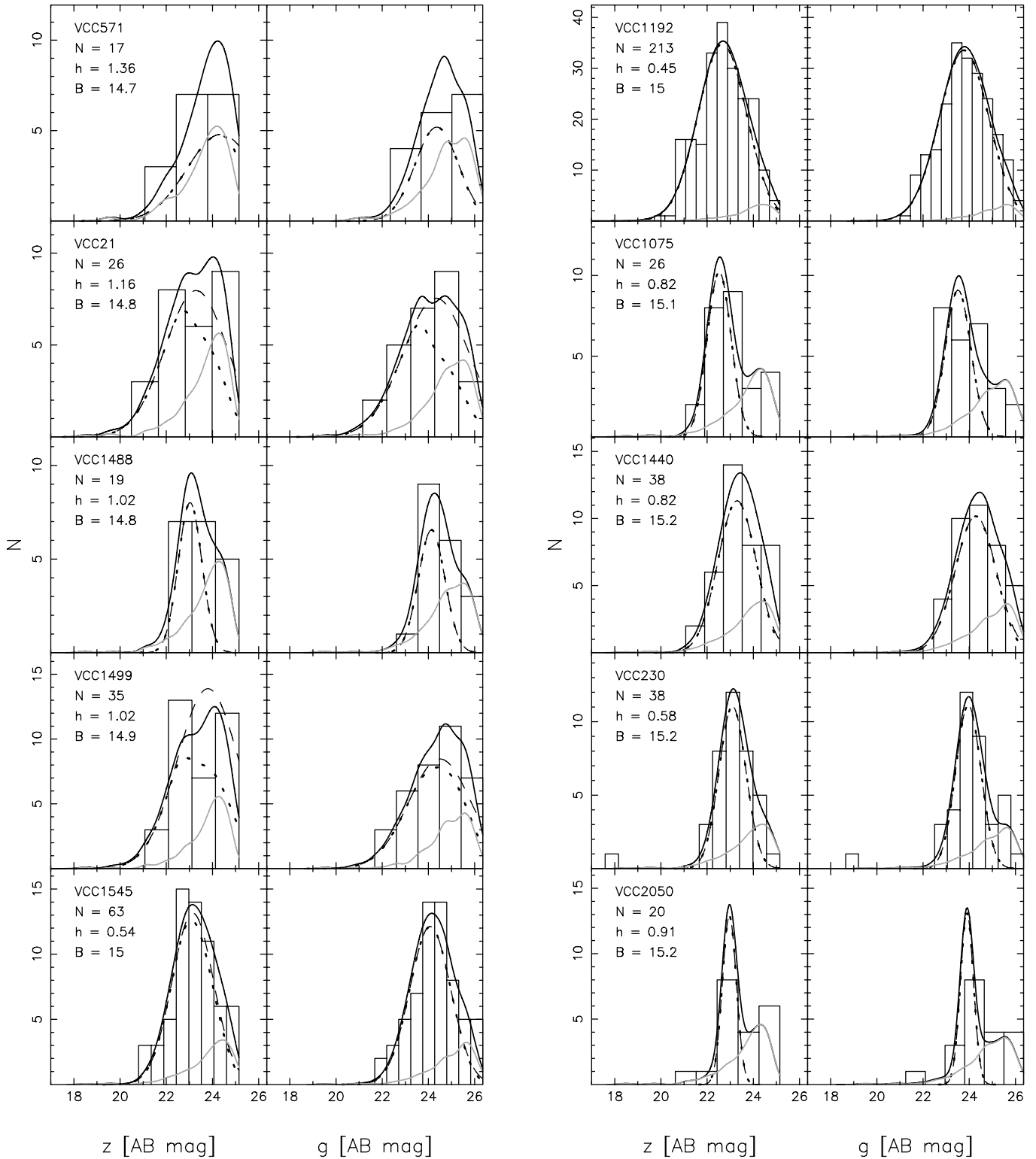


FIG. 4—Continued

recalling, in this context, that the “overabundance” of low-mass clusters in the evolved Schechter function, versus a Gaussian, is in fact a demonstrably better description of the Milky Way GCLF (see Fig. 2).

The fitted magnitude equivalents  $\delta$  and  $m_c$  of the mass scales  $\Delta$  and  $M_c$ , in each of the  $z$  and  $g$  bands, are recorded for each of our binned GCLFs in Table 3. In § 6 we discuss in detail the con-

version of these to masses and also consider dependencies of  $\Delta$  and  $M_c$  on galaxy luminosity.

Just before looking at these issues, Figure 8 compares the turnover magnitudes and FWHMs for the binned  $z$ -band GCLFs as returned by the fits of evolved Schechter functions (see eq. [9]), against the same quantities implied by our Gaussian fits. For the turnovers, there is a slight offset, in that the fitted Schechter

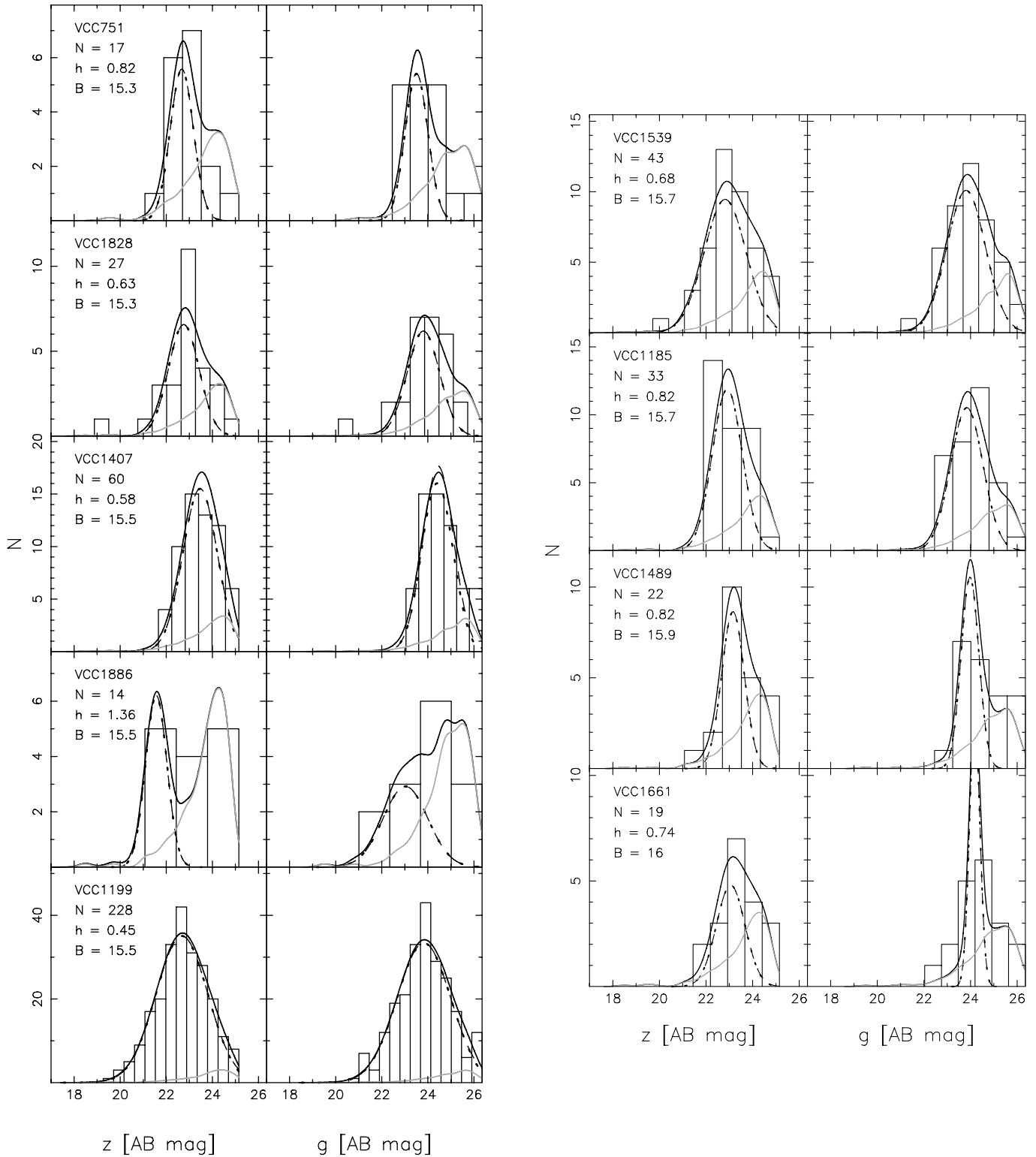


FIG. 4—Continued

functions tend to peak at slightly brighter magnitudes (typical difference  $\lesssim 0.1$  mag, corresponding to a turnover mass scale that is  $< 10\%$  larger than implied by the Gaussian fits). This is very similar to the offset in the two fitted turnover magnitudes for the Milky Way GCLF in § 3.3. As we discussed there, the discrepancy is a result of the intrinsic symmetry assumed in the Gaussian model, versus the faint-end asymmetry built into the evolved Schechter function.

The FWHMs differ more substantially between the two functional forms, with the evolved Schechter fits being typically  $\simeq 0.5$  mag broader (or about 0.2 dex in terms of mass) than the Gaussian fits. But this is again only to be expected from the asymmetry of the former function versus the symmetry of the Gaussian. As was noted at the end of § 3.2, the shape of the evolved Schechter function is universally flat in terms of  $dN/dM$  for low GC masses, or universally  $\propto 10^{-0.4m}$  in terms of  $dN/dm$

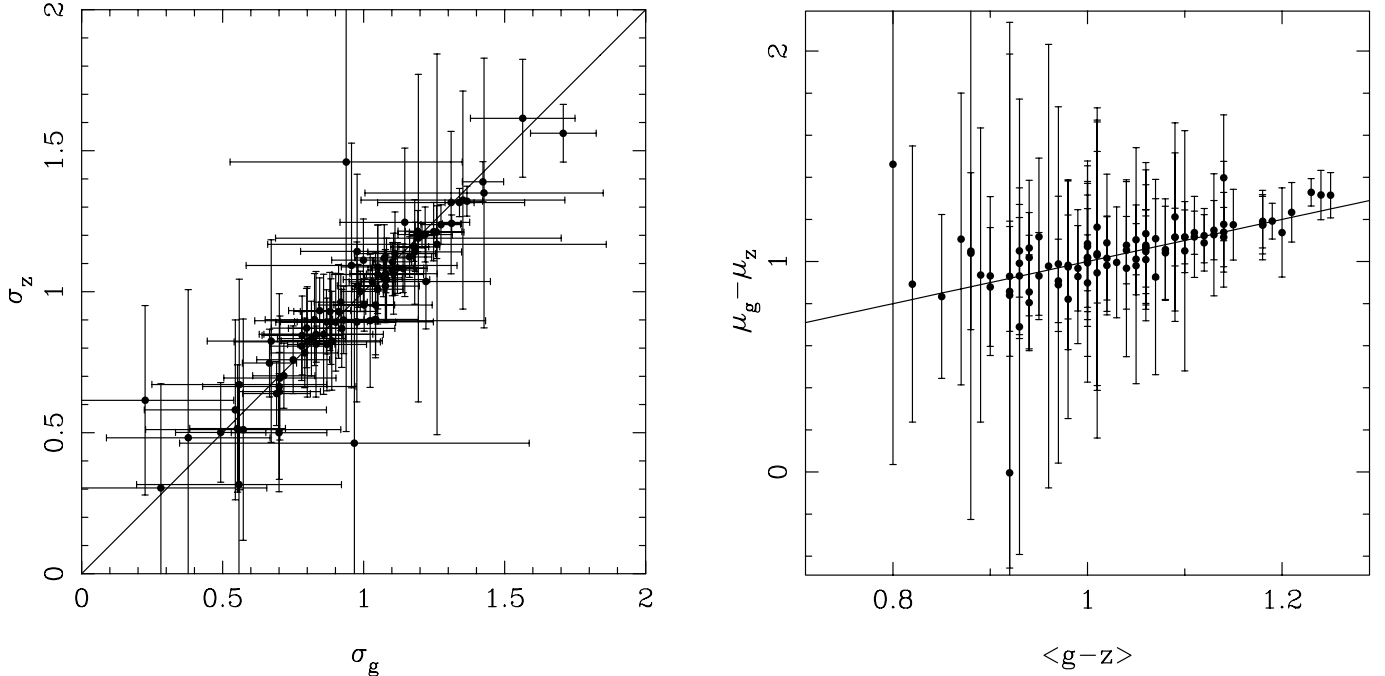


FIG. 5.—*Left*: Estimate of Gaussian dispersion in the  $z$  band,  $\sigma_z$ , vs. the same quantity in the  $g$  band,  $\sigma_g$ , for the GCLFs of our sample galaxies. Uncertainties are  $1\sigma$ . The line marks the one-to-one correspondence between these two quantities. *Right*: Difference between estimates of Gaussian means in the  $g$  and  $z$  bands,  $\mu_g - \mu_z$ , vs. the mean color  $\langle g - z \rangle$  of the GC systems of our sample galaxies. Uncertainties are  $1\sigma$ . The line marks the one-to-one correspondence between these two quantities.

for magnitudes much fainter than the peak of the GCLF. As a result, the faint side of the GCLF is always broader than any Gaussian, and so if the two models give comparable descriptions of the bright halves of all GCLFs, the FWHM of the evolved Schechter functions must always be larger than those of the Gaussian fits. Moreover, for very narrow observed GCLFs, fitted by small Gaussian  $\sigma_m$  (primarily to reproduce the steepness of the bright side of the GCLF, as discussed below), the evolved Schechter function fits are limited by a minimum FWHM of  $\approx 2.66$  mag (§ 3.2), explaining the tendency toward a plateau at the left side of the bottom panel of Figure 8.

## 6. TRENDS BETWEEN AND WITHIN GALAXIES

Having fitted two different GCLF models to each of our individual galaxies and binned samples, we now outline some systematic variations in the properties of GC mass distributions indicated by this work. First, we examine the dependence of GCLF parameters on host galaxy luminosity; then, even though the ACSVCS data are not ideal for this purpose, we look for any evidence of GCLF trends with radius inside the two brightest Virgo galaxies, M49 (VCC 1226) and M87 (VCC 1316).

### 6.1. Variations with Galaxy Luminosity

#### 6.1.1. Gaussian Parameters

Figure 9 shows one of the main results of this paper: GCLFs are narrower in lower luminosity galaxies (see also Jordán et al. 2006).

The top panel of this figure plots the Gaussian dispersion that best fits the  $z$ -band GCLF, as a function of absolute galaxy magnitude  $M_{B,\text{gal}}$  for our 89 individual galaxies. Filled circles represent galaxies with measured (SBF) distance moduli, while open triangles correspond to galaxies for which no distance modulus is available and for which we assume  $(m - M)_0 = 31.1$  (consistent with the average Virgo distance modulus of Mei et al. 2007) to compute  $M_{B,\text{gal}}$ . The bottom panel shows the analogous result

for our  $g$ -band GC data. The straight lines drawn in the panels are convenient linear characterizations of the  $\sigma_m - M_{B,\text{gal}}$  trends:

$$\sigma_z = (1.12 \pm 0.01) - (0.093 \pm 0.006)(M_{B,\text{gal}} + 20) \quad (17)$$

and

$$\sigma_g = (1.14 \pm 0.01) - (0.100 \pm 0.007)(M_{B,\text{gal}} + 20). \quad (18)$$

While it has been reported before that there is a tendency for the GCLFs in lower luminosity galaxies to show somewhat lower dispersions (e.g., Kundu & Whitmore 2001a), the homogeneity of our sample and analysis make this the most convincing demonstration to date of the existence of a continuous trend over a factor of  $\approx 400$  in galaxy luminosity. It is particularly noteworthy that the fainter galaxies in our sample, all of which are early type, have very modest  $\sigma_m \lesssim 1$ , values more usually associated with the GCLFs of late-type galaxies. In fact, we have also plotted in Figure 9 the  $V$ -band GCLF dispersions (Harris 2001) and absolute bulge luminosities of the Milky Way (*large filled star* at  $M_{B,\text{gal}} = -18.8$ ; de Vaucouleurs & Pence 1978) and M31 (*large filled triangle* at  $M_{B,\text{gal}} = -19.2$ ; from Kent 1989, but assuming a distance of 810 kpc). Clearly these fall well in the midst of our new data, and thus the correlation of  $\sigma$  with  $M_{B,\text{gal}}$  would appear to be more fundamental than the older view, that GCLF dispersions depend on galaxy Hubble type (Harris 1991).

At this point it should be noted that the GCs in brighter galaxies are known to have broader color distributions, and hence larger dispersions in metallicity, than those in fainter galaxies (e.g., Peng et al. 2006a). But cluster mass-to-light ratios,  $\Upsilon$ , are functions of  $[\text{Fe}/\text{H}]$  in general, so there will be some galaxy-dependent spread in their values. Since the variance in an observed luminosity distribution is related to that in the mass distribution, by the usual  $\sigma^2(\log L) = \sigma^2(\log M) + \sigma^2(\log \Upsilon)$ , this then suggests the possibility that the trend we see in the GCLF  $\sigma_z$  and

TABLE 3  
DEFINITION OF BINNED GC SAMPLES AND BEST-FIT GCLF PARAMETERS

GROUP (1)	$N_{\text{gal}}$ (2)	$\langle M_{B,\text{gal}} \rangle$ (3)	$M_{B,\text{gal}}^{\text{min}}$ (4)	$M_{B,\text{gal}}^{\text{max}}$ (5)	$N_{\text{GC}}$ (6)	GAUSSIAN FITS				EVOLVED SCHECHTER FUNCTION FITS			
						$\mu_g$ (7)	$\sigma_g$ (8)	$\mu_z$ (9)	$\sigma_z$ (10)	$\delta_g$ (11)	$m_{c,g}$ (12)	$\delta_z$ (13)	$m_{c,z}$ (14)
0.....	1 (1226)	-21.8	-21.8	-21.8	746	-7.025 ± 0.086	1.366 ± 0.061	-8.341 ± 0.077	1.321 ± 0.053	-7.150 ± 0.133	-10.045 ± 0.362	-8.465 ± 0.132	-11.257 ± 0.360
1.....	1 (1316)	-21.5	-21.5	-21.5	1721	-7.104 ± 0.049	1.312 ± 0.035	-8.433 ± 0.041	1.242 ± 0.030	-7.287 ± 0.089	-9.850 ± 0.232	-8.690 ± 0.092	-10.911 ± 0.232
2.....	1 (1978)	-21.3	-21.3	-21.3	789	-7.014 ± 0.077	1.340 ± 0.058	-8.329 ± 0.070	1.316 ± 0.050	-7.265 ± 0.137	-9.750 ± 0.356	-8.617 ± 0.146	-10.928 ± 0.381
3.....	1 (881)	-21.2	-21.2	-21.2	355	-7.334 ± 0.097	1.274 ± 0.075	-8.450 ± 0.093	1.238 ± 0.071	-7.533 ± 0.198	-9.877 ± 0.525	-8.607 ± 0.221	-11.043 ± 0.647
4.....	1 (763)	-21.1	-21.1	-21.1	488	-7.385 ± 0.074	1.178 ± 0.055	-8.522 ± 0.070	1.159 ± 0.052	-7.786 ± 0.201	-9.371 ± 0.460	-8.955 ± 0.200	-10.499 ± 0.457
5.....	1 (731)	-21.3	-21.3	-21.3	888	-7.431 ± 0.061	1.207 ± 0.046	-8.623 ± 0.059	1.199 ± 0.044	-7.651 ± 0.137	-9.789 ± 0.339	-8.818 ± 0.134	-11.011 ± 0.337
6.....	1 (1903)	-20.1	-20.1	-20.1	294	-7.434 ± 0.089	1.192 ± 0.071	-8.625 ± 0.089	1.215 ± 0.073	-7.641 ± 0.209	-9.971 ± 0.611	-8.776 ± 0.188	-11.418 ± 0.576
7.....	1 (1632)	-20.3	-20.3	-20.3	439	-7.089 ± 0.103	1.423 ± 0.077	-8.323 ± 0.095	1.390 ± 0.071	-7.198 ± 0.152	-10.393 ± 0.474	-8.443 ± 0.154	-11.516 ± 0.469
8.....	1 (1231)	-19.8	-19.8	-19.8	239	-7.221 ± 0.090	1.103 ± 0.072	-8.344 ± 0.089	1.106 ± 0.069	-7.841 ± 0.311	-8.888 ± 0.669	-9.013 ± 0.319	-10.002 ± 0.680
9.....	2	-19.6	-19.7	-19.5	347	-7.196 ± 0.074	1.102 ± 0.057	-8.308 ± 0.076	1.103 ± 0.057	-7.749 ± 0.279	-8.909 ± 0.635	-8.946 ± 0.294	-9.957 ± 0.647
10.....	2	-19.4	-19.5	-19.2	248	-7.282 ± 0.088	1.111 ± 0.069	-8.444 ± 0.089	1.103 ± 0.069	-8.276 ± 0.424	-8.597 ± 0.838	-9.726 ± 0.557	-9.586 ± 1.040
11.....	2	-19.4	-19.4	-19.4	283	-7.341 ± 0.086	1.092 ± 0.066	-8.426 ± 0.089	1.096 ± 0.068	-8.485 ± 0.517	-8.478 ± 0.974	-9.431 ± 0.453	-9.696 ± 0.879
12.....	2	-19.1	-19.3	-18.9	347	-7.380 ± 0.072	1.091 ± 0.055	-8.447 ± 0.071	1.092 ± 0.055	-8.262 ± 0.323	-8.767 ± 0.652	-9.410 ± 0.336	-9.814 ± 0.667
13.....	2	-19.0	-19.0	-19.0	212	-7.333 ± 0.084	0.982 ± 0.065	-8.446 ± 0.083	0.968 ± 0.066	-8.321 ± 0.519	-8.467 ± 1.013	-9.596 ± 0.602	-9.482 ± 1.131
14.....	2	-19.1	-19.1	-19.0	214	-7.459 ± 0.084	1.051 ± 0.065	-8.479 ± 0.085	1.042 ± 0.068	-8.028 ± 0.335	-9.134 ± 0.766	-9.212 ± 0.443	-9.943 ± 0.964
15.....	4	-18.5	-18.6	-18.3	283	-6.908 ± 0.088	1.042 ± 0.067	-7.996 ± 0.086	1.032 ± 0.066	-8.117 ± 0.643	-7.887 ± 1.186	-9.330 ± 0.806	-8.931 ± 1.463
16.....	5	-18.3	-18.5	-18.1	257	-7.081 ± 0.089	1.012 ± 0.070	-8.146 ± 0.087	0.969 ± 0.068	-8.399 ± 0.648	-7.972 ± 1.158	-9.413 ± 0.642	-9.057 ± 1.157
17.....	4	-18.2	-18.3	-18.0	208	-7.338 ± 0.087	0.949 ± 0.072	-8.330 ± 0.087	0.945 ± 0.068	-9.619 ± 2.113	-7.835 ± 6.880	-9.929 ± 0.949	-9.095 ± 1.615
18.....	3	-17.8	-18.0	-17.6	205	-7.263 ± 0.082	0.951 ± 0.062	-8.276 ± 0.085	0.961 ± 0.065	-8.610 ± 0.675	-8.111 ± 1.202	-9.882 ± 0.802	-9.041 ± 1.371
19.....	3	-17.7	-17.8	-17.7	197	-7.409 ± 0.079	0.901 ± 0.059	-8.421 ± 0.081	0.905 ± 0.060	-10.101 ± 1.986	-7.839 ± 7.800	-10.042 ± 0.896	-9.133 ± 1.512
20.....	8	-17.1	-17.5	-16.8	196	-7.149 ± 0.099	0.953 ± 0.080	-8.216 ± 0.094	0.927 ± 0.072	-8.247 ± 0.680	-8.025 ± 1.253	-9.371 ± 0.630	-9.161 ± 1.154
21.....	6	-16.6	-16.8	-16.5	222	-7.217 ± 0.080	0.943 ± 0.060	-8.240 ± 0.080	0.916 ± 0.060	-8.343 ± 0.591	-8.166 ± 1.103	-9.609 ± 0.656	-9.079 ± 1.155
22.....	9	-16.4	-16.7	-16.1	193	-7.072 ± 0.086	0.875 ± 0.068	-8.043 ± 0.096	0.921 ± 0.071	-9.383 ± 1.795	-7.437 ± 4.766	-8.974 ± 0.505	-9.135 ± 0.951
23.....	10	-15.7	-16.0	-15.4	201	-7.133 ± 0.072	0.749 ± 0.058	-8.090 ± 0.077	0.762 ± 0.062	-9.792 ± 0.088	-7.292 ± 1.956	-10.815 ± 0.092	-8.315 ± 5.536

NOTES.—Col. (1): Identification number of binned group. Col. (2): Number of galaxies that were used in the creation of this binned group. When only one galaxy is present, its VCC identifier is indicated. Cols. (3)–(5): Average, minimum, and maximum  $M_{B,\text{gal}}$  of galaxies in this binned group. Col. (6): Number of expected GCs. Cols. (7)–(10): Best-fit Gaussian GCLF parameters  $\mu$  and  $\sigma$  in the  $g$  and  $z$  bands. Cols. (11)–(14): Best-fit evolved Schechter GCLF parameters  $\delta$  and  $m_c$ , in the  $g$  and  $z$  bands.

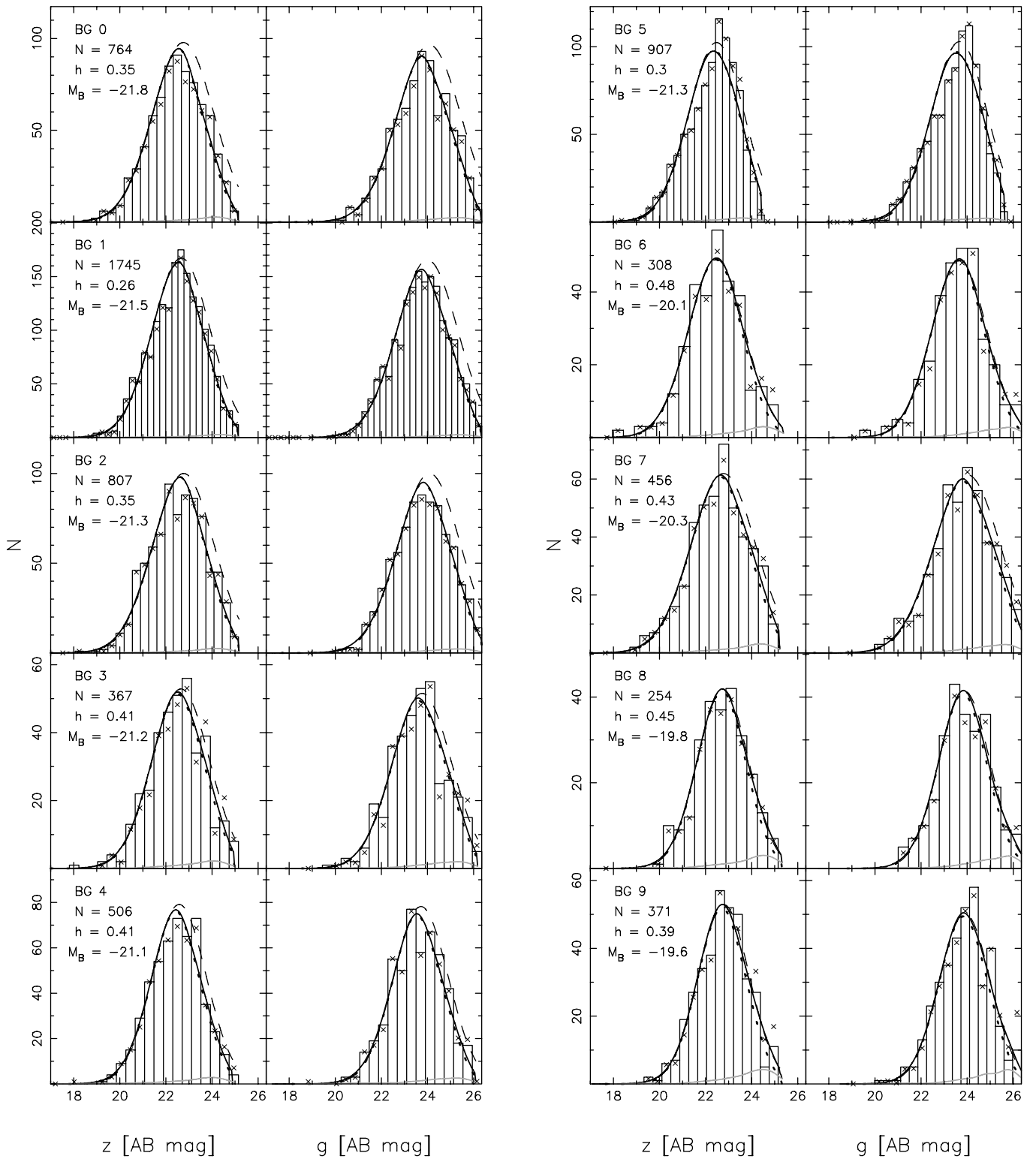


FIG. 6.—Histograms and Gaussian fits to the GCLFs for our binned galaxy samples. For each sample, named  $BGn$  with  $n = 0, \dots, 23$ , we present the  $z$ -band and  $g$ -band GCLFs side by side. The identifier of the galaxy bin is indicated in the upper left corner of the left panel, where we also indicate the number  $N$  of all sources in the histogram (as chosen by requiring  $p_{GC} \geq 0.5$ ) and the bin width  $h$  used when constructing the histograms. In each panel we show the best-fitting model (solid black curve), the intrinsic Gaussian component (dashed curve), the Gaussian component multiplied by the completeness fraction (dotted curve), and a kernel density estimate of the expected contamination in the sample (solid gray curve). The solid black curve is the sum of the solid gray and dotted curves. The galaxy bins are ordered by decreasing mean apparent  $B$ -band luminosity of the galaxies that went into the sample construction. Crosses in all panels show the histograms that result when GC candidates are selected on the basis of cuts in magnitude and half-light radius; see § 4.3. The parameters of all fits are given in Table 3. [See the electronic edition of the Supplement for a color version of this figure.]



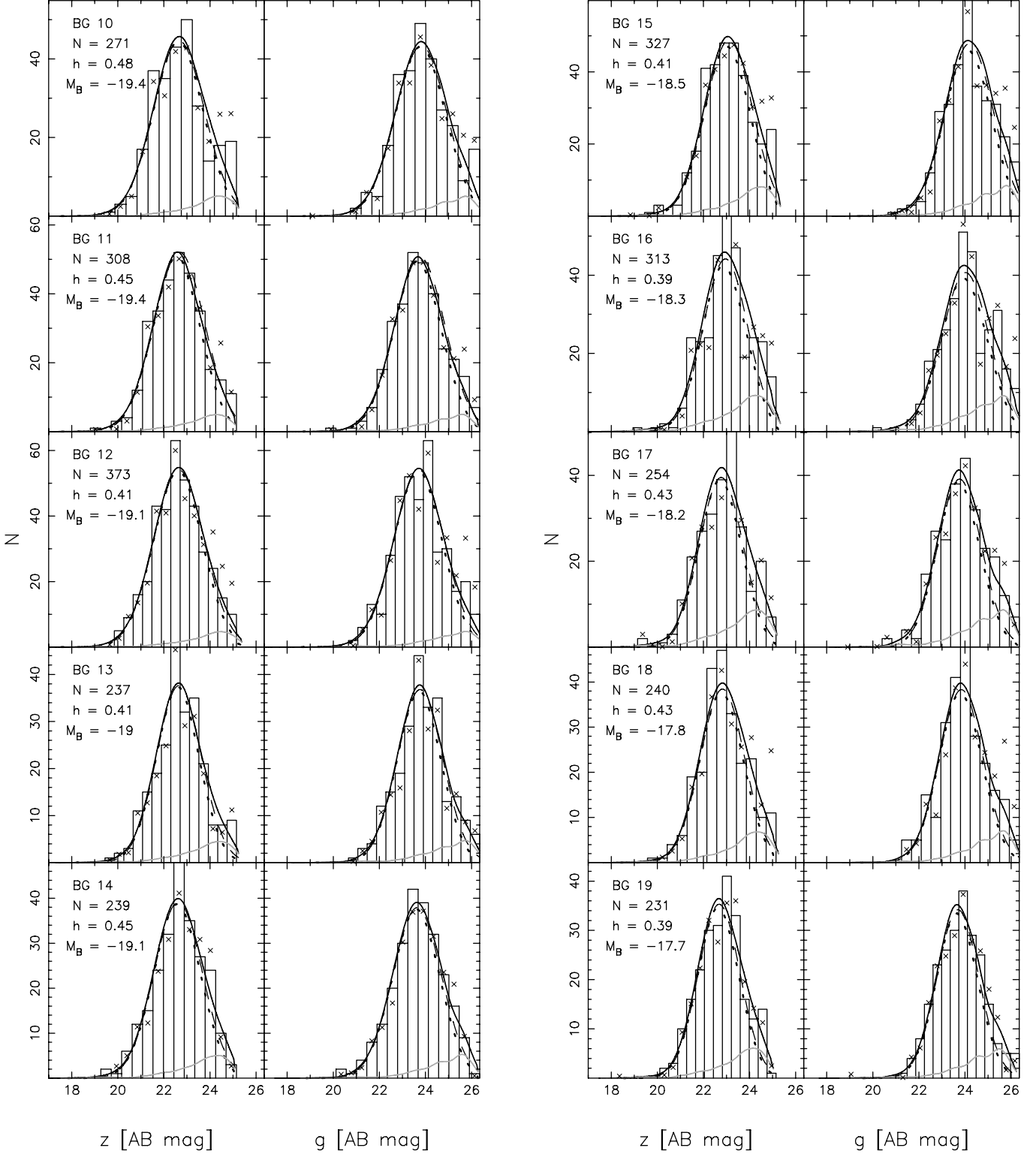
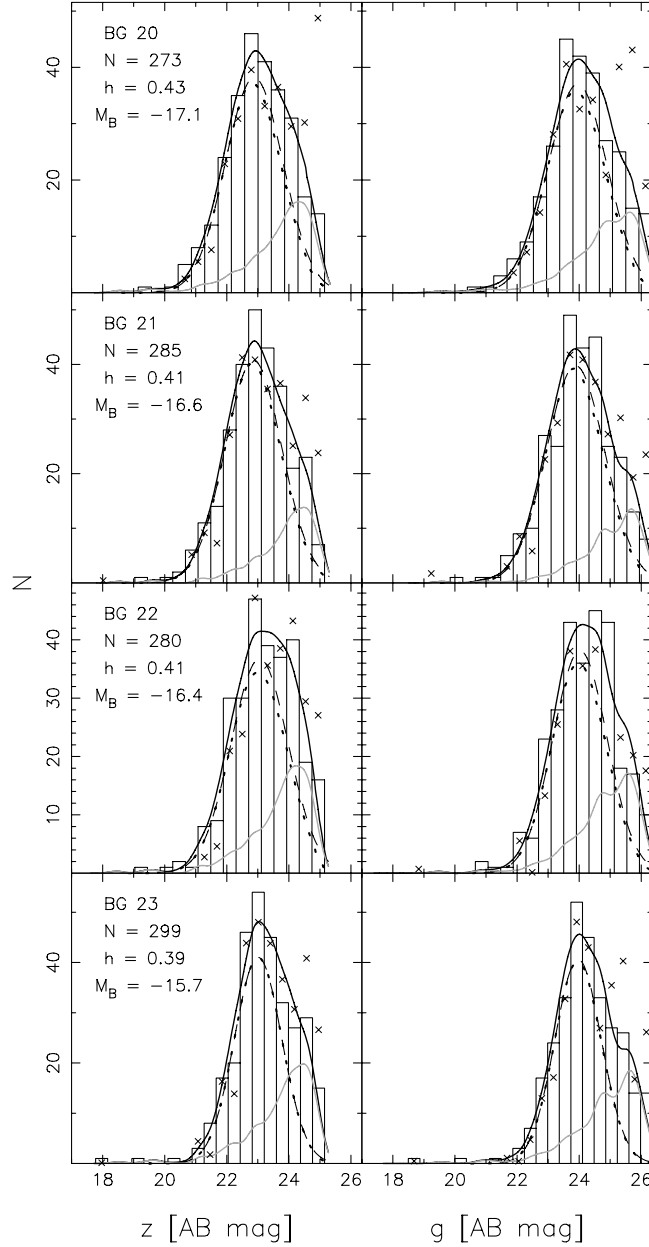


FIG. 6—Continued

$\sigma_g$  versus galaxy luminosity might result from systematics in  $\sigma(\log \Upsilon)$  versus  $M_{B,\text{gal}}$  on top of a more nearly constant  $\sigma(\log M)$ . In fact, this idea was recently invoked by Waters et al. (2006) as a potential explanation for the fact that the  $I$ -band GCLF of M87 is broader than that of the Milky Way, and by Strader et al. (2006) as a possible reason for the narrower composite GCLF of a subsample of ACSVCS dwarfs versus the GCLFs of Virgo giants. However, neither of those works checked these claims quanti-

tatively. We have done so here (see also Jordán et al. 2006), and we find that the explanation is not tenable.

As we discuss further in § 6.1.2, GC mass-to-light ratios in the longer wavelength  $z$  band vary by less than  $\pm 10\%$  over the entire range  $-2 \leq [\text{Fe}/\text{H}] \leq 0$ , which includes the large majority of clusters. Thus,  $\sigma(\log \Upsilon_z) < 0.04$  no matter what the details of the GC metallicity distribution are, making for an utterly negligible “correction” to the observed  $\sigma(\log L_z) = \sigma_z/2.5$  for all of

FIG. 6—*Continued*

our GCLFs. In the shorter wavelength  $g$  band, mass-to-light ratios are more sensitive to cluster colors. But here the close agreement of our  $g$ - and  $z$ -band GCLF dispersions shows immediately that the former must be reflecting the properties of the GC mass functions just as closely as the latter are. Indeed, more detailed calculations, which include the observed specifics of the color distributions in our galaxies (Peng et al. 2006a), confirm that the spread in expected GC  $\Upsilon_g$  values contributes  $\sim 0.02$  mag to the total observed GCLF dispersion, an amount well within the observational uncertainties on  $\sigma_g$  in the first place.<sup>19</sup> Thus, we proceed knowing that the correlations between GCLF dispersion and galaxy luminosity that we are discussing here are very accurate reflections of equivalent trends in the more fundamental GC mass distributions.

<sup>19</sup> We note that the median value of  $(\sigma_g - \sigma_z)$  for our sample galaxies is 0.02 mag.

Because of the symmetry assumed in the model, the trend of decreasing Gaussian  $\sigma_m$  in Figure 9 might appear to imply a steepening of the GCLF on both sides of the turnover mass. However, as we have already discussed, if we take the more physically based, evolved Schechter function of equation (8) or equation (7) to describe the distribution of GC masses, then *all* GCLFs must have the same basic shape (and thus half-width) for clusters fainter than about the turnover magnitude, in which case the trends in Figure 9 can only be driven by systematics in the bright side of the GCLF. Indeed, as was mentioned in § 3 (and discussed at length by, e.g., McLaughlin & Pudritz 1996), it has long been clear that power-law representations of the GC mass function above the turnover mass in the Milky Way and M31 are significantly steeper than those in M87, M49, and other bright ellipticals; there is no “universal” power-law slope for present-day GC mass functions.

Given these points, we have also performed maximum likelihood fits of pure power-law mass distributions ( $dN/dM \propto M^{-\beta}$ ;

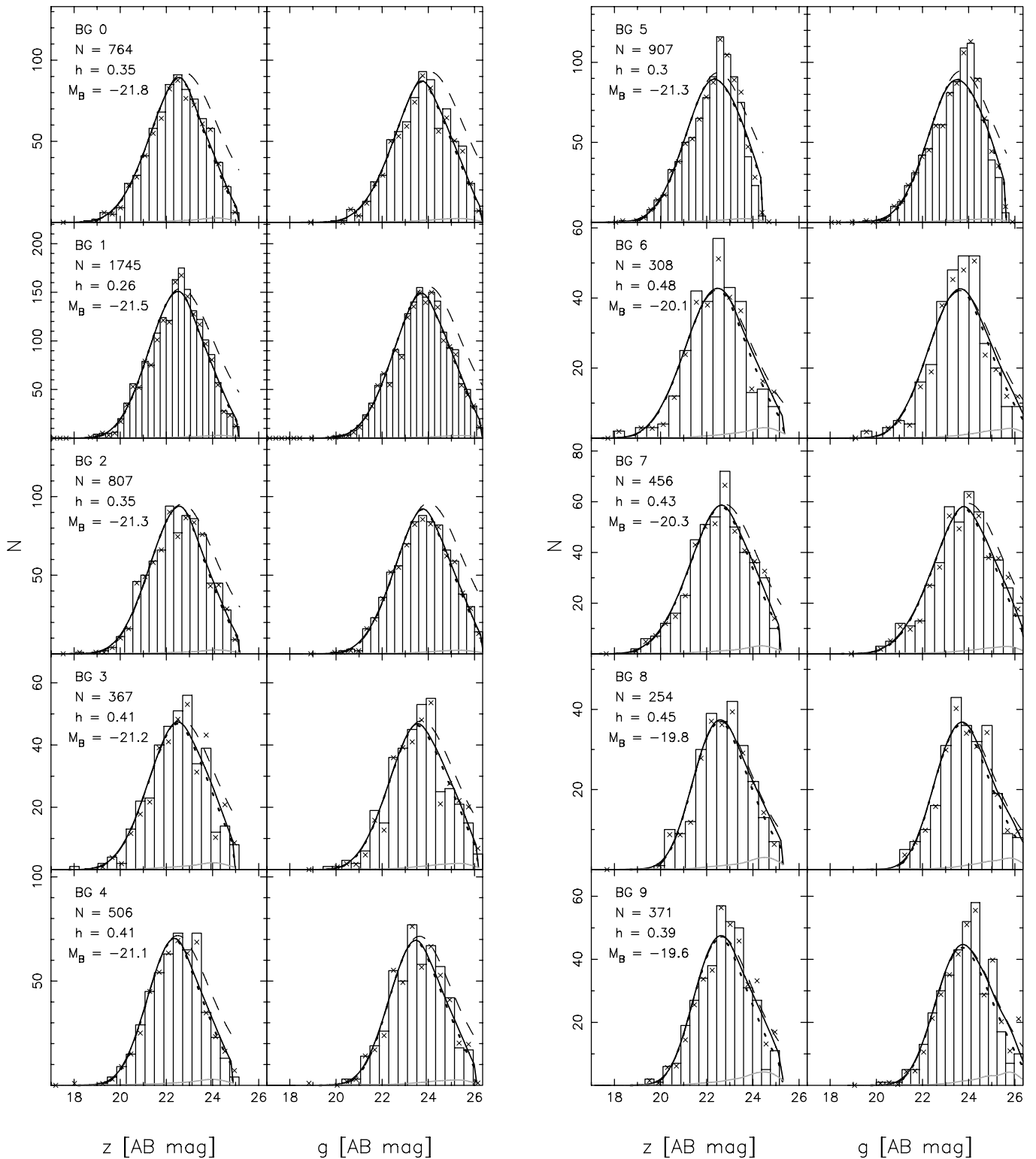


FIG. 7.—Histograms and evolved Schechter function fits to the GCLFs for our binned galaxy samples. For each sample, named  $BG_n$  with  $n = 0, \dots, 23$ , we present the  $z$ -band and  $g$ -band GCLFs side by side. The identifier of the galaxy bin is indicated in the upper left corner of the left panel, where we also indicate the number  $N$  of all sources in the histogram (as chosen by requiring  $p_{GC} \geq 0.5$ ) and the bin width  $h$  used when constructing the histograms. In each panel we show the best-fitting model (solid black curve), the intrinsic evolved Schechter component (dashed curve), the evolved Schechter component multiplied by the completeness fraction (dotted curve), and a kernel density estimate of the expected contamination in the sample (solid gray curve). The solid black curve is the sum of the solid gray and dotted curves. The galaxy bins are ordered by decreasing mean apparent  $B$ -band luminosity of the galaxies that went into the sample construction. Crosses in all panels show the histograms that result when GC candidates are selected on the basis of cuts in magnitude and half-light radius; see § 4.3. The parameters of all fits are given in Table 3. [See the electronic edition of the Supplement for a color version of this figure.]

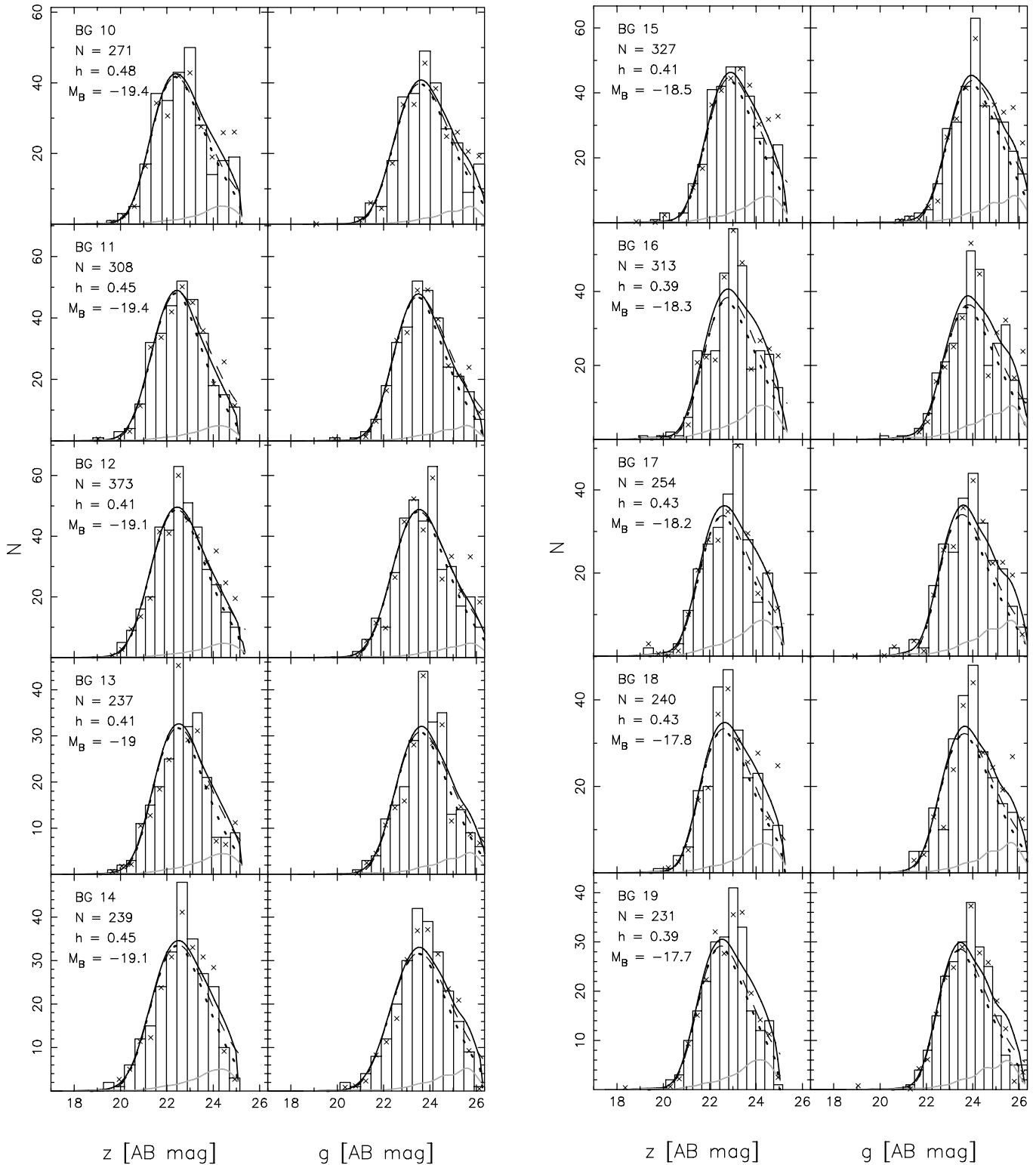


FIG. 7—Continued

or, in terms of magnitude,  $dN/dm \propto 10^{0.4(\beta-1)m}$  to GCs between  $\approx 0.5$  and 2.5 mag brighter than the turnover magnitude in the cluster samples of our individual galaxies. (Such subsamples are both highly complete and essentially uncontaminated in all of our galaxies.) The best-fit  $\beta$ -values for the 66 galaxies in which we were able to measure it are presented in Table 4. The results from fitting to the  $z$ - and  $g$ -band data are similar, and thus we show only the former here, in the top panel of Figure 10. This

confirms that the high-mass end of the GCLF steepens systematically for decreasing galaxy luminosity, independently of how the low-mass GC distribution behaves. In Figure 10 we also plot a star and triangle showing  $\beta$ -values for the Milky Way and M31, respectively, measured in the same mass regime using the data from Harris (1996) and Reed et al. (1994) assuming a  $V$ -band mass-to-light ratio  $M/L_V = 2$ . The bottom panel of Figure 10 then plots the fitted power-law exponent for high GC masses

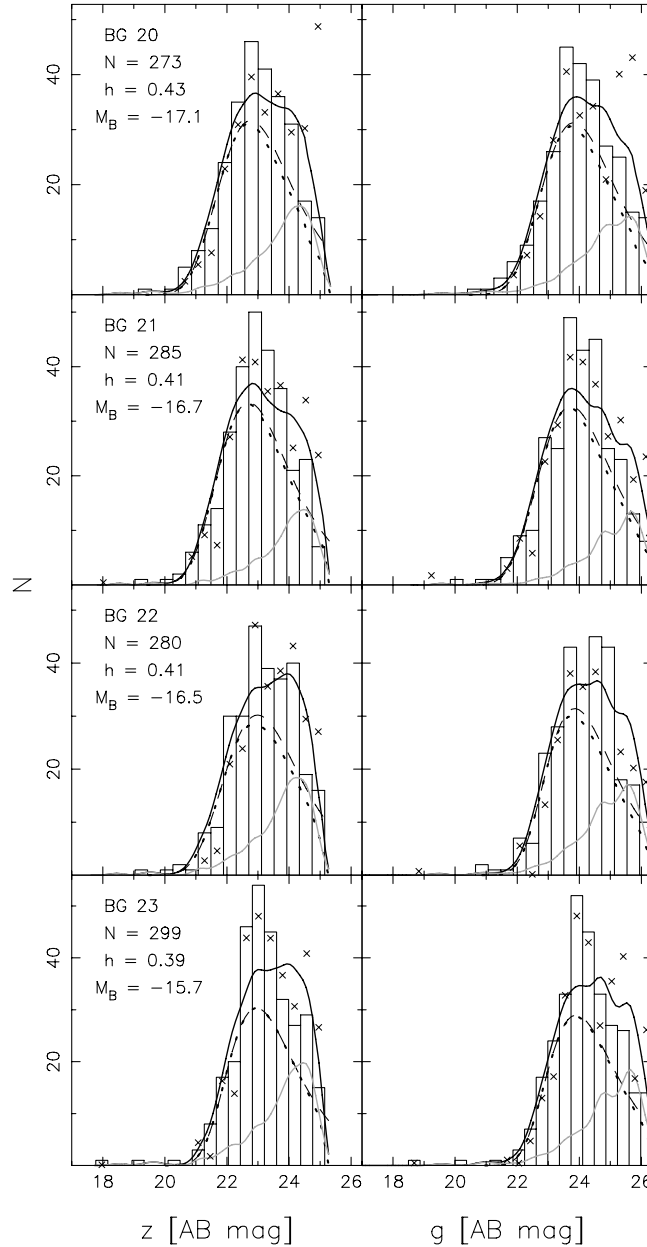


FIG. 7—Continued

against the Gaussian GCLF dispersion from Figure 9, showing that there is indeed a clear correlation between these two parameters in the sense that a narrower Gaussian  $\sigma$  reflects a steeper high-mass power-law  $\beta$ .

The regularity and the high significance of the narrowing of the GCLF as a function of galaxy luminosity, or the steepening of the mass distribution above the classic turnover point, place a new and stringent constraint on theories of the formation and evolution of the mass function of GCs. In one sense, this is then on a par with the modest amount of variation seen in the turnover mass. An important difference may be that the GCLF turnover could be imprinted to some large extent by long-term dynamical evolution (Fall & Zhang 2001; although for a differing view see, e.g., Vesperini 2000, 2001; Vesperini & Zepf 2003; see § 7.1 for a discussion of caveats). By contrast, most analyses agree that the shape of  $dN/dm$  above the turnover is largely resistant to change by dynamical processes (§ 7.2), in which case it seems most likely that the systematic variations in Figures 9 and 10 are

reflecting a fundamental tendency to form massive star clusters in greater *relative* numbers in more massive galaxies.

Moving now to the GCLF turnover magnitude, in Figure 11 we show the absolute  $\mu_z$  and  $\mu_g$  as functions of host galaxy absolute magnitude  $M_{B,\text{gal}}$ . In both panels of this figure, horizontal lines are drawn at the levels of the typical turnovers in large ellipticals: excluding VCC 798, which has an anomalously large excess of faint, diffuse star clusters (Peng et al. 2006b), the average Gaussian turnovers for ACSVCS galaxies with  $M_{B,\text{gal}} < -18$  are

$$\langle \mu_z \rangle = -8.4 \pm 0.2, \quad \langle \mu_g \rangle = -7.2 \pm 0.2. \quad (19)$$

The turnover in the Milky Way is shown as a large filled star and that in M31 is represented by a large filled triangle, as in Figure 9. We estimated these turnovers from the  $V$ -band values given in Table 13 of Harris (2001), by applying  $(g - V)$  and  $(V - z)$  colors calculated for 13 Gyr old clusters with

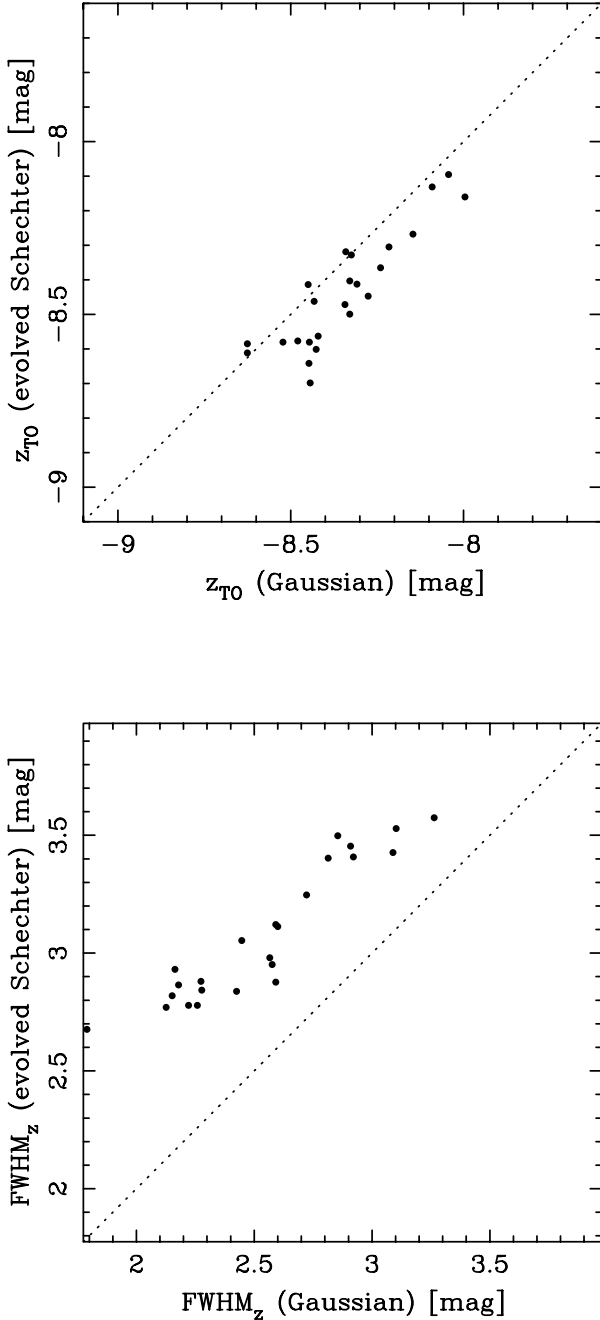


FIG. 8.—*Top*: Comparison of the absolute  $z$ -band magnitude of the GCLF turnover, as inferred from the maximum likelihood fitting of evolved Schechter functions vs. that inferred from Gaussian fits. *Bottom*: Comparison of the FWHM of the  $z$ -band GCLFs, as returned by the Gaussian and evolved Schechter fits. Similar plots for the fits to our  $g$ -band GCLFs look the same as these  $z$ -band results.

$[\text{Fe}/\text{H}] = -1.4$  for the Milky Way (Harris 2001) and  $[\text{Fe}/\text{H}] = -1.2$  for M31 (Barmby et al. 2000) using the PEGASE population synthesis model (Fioc & Rocca-Volmerange 1997).

The  $z$ -band turnovers in the top panel of Figure 11 show a tendency to scatter systematically above (fainter than) the bright galaxy value for systems with  $M_{B,\text{gal}} \gtrsim -18$ , but there are no such systematics in the  $g$ -band turnovers in the bottom panel. Interpreting these results is most easily done in terms of equivalent turnover *mass* scales, and thus we defer further discussion to § 6.1.2, where we use the PEGASE model to convert all of our GCLF parameters to their mass equivalents. We note here,

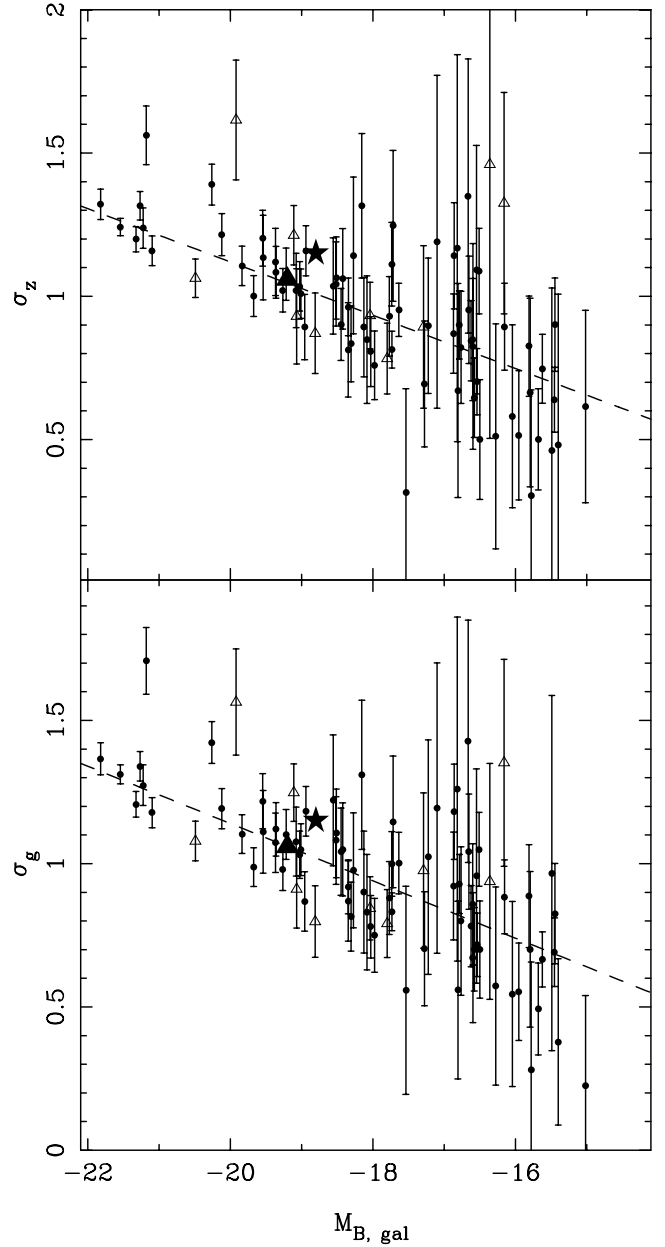


FIG. 9.—*Top*: GCLF dispersion  $\sigma_z$ , inferred from Gaussian fits to the  $z$ -band data, vs. galaxy  $M_{B,\text{gal}}$ . Filled symbols are galaxies for which we have available SBF distances, while open triangles represent galaxies for which we do not and for which we have assumed a distance modulus of  $(m - M)_0 = 31.1$ . The dashed line is the linear relation between  $\sigma_z$  and  $M_{B,\text{gal}}$  in eq. (17). *Bottom*: Same comparison, but for the Gaussian dispersion of the  $g$ -band GCLFs,  $\sigma_g$ . Dashed line is eq. (18). In both panels the star shows values for the Milky Way and the triangle represents M31. The outliers at  $M_{B,\text{gal}} \simeq -21.2$  and  $M_{B,\text{gal}} \simeq -19.9$  in both panels are VCC 798 and VCC 2095, galaxies that have an excess of faint, diffuse star clusters (Peng et al. 2006b).

however, that the near constancy of  $\mu_g$  in Figure 11 is equivalent to the well-known “universality” of the GCLF turnover in the more commonly used  $V$  band (since our  $g$  is the *HST* F475W filter, which is close to standard  $V$ ).

Before discussing masses in detail, we plot in Figure 12 the Gaussian means and dispersions of the  $z$ -band GCLFs in our 24 binned samples, versus the average absolute magnitude of the galaxies in each bin (see Table 3). The straight lines in each panel are just those from the top panels of Figures 9 and 11, characterizing the fits to all 89 individual galaxies. This comparison

TABLE 4  
BEST-FIT POWER-LAW EXPONENT  $\beta$

VCC (1)	$\beta_z$ (2)	$\beta_g$ (3)	VCC (1)	$\beta_z$ (2)	$\beta_g$ (3)	VCC (1)	$\beta_z$ (2)	$\beta_g$ (3)
1226.....	1.80 ± 0.11	1.72 ± 0.11	654.....	2.58 ± 0.76	2.73 ± 0.72	1178.....	2.42 ± 0.40	1.82 ± 0.38
1316.....	1.75 ± 0.07	1.79 ± 0.07	944.....	2.50 ± 0.44	2.55 ± 0.46	1283.....	2.85 ± 0.61	2.90 ± 0.61
1978.....	1.84 ± 0.11	1.77 ± 0.11	1938.....	2.65 ± 0.45	2.83 ± 0.45	1261.....	1.63 ± 0.55	2.01 ± 0.52
881.....	1.80 ± 0.16	1.79 ± 0.16	1279.....	1.87 ± 0.28	1.85 ± 0.28	698.....	2.42 ± 0.35	2.38 ± 0.33
798.....	2.15 ± 0.15	1.95 ± 0.15	1720.....	2.69 ± 0.47	2.97 ± 0.50	1422.....	2.95 ± 0.94	4.07 ± 1.17
763.....	1.85 ± 0.14	1.87 ± 0.14	355.....	3.50 ± 0.97	2.75 ± 0.79	2048.....	1.44 ± 0.87	1.26 ± 0.82
731.....	1.71 ± 0.10	1.77 ± 0.10	1619.....	2.46 ± 0.72	2.25 ± 0.71	9.....	2.42 ± 0.82	2.20 ± 0.77
1535.....	2.03 ± 0.20	1.94 ± 0.20	1883.....	3.18 ± 0.60	2.85 ± 0.56	1910.....	2.35 ± 0.52	2.07 ± 0.51
1903.....	1.87 ± 0.18	2.03 ± 0.18	1242.....	3.25 ± 0.45	3.06 ± 0.45	856.....	1.84 ± 0.63	1.70 ± 0.64
1632.....	1.89 ± 0.16	1.83 ± 0.16	784.....	3.77 ± 1.14	3.23 ± 1.01	140.....	3.55 ± 1.31	2.51 ± 1.21
1231.....	2.22 ± 0.23	2.13 ± 0.23	1537.....	2.13 ± 0.62	2.48 ± 0.67	1087.....	2.73 ± 0.54	2.50 ± 0.52
2095.....	1.79 ± 0.34	1.85 ± 0.33	778.....	2.07 ± 0.48	2.00 ± 0.48	1861.....	2.52 ± 0.71	1.92 ± 0.62
1154.....	1.81 ± 0.28	2.02 ± 0.28	1321.....	3.67 ± 1.29	4.99 ± 1.97	1431.....	2.55 ± 0.57	2.69 ± 0.59
1062.....	2.13 ± 0.26	1.99 ± 0.26	828.....	2.28 ± 0.45	2.48 ± 0.42	1528.....	2.19 ± 0.70	2.56 ± 0.67
2092.....	2.30 ± 0.41	2.35 ± 0.41	1250.....	1.92 ± 0.52	2.09 ± 0.49	437.....	3.55 ± 0.91	4.04 ± 1.10
369.....	2.14 ± 0.25	2.13 ± 0.25	1630.....	1.91 ± 0.52	1.99 ± 0.50	2019.....	2.22 ± 0.70	3.17 ± 0.81
759.....	2.32 ± 0.27	2.19 ± 0.27	1146.....	2.33 ± 0.52	2.47 ± 0.50	21.....	2.32 ± 0.88	2.76 ± 0.93
1692.....	1.93 ± 0.29	2.40 ± 0.29	1025.....	3.09 ± 0.75	2.81 ± 0.66	1499.....	2.75 ± 0.90	2.69 ± 0.88
1030.....	1.93 ± 0.25	2.13 ± 0.25	1303.....	2.55 ± 0.59	2.48 ± 0.54	1545.....	2.61 ± 0.74	2.57 ± 0.73
2000.....	2.07 ± 0.25	2.16 ± 0.24	1913.....	3.03 ± 0.58	2.57 ± 0.58	1075.....	3.94 ± 1.31	3.85 ± 1.28
685.....	1.71 ± 0.25	1.71 ± 0.24	1125.....	2.78 ± 0.57	2.37 ± 0.58	1539.....	3.14 ± 0.92	2.69 ± 0.85
1664.....	1.85 ± 0.29	1.66 ± 0.28	1475.....	2.37 ± 0.54	2.55 ± 0.55	1185.....	5.63 ± 1.62	5.56 ± 1.59

NOTES.—Col. (1): Galaxy VCC number. Col. (2): Best-fit power-law exponent  $\beta$  for the mass function of GCs between  $\simeq 0.5$  and 2.5 mag brighter than the turnover magnitude in the  $z$ -band GCLF. Col. (3): Same as col. (2), but for the  $g$  band.

shows that the results from our single and binned galaxy GC samples are completely consistent, so that our binning process has served, as intended, to decrease the scatter in the observed behavior of  $\mu$  and  $\sigma$  at low galaxy luminosities. It also confirms the results of our simulations in § 4.2, which showed that our maximum likelihood model fitting is not significantly biased by size-of-sample effects. A plot like Figure 12, but using our Gaussian fits to the individual and binned  $g$ -band GCLFs, leads to the same conclusions.

#### 6.1.2. Mass Scales

To better understand the GCLF trends discussed above, and to mesh the Gaussian-based results with those from fits of the more physically motivated evolved Schechter function, it is advantageous to work in terms of GC mass, rather than  $z$  and  $g$  magnitudes. To make this switch, we rely on population synthesis model calculations of  $(g - z)$  colors and  $g$ - and  $z$ -band mass-to-light ratios as functions of metallicity for “simple” (single burst) stellar populations.

The model we use is version 2.0 of the PEGASE code (Fioc & Rocca-Volmerange 1997), which we have run by inputting the stellar IMF of Kennicutt (1983) to compute cluster masses and  $g$  and  $z$  luminosities as functions of age for several fixed values of  $[\text{Fe}/\text{H}]$ . The results, at an assumed uniform GC age of 13 Gyr, are illustrated in Figure 13, which plots the mass-to-light ratios  $\Upsilon_g$  and  $\Upsilon_z$  in solar units and the  $(g - z)$  color against  $[\text{Fe}/\text{H}]$ . Given the average  $(g - z)$  of the GCs in any of our galaxies (from Peng et al. 2006a), we interpolate on these PEGASE model curves to estimate average  $g$  and  $z$  mass-to-light ratios. Table 5 lists the mean GC color in each galaxy and the  $M/L$  values we have derived.

It is clear from Figure 13 and Table 5 that the  $z$ -band mass-to-light ratio varies by only a modest amount for most GCs in our samples: we generally have  $0.8 \lesssim \langle (g - z) \rangle \lesssim 1.2$  in these clus-

ter systems, and thus  $1.45 M_\odot L_\odot^{-1} \lesssim \Upsilon_z \lesssim 1.55 M_\odot L_\odot^{-1}$ . A  $z$ -band luminosity is therefore a very good proxy for total cluster mass. By contrast, over the same range of GC color or metallicity, the  $g$ -band mass-to-light ratio increases monotonically from  $\Upsilon_g \simeq 1.9$  to  $\simeq 2.7 M_\odot L_\odot^{-1}$ . Note that if any of our GCs were much younger than 13 Gyr, then the numerical values of these mass-to-light ratios would all be lower (by  $\sim 30\%$ – $40\%$  at an age of 8 Gyr, for example), but the basic constancy of  $\Upsilon_z$  and the systematic increase of  $\Upsilon_g$  for redder/more metal-rich GC systems would remain.

This has immediate implications for our plots of the GCLF turnover magnitudes in Figures 11 and 12 above. In particular, the GCs are systematically bluer, on average, in lower luminosity galaxies (e.g., Peng et al. 2006a; see also Table 5). Assuming that this reflects a correlation between average cluster metallicity and galaxy luminosity (rather than one between cluster age and  $M_{B,\text{gal}}$ ), the typical  $\Upsilon_g$  must be somewhat lower for GCs in faint galaxies than in bright galaxies, while  $\Upsilon_z$  is essentially the same. The fact that the Gaussian GC  $\mu_z$  scatters slightly faintward toward fainter  $M_{B,\text{gal}}$  should then reflect a modest downward scatter in the turnover *mass* scale. But in the  $g$  band, this would be balanced to at least some extent by the decrease in mass-to-light ratio, and  $\mu_g$  should stay more steady as a function of  $M_{B,\text{gal}}$ .

This interpretation of the situation is confirmed in Figure 14, where in the top panel we plot the Gaussian turnover masses, derived from the  $z$ - and  $g$ -band GCLF fits as just described, versus parent galaxy absolute magnitude. The average turnover magnitudes in equation (19) and the typical GC mass-to-light ratios in Table 5 together imply an average turnover mass of

$$\langle M_{\text{TO}} \rangle = (2.2 \pm 0.4) \times 10^5 M_\odot \quad (20)$$

for the brightest ACSVCS galaxies with  $M_{B,\text{gal}} < -18$  (here we have taken the absolute magnitude of the Sun to be 4.51 in

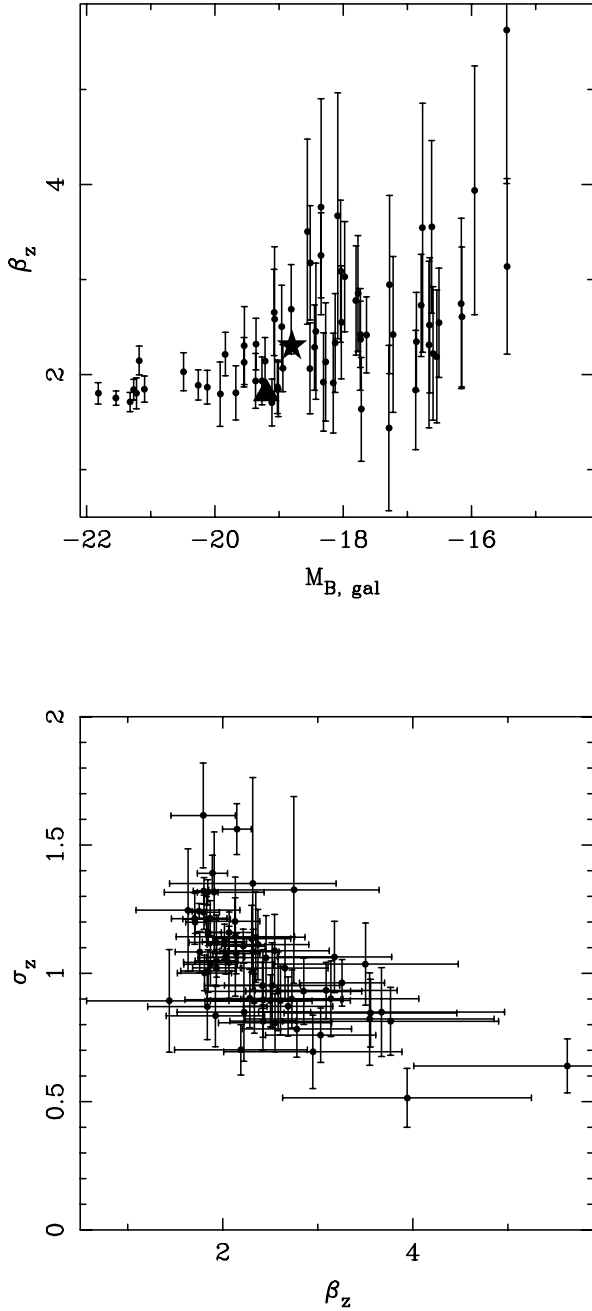


FIG. 10.—*Top*: Slope  $\beta_z$  of the power law that best fits the  $z$ -band GCLF data for GC masses  $3 \times 10^5 \lesssim (M/M_\odot) \lesssim 2 \times 10^6$ , against host galaxy absolute  $B$  magnitude. The star and triangle show  $\beta$ -values for the Milky Way and M31, respectively, measured in the same mass regime using the data from Harris (1996) and Reed et al. (1994) assuming a  $V$ -band mass-to-light ratio  $M/L_V = 2 M_\odot L_{V,\odot}^{-1}$ . *Bottom*: Correlation between this power-law index and the dispersion  $\sigma_z$  in a Gaussian representation of the GCLF. These graphs illustrate that the systematic “narrowing” of the GCLF for decreasing galaxy luminosity, as seen in Figs. 9 and 12, is a real phenomenon rather than an artifact of the Gaussian model: it shows up clearly as a steepening of the (largely complete and relatively contamination free) high-mass end of observed GCLFs. Corresponding plots for the  $g$ -band GCLFs are very similar to these.

the  $z$  band and 5.10 in  $g$ ). The consistency in most systems between the turnover masses estimated from the two bandpasses shows that, indeed, for  $M_{B,\text{gal}} \gtrsim -18$ , there is an overall tendency to find more GC systems with turnover masses somewhat below the average for giant ellipticals, by as much as a factor of 2 in some cases. It also implies that the dependence of GC  $\langle (g-z) \rangle$  on galaxy luminosity does primarily reflect met-

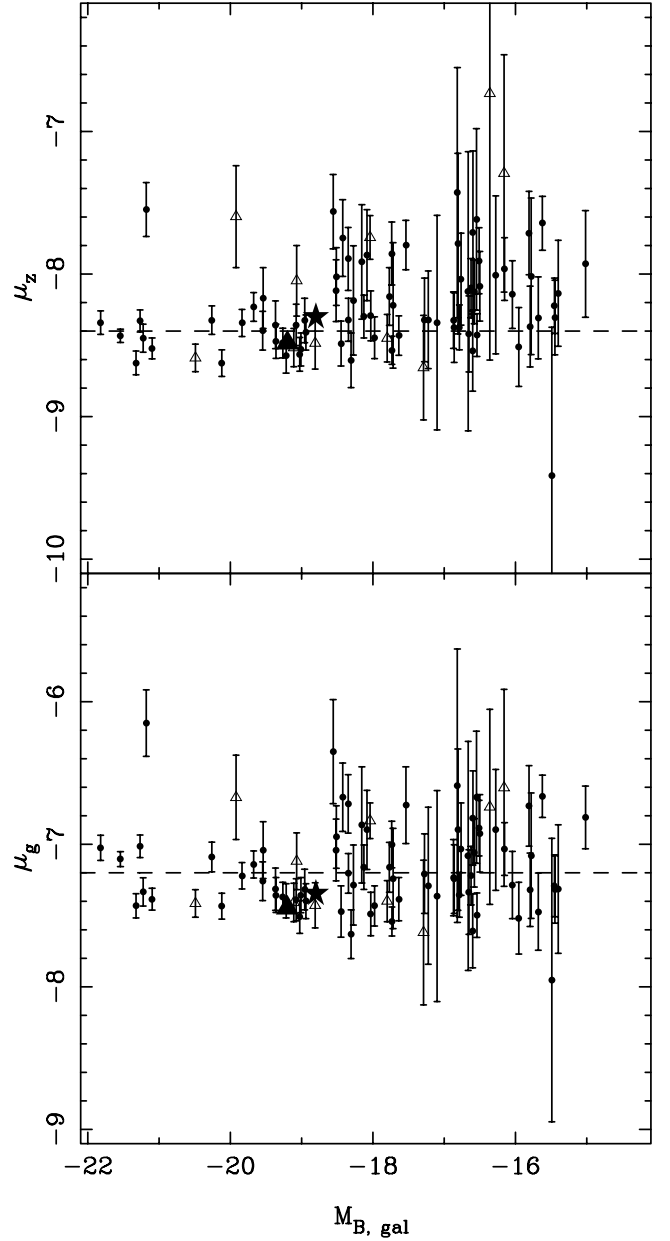


FIG. 11.—*Top*: Absolute magnitude  $\mu_z$  of the GCLF turnover, vs.  $M_{B,\text{gal}}$ , inferred from Gaussian fits to the  $z$ -band GCLFs. Filled symbols are galaxies for which we have available SBF distances, while open triangles represent galaxies for which we do not and for which we have assumed a distance modulus of  $(m - M)_0 = 31.1$ . The dashed line is at  $\mu_z = -8.4$ , the average for galaxies brighter than  $M_{B,\text{gal}} = -18$ . Fainter galaxies have turnover magnitudes that tend to scatter fainter than this. *Bottom*: Same comparison, but for the  $g$ -band magnitude of the GCLF turnover. Horizontal line is  $\mu_g = -7.2$ . In both panels the star shows values for the Milky Way and the triangle represents M31. The outliers at  $M_{B,\text{gal}} \simeq -21.2$  and  $M_{B,\text{gal}} \simeq -19.9$  in both panels are VCC 798 and VCC 2095, galaxies that have an excess of faint, diffuse star clusters (Peng et al. 2006b).

allicity variations, since if GCs had very similar metallicities but much younger ages in fainter galaxies, the  $z$ - and  $g$ -band estimates of  $M_{\text{TO}}$  would differ by as much as the fitted turnover magnitudes in § 6.1.1.

For completeness, in the bottom panel of Figure 14, we show the  $g$ - and  $z$ -band based estimates of the Gaussian dispersion of logarithmic GC masses. Since  $\sigma_M$  does not depend on the cluster mass-to-light ratio, but is just the magnitude dispersion divided by 2.5, this plot is completely equivalent to Figure 9. Thus, we have also drawn in equation (17) above, multiplied by 0.4.



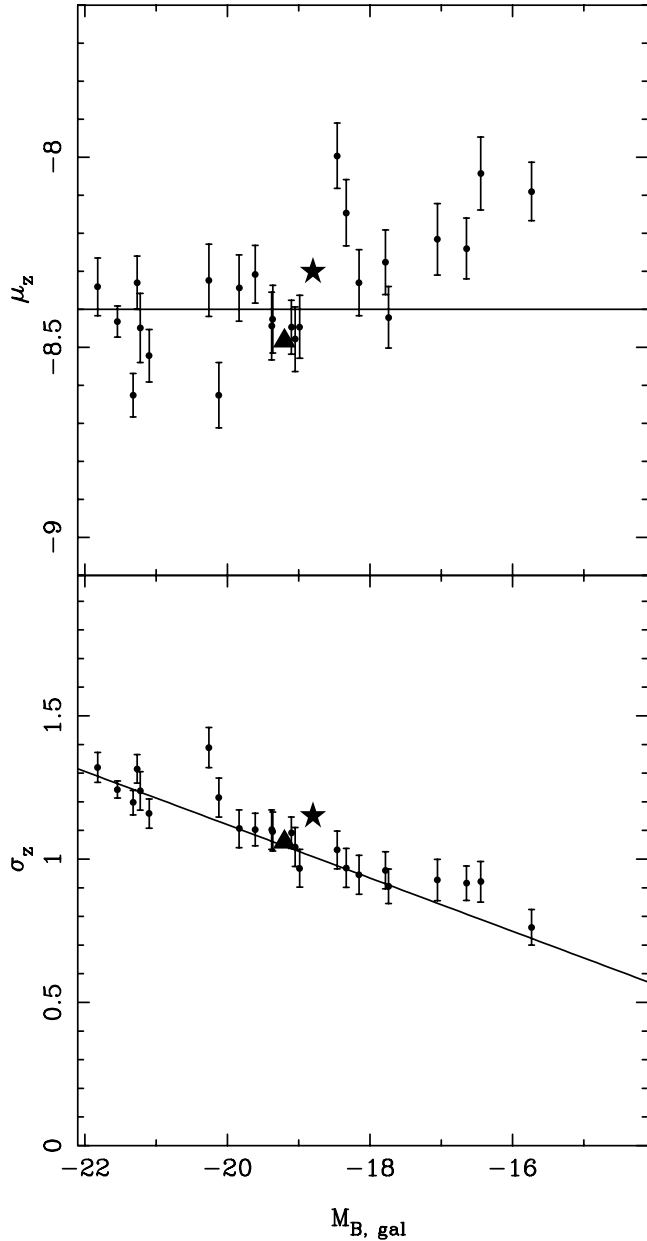


FIG. 12.—The  $z$ -band GCLF turnover magnitude (*top*) and dispersion (*bottom*) inferred from the Gaussian fits to the binned galaxy samples in Fig. 6. The horizontal line in the top panel is the same  $\mu_z = -8.4$  that characterizes the bright galaxies in the top panel of Fig. 11. The line in the bottom panel is the fit of eq. (17) to the Gaussian dispersions obtained from fitting all 89 of our galaxies individually (see Fig. 9). In both panels the star shows values for the Milky Way and the triangle represents M31. Note that VCC 798, the bright outlier galaxy in Figs. 9 and 11, has been excluded from our binned samples due to its excess of faint, diffuse star clusters.

An interesting corollary to all of this is that the reliability of the GCLF as a distance indicator would appear to be somewhat bandpass dependent, at least when applied to sub- $L_*$  galaxies with  $M_{B,\text{gal}} \gtrsim -19$ . We have just argued that the near universality of the turnover magnitude in the  $g$  band, and thus in the very closely related  $V$  band, is at some level the fortuitive consequence of quantitatively similar decreases in both the turnover mass and the typical GC mass-to-light ratio in smaller galaxies. At longer wavelengths, however, mass-to-light ratios are not so sensitive to GC metallicity, and variations in the turnover mass carry over more directly into variations in turnover magnitude. We will explore this issue in more detail in future work. How-

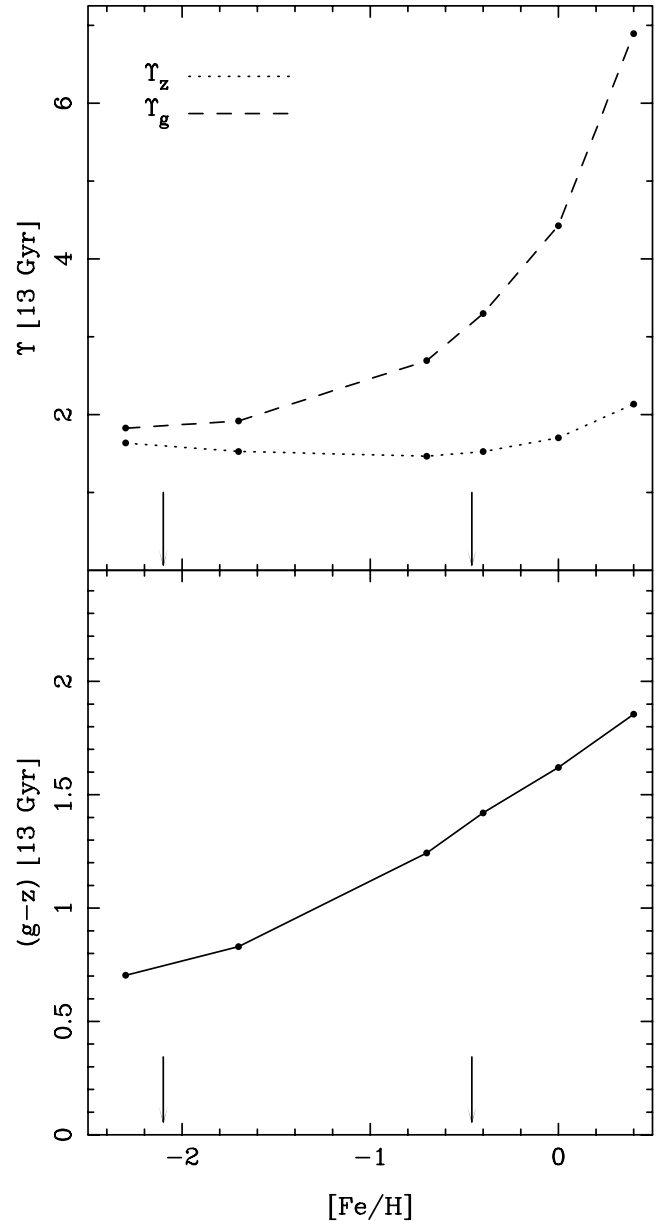


FIG. 13.—Predicted mass-to-light ratio  $\Upsilon$  (*top*) in solar units in the  $z$  (*dotted curve*) and  $g$  (*dashed curve*) bands, and  $(g-z)$  color (*bottom*), all as functions of metallicity for a 13 Gyr old simple stellar population according to the PEGASE population synthesis model (Fioc & Rocca-Volmerange 1997) assuming a Kennicutt (1983) stellar IMF. The arrows in both panels indicate the minimum and maximum average GC  $[\text{Fe}/\text{H}]$  in the ACSVCS galaxies, as inferred from their mean  $(g-z)$  colors (see Table 5).

ever, any such pragmatic concerns about the precision of the GCLF peak magnitude as a standard candle should not detract from the main point of physical interest here: although the differences in GCLF turnover mass that we find are real, they are nevertheless relatively modest. While the galaxies in the ACSVCS range over a factor of  $\simeq 400$  in luminosity,  $M_{\text{TO}}$  never falls more than  $\simeq 30\%$ – $40\%$  away from the (Gaussian) average of  $2.2 \times 10^5 M_{\odot}$  for the giant ellipticals.

In the left panel of Figure 15 we show the turnover masses derived from the Gaussian GCLF means for our binned galaxy GC samples. This again highlights the tendency to slightly less massive GCLF peaks, on average, in lower luminosity galaxies. In the right panel of this figure we also show  $M_{\text{TO}}$  as derived from our fits of an evolved Schechter function to the same GCLFs (see

TABLE 5  
AVERAGE GC COLORS AND MASS-TO-LIGHT RATIOS

VCC (1)	$\langle(g-z)\rangle$ (2)	$\Upsilon_z$ (3)	$\Upsilon_g$ (4)	VCC (1)	$\langle(g-z)\rangle$ (2)	$\Upsilon_z$ (3)	$\Upsilon_g$ (4)	VCC (1)	$\langle(g-z)\rangle$ (2)	$\Upsilon_z$ (3)	$\Upsilon_g$ (4)
1226.....	1.24	1.47	2.69	1242.....	1.11	1.49	2.44	1297.....	1.05	1.50	2.33
1316.....	1.23	1.47	2.67	784.....	1.14	1.48	2.50	1861.....	1.00	1.50	2.24
1978.....	1.25	1.47	2.72	1537.....	1.00	1.50	2.24	543.....	0.94	1.51	2.12
881.....	1.09	1.49	2.41	778.....	1.04	1.50	2.31	1431.....	1.00	1.50	2.24
798.....	1.14	1.48	2.50	1321.....	1.04	1.50	2.31	1528.....	0.95	1.51	2.14
763.....	1.11	1.49	2.44	828.....	1.00	1.50	2.24	1695.....	1.01	1.50	2.26
731.....	1.19	1.47	2.59	1250.....	0.98	1.51	2.20	1833.....	1.01	1.50	2.26
1535.....	1.18	1.48	2.57	1630.....	1.10	1.49	2.42	437.....	0.90	1.52	2.05
1903.....	1.18	1.48	2.57	1146.....	1.20	1.47	2.61	2019.....	0.90	1.52	2.05
1632.....	1.21	1.47	2.63	1025.....	0.97	1.51	2.18	200.....	0.82	1.54	1.91
1231.....	1.12	1.48	2.46	1303.....	0.94	1.51	2.12	571.....	0.92	1.52	2.09
2095.....	1.07	1.49	2.37	1913.....	1.02	1.50	2.27	21.....	0.88	1.52	2.01
1154.....	1.12	1.48	2.46	1327.....	1.06	1.49	2.35	1488.....	0.87	1.52	1.99
1062.....	1.14	1.48	2.50	1125.....	0.93	1.51	2.11	1499.....	0.93	1.51	2.11
2092.....	1.13	1.48	2.48	1475.....	0.94	1.51	2.12	1545.....	0.93	1.51	2.11
369.....	1.15	1.48	2.52	1178.....	1.06	1.49	2.35	1192.....	1.10	1.49	2.42
759.....	1.10	1.49	2.42	1283.....	1.03	1.50	2.29	1075.....	0.93	1.51	2.11
1692.....	1.08	1.49	2.39	1261.....	1.05	1.50	2.33	1440.....	0.98	1.51	2.20
1030.....	1.14	1.48	2.50	698.....	1.00	1.50	2.24	230.....	0.92	1.52	2.09
2000.....	1.05	1.50	2.33	1422.....	1.09	1.49	2.41	2050.....	0.89	1.52	2.03
685.....	1.07	1.49	2.37	2048.....	1.01	1.50	2.26	751.....	0.85	1.53	1.96
1664.....	1.18	1.48	2.57	1871.....	0.96	1.51	2.16	1828.....	0.88	1.52	2.01
654.....	0.99	1.50	2.22	9.....	1.01	1.50	2.26	1407.....	1.02	1.50	2.27
944.....	1.06	1.49	2.35	575.....	1.00	1.50	2.24	1886.....	0.80	1.55	1.90
1938.....	0.99	1.50	2.22	1910.....	1.06	1.49	2.35	1199.....	1.13	1.48	2.48
1279.....	1.04	1.50	2.31	1049.....	0.97	1.51	2.18	1539.....	0.97	1.51	2.18
1720.....	1.08	1.49	2.39	856.....	1.02	1.50	2.27	1185.....	0.92	1.52	2.09
355.....	1.09	1.49	2.41	140.....	1.00	1.50	2.24	1489.....	0.98	1.51	2.20
1619.....	1.06	1.49	2.35	1355.....	0.92	1.52	2.09	1661.....	0.95	1.51	2.14
1883.....	1.06	1.49	2.35	1087.....	0.94	1.51	2.12				

NOTES.— Col. (1): Galaxy VCC number. Col. (2): Mean GC  $(g-z)$  color (from data in Peng et al. 2006a). Cols. (3) and (4): Average GC mass-to-light ratio in the  $z$  and  $g$  bands, obtained as described in § 6.1.2.

eqs. [9] and [10]). The close similarity of the two graphs in Figure 15 is entirely in keeping with the slight average offset between the Gaussian and extended Schechter turnover magnitudes in Figure 8 above. It also illustrates that our main results are not overly dependent on the particular choice of model to fit the GCLFs.

Finally, in Figure 16 we show the GC mass scales  $M_c$  (the high-mass exponential cutoff) and  $\Delta$  (interpreted as the average mass lost per GC by evaporation) for our fits of evolved Schechter functions to the binned galaxy GCLFs, as inferred from their magnitude equivalents  $m_c$  and  $\delta$  in Table 3. The top panel of the figure first plots  $M_c$  versus  $M_{B,\text{gal}}$ , using filled symbols to represent fits to GC samples selected on the basis of our probabilities  $p_{\text{GC}}$  and open symbols for fits to samples defined only by cuts on magnitude and GC effective radius (see § 4.3). There is a clear, systematic decrease of  $M_c$  with decreasing galaxy luminosity. In terms of the structure of the mass function (eq. [7]), this corresponds to a steeper falloff in the frequency of GCs more massive than the turnover point. It is therefore equivalent to our findings in Figures 9 and 10 that the Gaussian  $\sigma$  is narrower, and the high-mass power-law  $\beta$  steeper, for the GCLFs in fainter galaxies. As we discuss in § 7, features such as this likely reflect the initial condition of the GC mass distribution. Thus, if GC systems were indeed born with Schechter-like mass functions, it would seem that the “truncation” mass scale  $M_c$  was higher in larger galaxies right from the point of cluster formation.

The graph of  $\Delta$  versus galaxy luminosity in the bottom panel of Figure 16 shows, first, that it is roughly comparable to (al-

though slightly larger than) the GCLF turnover mass in general. This is certainly not unexpected, given the characteristics of the model itself (see the discussion in § 3.2). In physical terms, however, if the model is taken at face value, the correspondence reflects the fundamental role that evaporation is assumed to play in defining any turnover point at all (see our discussion in § 3, and the more detailed exposition of Fall & Zhang 2001). Beyond this, our fits imply that there is a tendency for  $\Delta$  to increase as galaxy luminosity decreases, but this is not a particularly regular trend. All in all, there appears to be a fairly narrow range of GC mass loss,  $\Delta \approx (2-10) \times 10^5 M_\odot$ , required to account for our GCLF observations over a large range of galaxy luminosity.

Note that several of the faintest galaxy bins in Figure 16 have  $\Delta/M_c \approx 2$ , to be compared with  $\Delta/M_c \sim 0.1$  for the brightest systems. This reflects once again the systematic narrowing of the GCLF, due to the steepening of  $dN/dM$  for high cluster masses, in fainter galaxies.

In § 7 we further discuss the variations of  $M_{\text{TO}}$ ,  $M_c$ , and  $\Delta$  with galaxy luminosity and how they relate to questions of GC formation and dynamical evolution.

## 6.2. GCLF Turnovers in the Faintest Galaxies

In all of our galaxies there is evidence for the presence of a peak in the GCLF. Recently, van den Bergh (2006) claimed that the combined GCLF for a sample of local dwarf galaxies fainter than  $M_{V,\text{gal}} > -16$  does *not* show a turnover, but continues to increase to GC masses as low as  $\approx 10^4 M_\odot$ . These galaxy luminosities

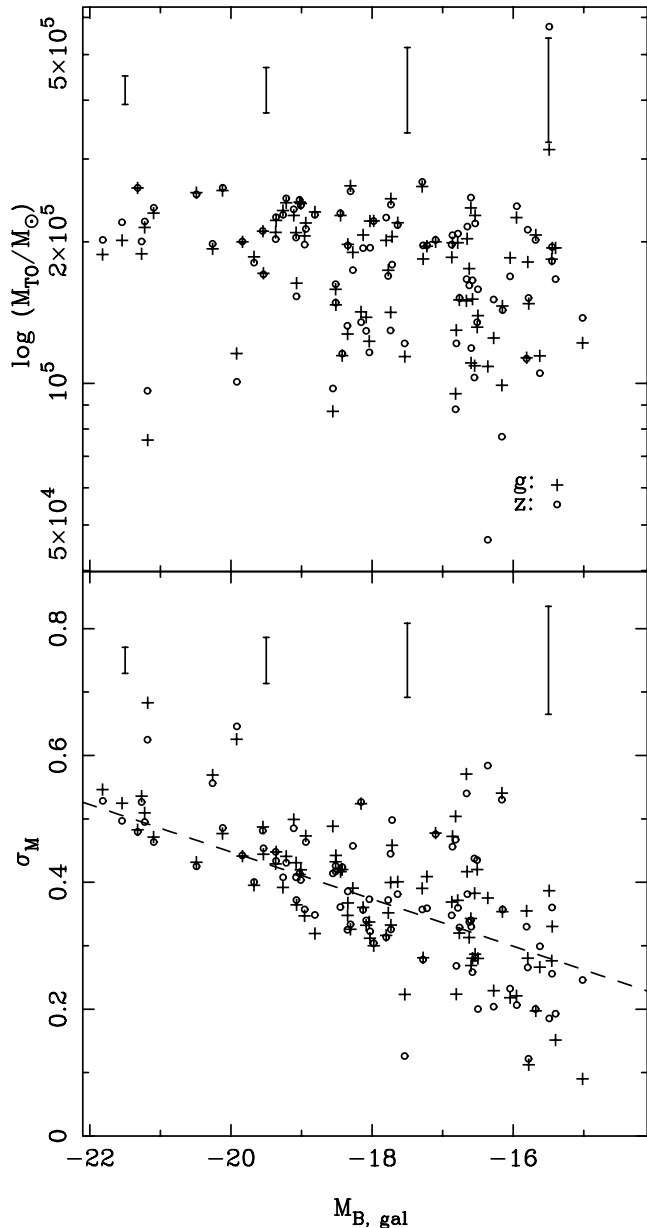


FIG. 14.—Turnover mass  $M_{\text{TO}}$  (top) and dispersion of logarithmic mass (bottom) implied by our Gaussian fits to the  $g$ - and  $z$ -band GCLFs of individual galaxies. The turnover masses are obtained from the magnitudes  $\mu_g$  and  $\mu_z$  by applying the PEGASE model mass-to-light ratios summarized in Fig. 13 and Table 5. The dispersion in logarithmic mass is  $\sigma_M = \sigma_g/2.5$  or  $\sigma_z/2.5$ . In both plots, results from the  $z$ -band data are represented by circles, and results from the  $g$  band by plus signs. In the upper part of both panels we show the typical behavior of error bars as a function of  $M_{B,\text{gal}}$ . The outlying points at  $M_B \simeq -21.2$  in both panels correspond to VCC 798, a galaxy that has a strong excess of faint, diffuse star clusters (Peng et al. 2006b).

translate to  $B$ -band  $M_{B,\text{gal}} \gtrsim -15.2$ , which is essentially the magnitude limit of our ACSVCS sample.

Even though we do not probe down to the galaxy luminosities where van den Bergh (2006) claims a drastically different GCLF behavior, it is nonetheless worth noting that the turnover mass in our faintest galaxies is still fairly close to the “canonical”  $M_{\text{TO}} \sim 2 \times 10^5 M_\odot$ . There is no hint of any systematics that would cause  $M_{\text{TO}}$  to fall to  $10^4 M_\odot$  or less in galaxies just 1 mag fainter than the smallest systems observed here (see, e.g., Fig. 14). It is thus likely relevant that the results of van den Bergh (2006) are based mostly on data from Sharina et al. (2005), who do not

account for any potential contamination in their lists of candidate GCs in the local dwarfs. Any GCLF derived from these data must therefore be regarded as quite uncertain, at the faint end especially. Spectroscopic confirmation of the Sharina et al. (2005) GC candidates is required.

### 6.3. Variations with Galactocentric Radius

To achieve a fuller understanding of the GCLF, and in particular the competing influences of cluster formation and dynamical evolution on it, we would like to know how it might vary in form as a function of position in its parent galaxy. It has long been understood that the turnover of the Milky Way GCLF is essentially invariant with galactocentric radius (e.g., Harris 2001), and multiple studies of the M87 GCLF have concluded that its overall shape is basically the same from the center of the galaxy out to several effective radii (McLaughlin et al. 1994; Harris et al. 1998; Kundu et al. 1999). Beyond this, however, little is known about the generic situation in most galaxies.

For the most part, our data are not well suited to address this question, due to the small field of view of the ACS. However, we are afforded serendipitously long baselines of galactocentric radius in M87 and M49, by the inclusion in the ACSVCS of a number of low-luminosity galaxies that are projected close to each of these large galaxies. We refer to these galaxies as “companions,” even though they might not be physically associated with their “hosts.” The majority of the GCs observed in the fields of these smaller systems belong to the giants. While each companion does have some GCs of its own, their numbers will be reduced to negligible levels, compared to the M87 or M49 globulars, outside some sufficiently large radius in the low-luminosity galaxy. Thus, we take our original GC samples for the companions present in the survey and consider only those cluster candidates that are found more than 6 effective radii from the companion centers.<sup>20</sup> Since the effective radii of the GC spatial distributions are generally  $\approx 2$  times larger than those of the underlying galaxy light (E. W. Peng et al. 2007, in preparation), this corresponds to excluding sources that are within about 3 GC system scale radii from the companion centers. This should effectively eliminate  $\approx 90\%$  of each companion’s native GCs, leaving us with fairly clean samples of extra M49 and M87 globulars, located tens of kiloparsecs away from the giant galaxy centers.

We restrict our analysis to companions that have more than 50 GC candidates left after this selection. These are VCC 1199 (companion to M49, projected  $4.5'$  away), VCC 1192 (M49,  $4.2'$ ), VCC 1297 (M87,  $7.3'$ ), and VCC 1327 (M87,  $7.5'$ ). Note that  $1' = 4.8$  kpc for an average distance of  $D = 16.5$  Mpc to Virgo.

In Figure 17 we show the luminosity functions and Gaussian fits for the resulting GC samples in the four fields neighboring M87 and M49. In Table 6 we list the best-fit parameters and the mean ( $g - z$ ) colors and mass-to-light ratios assumed to convert the results to mass. The results are summarized in Figure 18, where we show the GCLF turnovers and dispersions as a function of galactocentric distance in M87 and M49 separately. Evidently, none of the Gaussian GCLF parameters show significant ( $>3\sigma$ ) variation over the 20–35 kpc baselines probed. Fits of evolved Schechter functions to these GCLFs confirm that  $M_{\text{TO}}$  in

<sup>20</sup> Because the light profiles of the companion galaxies might have been affected by an interaction with their giant host (in the case they were physically associated), we use the median effective radius of all VCS galaxies with magnitudes within 0.5 mag of each companion galaxy, instead of their measured one (the effective radii of all ACSVCS galaxies have been measured by Ferrarese et al. 2006b).

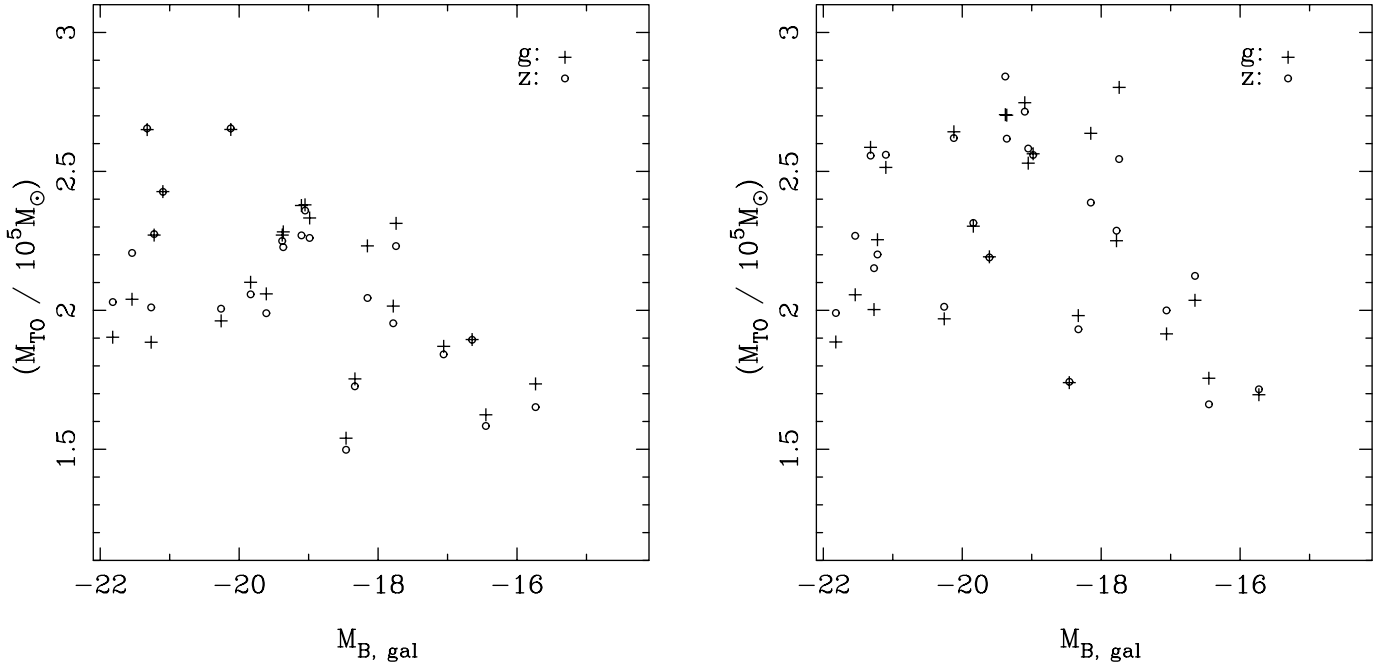


FIG. 15.—*Left*: GCLF turnover mass  $M_{\text{TO}}$ , inferred from the maximum likelihood estimate of Gaussian  $\mu$ , as a function of  $M_{B,\text{gal}}$  for binned galaxy samples.  $M_{\text{TO}}$  has been inferred from  $\mu$  using the PEGASE model, as summarized in Fig. 13 and Table 5. Plus signs indicate values of  $M_{\text{TO}}$  obtained from  $\mu_g$ , while circles are the values of  $M_{\text{TO}}$  obtained from  $\mu_z$ . *Right*: GCLF turnover mass  $M_{\text{TO}}$  vs. galaxy absolute magnitude, as inferred from the fits of evolved Schechter function to the binned galaxy samples (using eq. [9] and PEGASE model mass-to-light ratios). The trend of decreasing  $M_{\text{TO}}$  with decreasing galaxy mass is as in the left panel, showing again that the choice of functional form does not affect our results. Note that VCC 798, responsible for the outlying points at bright  $M_{B,\text{gal}} \simeq -21.2$  in Fig. 14, has been excluded from our binned samples due to its excess of faint, diffuse star clusters.

particular does not change. As we discuss further in § 7.1, this lack of any significant radial trend in  $M_{\text{TO}}$  with galactocentric distance is hard to reconcile with a picture in which the GCLF turnover is determined solely by dynamical effects (primarily evaporation) acting on a universal power-law-like initial cluster mass function evolving in a fixed, time-independent galaxy potential. (In fact, if it varies at all,  $M_{\text{TO}}$  may even get slightly more massive with increasing radius in Fig. 18. While we do not claim that any such trend is in fact detected here, it would be *opposite* to naive expectations.)

## 7. DISCUSSION

We have found interesting trends in three mass scales of physical interest in connection with GC luminosity functions.

The GCLF turnover or peak mass takes a value of  $M_{\text{TO}} = (2.2 \pm 0.4) \times 10^5 M_{\odot}$  in most bright galaxies but shows some downward scatter in dwarfs fainter than  $M_{B,\text{gal}} \gtrsim -18$ . In M87 and M49, the data are consistent with a more or less constant  $M_{\text{TO}}$  to projected galactocentric radii of 20–35 kpc.

The higher mass scale  $M_c$  in an evolved Schechter function, which marks the onset of an exponential cutoff in the number of clusters per unit mass, grows steadily *smaller* in fainter galaxies. This drives a systematic narrowing of the dispersion in more traditional Gaussian fits to the GCLF, or equivalently a steepening of pure power-law fits to the mass function  $dN/dM$  at cluster masses  $M \gtrsim M_{\text{TO}}$ .

The mass  $\Delta$  in the evolved Schechter function, which controls the shape of the low-mass end of the GC mass distribution and is instrumental in setting  $M_{\text{TO}}$ , varies by factors of a few, although not entirely monotonically, as a function of galaxy luminosity.

We now discuss these results in terms of their implications for GC formation and dynamical evolution. We begin by focusing on  $\Delta$  in the evolved Schechter function, which, in the context of Fall & Zhang’s (2001) dynamical theory for the GCLF, is meant

to measure the average amount of mass lost per GC in a galaxy, over a Hubble time of evolution. We then move on to  $M_c$  and  $M_{\text{TO}}$ , asking specifically to what extent the observed variations in these high-mass characteristics of the GCLF might be caused by dynamical friction rather than initial conditions.

### 7.1. Evaporation and the Low-Mass Side of the GCLF

The defining feature of the evolved Schechter function in equation (7), which we have found to fit the GC mass distributions of galaxies in the ACSVCS just as well as the traditional, but ad hoc, lognormal form, is the flat shape of  $dN/dM$  in the limit of low masses. This asymptotic flatness always follows naturally from a time-independent rate of cluster mass loss, regardless of the assumed initial form of  $dN/dM_0$  (Fall & Zhang 2001; see also § 3.2.2). The exact values of the average cumulative mass losses per GC for the galaxies in our sample are, however, more specific to the assumption that  $dN/dM_0 \propto M_0^{-2} \exp(-M_0/M_c)$ , a form chosen to match the observed mass functions of young massive clusters in local mergers and starbursts.

It is worth noting that, even though the average mass loss  $\Delta$  in an evolved Schechter function is key to setting the GCLF turnover mass,  $M_{\text{TO}}$  does not vary as much or as systematically as  $\Delta$  does in the ACSVCS sample (cf. Figs. 15 and 16). This is because the value of the upper mass cutoff  $M_c$  also influences  $M_{\text{TO}}$  (see § 3.2), and  $M_c$  varies in such a way as to largely counteract the variation of  $\Delta$ , keeping  $M_{\text{TO}}$  more steady as a function of  $M_{B,\text{gal}}$ .

Since  $M_{\text{TO}}$  is observed to be so nearly constant independently of any functional fitting, at least in large galaxies, this balance between variations in  $\Delta$  and  $M_c$  might be viewed simply as a necessary condition to make evolved Schechter functions match the data at all. But more interesting is that if the physical arguments behind the fitting function are close to correct, our results imply that the near universality of the GCLF turnover in bright galaxies

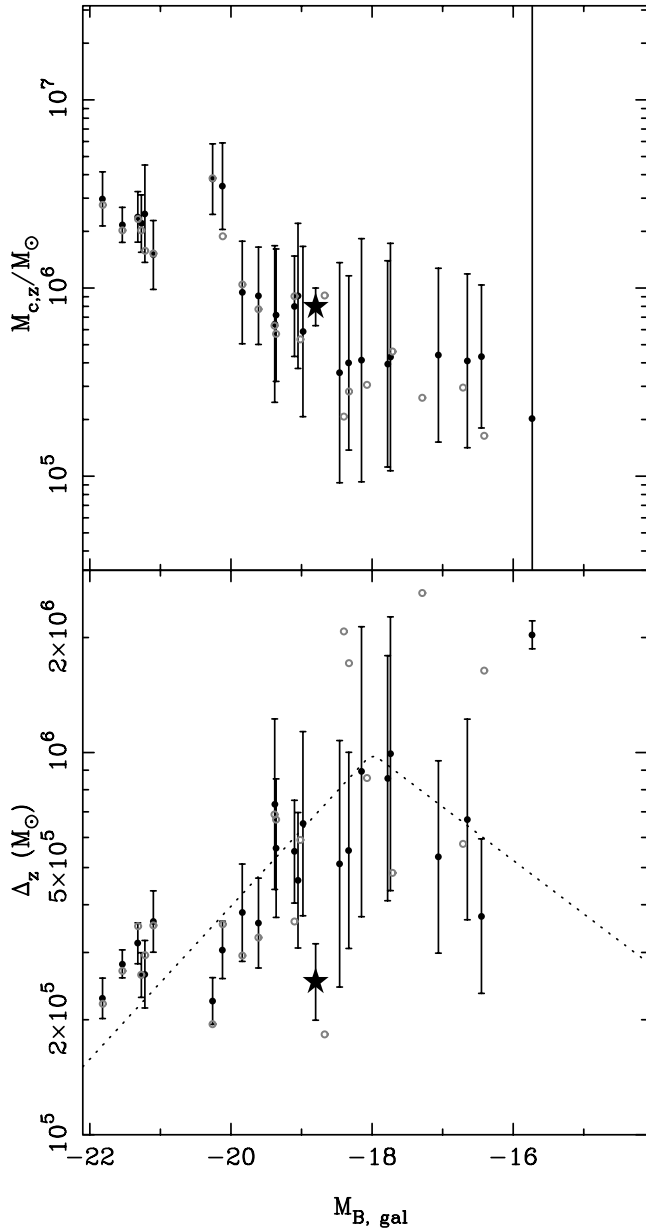


FIG. 16.—*Top*: Cutoff mass scale  $M_{c,z}$ , inferred from our fits of evolved Schechter functions to the  $z$ -band GCLFs of binned galaxy cluster samples, vs.  $M_{B,gal}$ . *Bottom*: Average mass loss per GC,  $\Delta_z$ , vs.  $M_{B,gal}$ , from fits of evolved Schechter functions to the  $z$ -band GCLFs of the binned galaxy samples. In both panels, filled symbols are for fits to GC samples defined by the criterion  $p_{GC} \geq 0.5$ , while open symbols are for samples constructed using only cuts in magnitude and half-light radius (see § 4.3). It is clear that selection effects can be safely ignored when investigating broad trends in  $M_c$  and  $\Delta$ . The stars in the two panels show the values of  $M_c$  and  $\Delta$  from fits to the Milky Way GCLF (eq. [14]). The dotted lines in the bottom panel show the rough expected scaling of  $\Delta$  vs.  $M_{B,gal}$  indicated by eqs. (25) and (26) in § 7.1. In order to show the scaling, we have arbitrarily assumed that  $\Delta = 2.5 \times 10^5 M_{\odot}$  at  $M_{B,gal} = -21$ .

( $M_{B,gal} \lesssim -18$ ) is in some sense a coincidence resulting from steeper initial  $dN/dM$  (with lower  $M_c$ ) in fainter systems being eroded by faster mass-loss rates (yielding larger  $\Delta$ ).

As we discussed in § 3.2, some amount of cluster mass loss may result from tidal shocks, but we expect that in general the largest part comes from two-body relaxation and evaporation, at a rate determined by the mean cluster density inside its half-mass radius:  $\mu_{ev} \propto \rho_h^{1/2}$ . This basic dependence holds independently of any host galaxy properties, so if the cluster evaporation rate

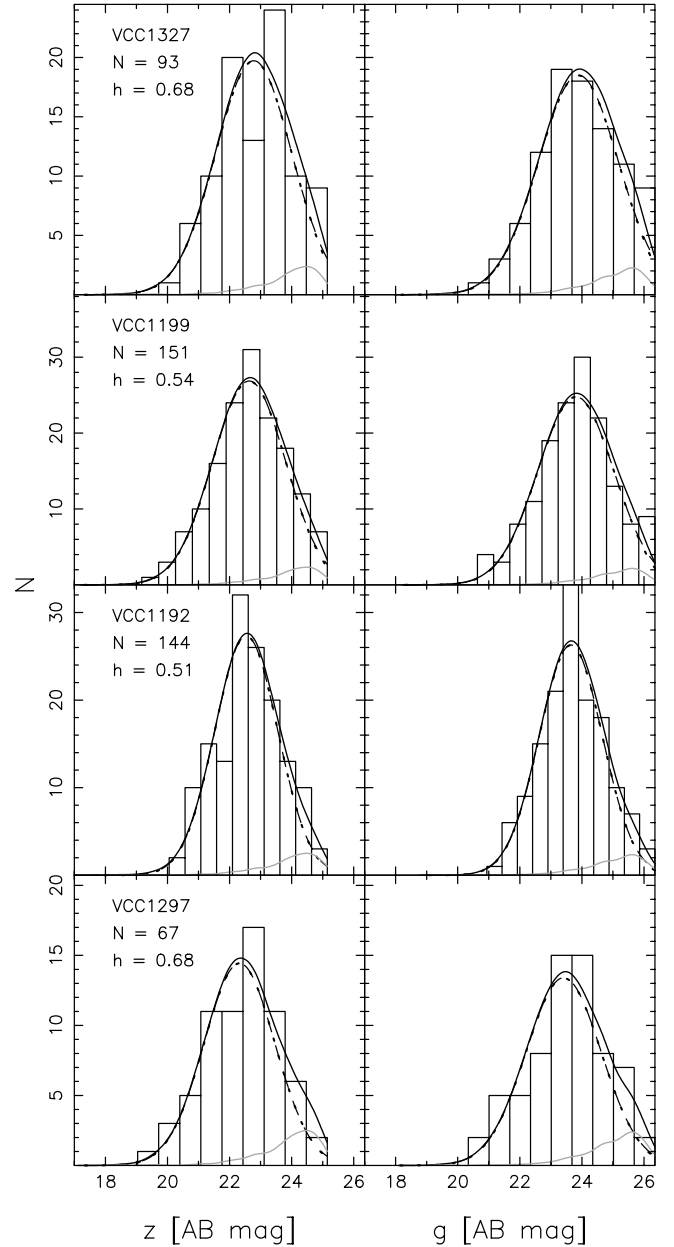


FIG. 17.—Histograms and Gaussian fits for the GCLFs of GCs in the field of four companions of M87 (=VCC 1316) and M49 (=VCC 1226) that lie farther away than  $6R_e$  from the companion galaxy, where  $R_e$  is the effective radius of the companion and is determined as described in the text. For each field we present the  $z$ - and  $g$ -band GCLFs side by side. The VCC name of the companion galaxy is indicated in the upper left corner of the left panel, where we also indicate the total number  $N$  of sources with  $p_{GC} \geq 0.5$  and the bin width  $h$  used when constructing the histograms. In each panel we show the best-fitting model (solid black curve), the intrinsic Gaussian component (dashed curve), the Gaussian component multiplied by the completeness fraction (dotted curve), and a kernel density estimate of the expected contamination in the sample (solid gray curve). The solid black curve is the sum of the solid gray and dotted curves. Details of the fits are given in Table 6. [See the electronic edition of the Supplement for a color version of this figure.]

varies systematically as a function of  $M_{B,gal}$ , it presumably reflects systematics in the typical  $\rho_h$  of the cluster systems. Then, if GCs are tidally limited, such that their average densities are determined by the galaxy density inside their orbits (e.g., King 1962), variations in their characteristic  $\rho_h$  should correspond in some way to variations in the host galaxy densities. The easiest way to quantify any such connection is to assume a spherical,

TABLE 6  
GAUSSIAN GCLF PARAMETERS FOR OUTER GCs OF M87/M49 COMPANIONS

VCC (1)	$\mu_g$ (2)	$\sigma_g$ (3)	$\mu_z$ (4)	$\sigma_z$ (5)	$\hat{B}$ (6)	$N$ (7)	Host (8)	$\langle(g-z)\rangle$ (9)	$\Upsilon_g$ (10)	$\Upsilon_z$ (11)
1327.....	$23.886 \pm 0.182$	$1.288 \pm 0.144$	$22.777 \pm 0.164$	$1.224 \pm 0.129$	0.070	93	VCC 1316	1.104	2.43	1.49
1199.....	$23.805 \pm 0.127$	$1.224 \pm 0.102$	$22.631 \pm 0.117$	$1.175 \pm 0.091$	0.053	151	VCC 226	1.100	2.42	1.49
1192.....	$23.643 \pm 0.102$	$1.013 \pm 0.080$	$22.540 \pm 0.099$	$1.011 \pm 0.076$	0.064	144	VCC 226	1.155	2.54	1.48
1297.....	$23.410 \pm 0.183$	$1.208 \pm 0.142$	$22.320 \pm 0.166$	$1.138 \pm 0.130$	0.103	67	VCC 316	1.090	2.40	1.49

NOTES.—All reported numbers refer *only* to those GC candidates that are more than  $6\langle R_e \rangle$  away from the centers of the galaxies indicated, where  $\langle R_e \rangle$  is the median effective radius of other VCS galaxies that have magnitudes within 0.5 mag of the target galaxy. Col. (1): Galaxy VCC number. Cols. (2) and (3): Maximum likelihood estimates of the Gaussian mean  $\mu$  and dispersion  $\sigma$  of the  $g$ -band GCLF. Cols. (4) and (5): Same as cols. (2) and (3), but for the  $z$  band. Col. (6): Fraction  $\hat{B}$  of the sample that is expected to be contaminated. Col. (7): Total number  $N$  of all objects with  $\rho_{GC} \geq 0.5$  (including contaminants and uncorrected for incompleteness). Col. (8): VCC number of giant elliptical galaxy close in projection. Col. (9): Mean GC  $(g-z)$  color. Cols. (10) and (11): Average GC mass-to-light ratio in the  $g$  and  $z$  bands, obtained as described in § 6.1.2.

time-independent galaxy potential with a simple analytical form. Thus, in their models of the Milky Way GC system, Fall & Zhang (2001) relate the  $\rho_h$  of individual clusters to their orbital pericenters  $r_p$  in a logarithmic potential with a fixed circular speed,  $V_c$ , so that  $\rho_h \propto \rho_{gal}(r_p) \propto V_c^2/r_p^2$ . We address the validity of these particular (strong) assumptions about the host galaxy below, but for the moment we follow Fall & Zhang (2001) and most other authors (e.g., Vesperini 2000, 2001; Baumgardt & Makino 2003) in making them. What do our fitted  $\Delta$ -values for the ACSVCS galaxies then imply for the distribution of GC densities and pericenters in these systems?

The evaporation rate of a cluster with observable, *projected* half-mass radius  $R_h$  depends on the density  $\rho_h \equiv 3M/(8\pi R_h^3)$  roughly as

$$\mu_{ev}(\text{theo}) \simeq 345 M_\odot \text{ Gyr}^{-1} \left( \frac{\rho_h}{M_\odot \text{ pc}^{-3}} \right)^{1/2}, \quad (21)$$

which again is independent of any assumptions on the host galaxy potential.<sup>21</sup> However, if  $\rho_h$  is taken to be set by a well-defined  $r_p$  in a steady state, singular isothermal sphere, then we also have (from eqs. [4] and [15] of Fall & Zhang 2001)

$$\mu_{ev}(\text{theo}) \simeq 2.9 \times 10^4 M_\odot \text{ Gyr}^{-1} \left( \frac{r_p}{\text{kpc}} \right)^{-1} \left( \frac{V_c}{220 \text{ km s}^{-1}} \right) \times \left[ 1 - \ln \left( \frac{r_p}{r_c} \right) \right]^{1/2}. \quad (22)$$

<sup>21</sup> Eq. (21) follows from  $\mu_{ev} = 0.045M/t_{th}$  (Hénon 1961; see also Fall & Zhang 2001), where the half-mass relaxation time  $t_{th}$  is given by eq. (8-72) of Binney & Tremaine (1987) with (1) an average stellar mass of  $0.7 M_\odot$  and a Coulomb logarithm  $\ln \Lambda = 12$  assumed constant in time, as in Fall & Zhang (2001), and (2) a generic proportionality,  $R_h \simeq 0.75r_h$ , between the projected half-mass radius  $R_h$  and its unprojected counterpart  $r_h$  (e.g., Spitzer 1987).

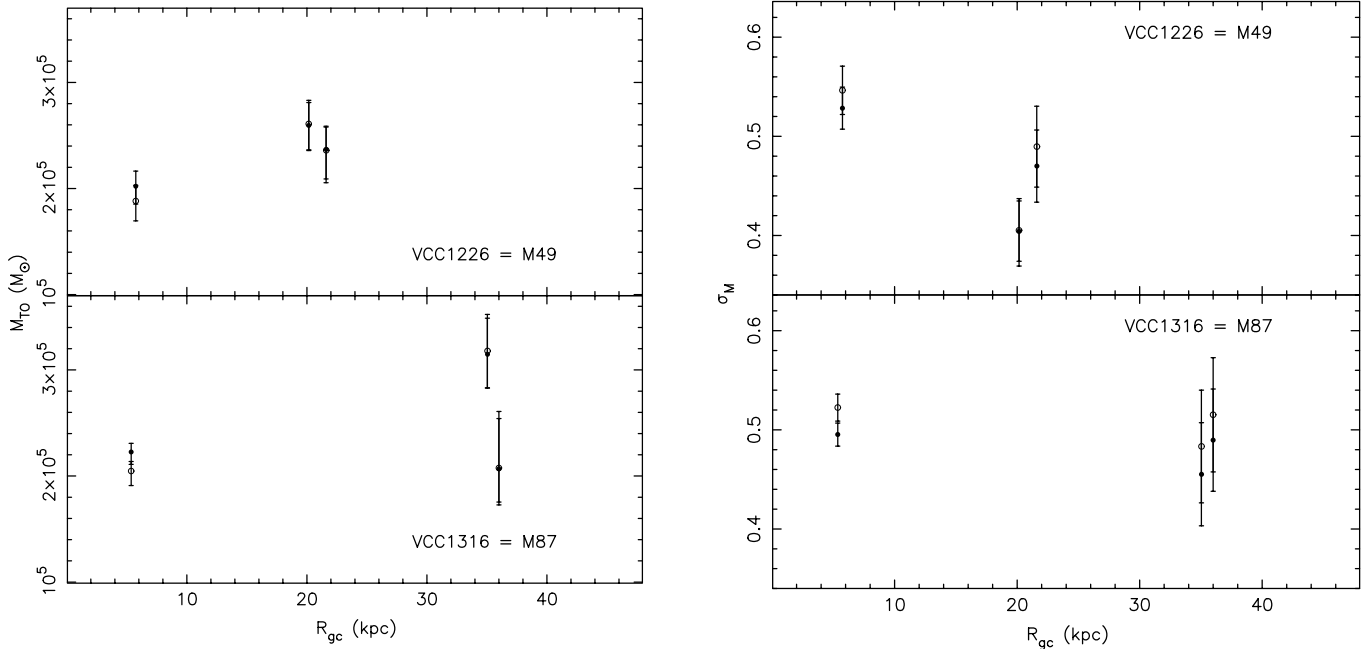


FIG. 18.—*Left*: GCLF turnover mass  $M_{TO}$  as a function of projected galactocentric distance  $R_{gc}$  in M49=VCC 1226 (*top*) and M87=VCC 1316 (*bottom*). Filled symbols refer to  $z$ -band measurements of  $M_{TO}$ , while open symbols refer to  $g$ -band ones. *Right*: Gaussian dispersion of logarithmic cluster masses,  $\sigma_M$ , as a function of projected galactocentric distance  $R_{gc}$  in M49=VCC 1226 (*top*) and M87=VCC 1316 (*bottom*). Filled symbols refer to  $z$ -band measurements, while open symbols refer to  $g$ -band ones. In the M49 panels, the leftmost pairs of points refer to the GCLF parameters derived from the central ACS pointings in the main body of the galaxy; the next pair out corresponds to the companion VCC 1192; and the rightmost pair corresponds to the companion VCC 1199. In the M87 panels, the leftmost pairs of points refer to the GCLF parameters derived from the central ACS pointings in the main body of the galaxy; the next pair out corresponds to the companion VCC 1297; and the rightmost pair corresponds to the companion VCC 1327.

In the last term on the right-hand side, which is derived by Innanen et al. (1983),  $r_c$  is the radius of a circular orbit with the same energy as an arbitrary orbit with  $r_p \leq r_c$ .

Now, for the Milky Way, recall from § 3.3 (eq. [14]) that we estimate

$$\Delta(\text{MW}) = (2.5 \pm 0.5) \times 10^5 M_\odot \quad (23)$$

from our fit of an evolved Schechter function to the GCLF. For a GC age of 13 Gyr, this implies a mass-loss rate (averaged over the distribution of cluster  $\rho_h$  or, given the assumptions behind eq. [22], over all cluster orbits) of

$$\langle \mu_{\text{ev}} \rangle (\text{fit}) = \frac{\Delta(\text{MW})}{13 \text{ Gyr}} = (1.9 \pm 0.4) \times 10^4 M_\odot \text{ Gyr}^{-1}. \quad (24)$$

Comparing equation (24) to equation (21) implies an average  $\langle \rho_h \rangle \simeq 3000 \pm 600 M_\odot \text{ pc}^{-3}$  for GCs in the Milky Way. This average falls toward the upper end of the range of cluster  $\rho_h$  observed today, but it is within a factor of  $\simeq 2$ – $3$  of the mean (e.g., see the data in Harris 1996). Equation (22) further suggests an average pericenter of  $\langle r_p \rangle \approx 2 \text{ kpc}$ . This is roughly the same answer found by Fall & Zhang (2001; see their Fig. 13), which shows that an evolved Schechter function is a reasonable analytical approximation to their full numerical theory. While such an  $\langle r_p \rangle$  is slightly small, just as  $\langle \rho_h \rangle$  is slightly high, compared to more direct pericenter estimates for Galactic globulars (cf. Innanen et al. 1983; van den Bergh 1995), it is again within the range of standard values.

It is not at all obvious a priori that average cluster densities and pericenters inferred strictly from fits to the Galactic GC mass function should agree to within factors of 2 or 3 with values estimated by independent methods. The fact that they do is an encouraging sign for the basic picture of evaporation-dominated GCLF evolution. Some residual corrections—downward in “predicted”  $\langle \rho_h \rangle$  and up in  $\langle r_p \rangle$ —are evidently required, but at a level that plausibly could come from straightforward refinements in the various steps leading to equations (21) and (22). For example, there is some room for adjustment of the exact theoretical coefficients for the evaporation rate  $\mu_{\text{ev}} \propto M/t_{\text{th}} \propto \rho_h^{1/2}$  and the pericenter  $r_p \propto \rho_h^{-1/2}$  (see, e.g., the discussions in Fall & Zhang 2001). In addition, we have neglected here any additional mass loss caused by tidal shocks, and we have adopted the idealization of a spherical and time-invariant Galactic potential.

To bring the ACSVCS data into this discussion, we focus on the basic pattern of variation in  $\Delta$  as a function of galaxy luminosity, shown in the bottom panel of Figure 16. First,  $\Delta$  increases slightly from the brightest  $M_{B,\text{gal}} \simeq -21.5$  to the fainter  $M_{B,\text{gal}} \simeq -18$ . The uncertainties and scatter in  $\Delta$  are large, but the mean increase is perhaps a factor of  $\approx 2$ – $5$ . Then, at fainter  $M_{B,\text{gal}} \gtrsim -18$ ,  $\Delta$  holds more constant or even decreases again, possibly by as much as a factor of  $\approx 2$ – $3$  by the limiting  $M_{B,\text{gal}} \simeq -16$  of the survey.

If evaporation is responsible for these variations, then we should expect them to be mirrored in the behavior of the average GC half-mass radius as a function of galaxy luminosity: from equation (21),  $\langle \mu_{\text{ev}} \rangle \propto \langle \rho_h \rangle^{1/2} \propto \langle R_h \rangle^{-3/2}$ , and by definition  $\Delta \propto \langle \mu_{\text{ev}} \rangle$  for coeval clusters. Globulars in Virgo are marginally resolved with the ACS, and Jordán et al. (2005) have fitted PSF-convolved King (1966) models to estimate intrinsic  $R_h$  values for individual sources (selected as described in § 2 of Jordán et al. 2005) in most of the galaxies that we have dealt with here. The behavior of mean  $\langle R_h \rangle$  versus  $M_{B,\text{gal}}$  is shown in Figure 5 of Jordán et al. (2005).

A detailed comparison of  $\langle R_h \rangle$  and  $\Delta$  is not straightforward, since these quantities were estimated separately for GC samples defined differently by Jordán et al. (2005) than in this paper. Nevertheless, it is interesting that  $\langle R_h \rangle$  can be described as decreasing toward brighter galaxy luminosity in the range  $-21.5 \lesssim M_{B,\text{gal}} \lesssim -18$ , where  $\Delta$  increases, and then turning around to increase somewhat at fainter  $M_{B,\text{gal}} \gtrsim -18$ , where  $\Delta$  decreases again. The changes in  $\langle R_h \rangle$  are, as we would expect, smaller and less clear than those in  $\Delta$ , but it is just plausible that there is a net decrease of  $\simeq 35\%$  from  $M_{B,\text{gal}} = -21.5$  to  $-18$  and a slightly larger increase from  $M_{B,\text{gal}} = -18$  to  $-16$ . This would be consistent with the shallowest trends able to fit  $\Delta$  versus  $M_{B,\text{gal}}$  in Figure 16.

We cannot use equation (22) to relate  $\Delta$  to typical GC pericenters and average galaxy densities on a case-by-case basis in the ACSVCS sample as in the Milky Way, since  $V_c$  observations are not available for all systems. However, scaling relations can be used to some effect here. Large early-type galaxies with  $M_{B,\text{gal}} \lesssim -18$  generally obey  $V_c = \sqrt{2}\sigma \propto L_{\text{gal}}^{0.25}$  (e.g., Faber & Jackson 1976),  $(M/L)_{\text{gal}} \propto L_{\text{gal}}^{0.2-0.3}$  at optical wavelengths (van der Marel 1991; Cappellari et al. 2006), and thus  $R_{\text{eff}} \propto L_{\text{gal}}^{0.7-0.8}$  by the virial theorem (see also Hasegan et al. 2005). Average mass densities therefore increase toward lower  $L_{\text{gal}}$ , such that equation (22) implies

$$\Delta \propto (R_{\text{eff}}/\langle r_p \rangle) R_{\text{eff}}^{-1} V_c \propto (R_{\text{eff}}/\langle r_p \rangle) L_{\text{gal}}^{-0.5 \pm 0.05} \quad (25)$$

for bright galaxies. The situation is somewhat different for fainter  $M_{B,\text{gal}} \gtrsim -18$ . For Coma Cluster galaxies in this regime, Matković & Guzmán (2005) find  $V_c = \sqrt{2}\sigma \propto L_{\text{gal}}^{0.5 \pm 0.1}$ , while the data in Graham & Guzmán (2003) suggest  $R_{\text{eff}} \propto L_{\text{gal}}^{0.1-0.2}$ . If these systems are representative of those in Virgo, then their average densities decrease toward lower  $L_{\text{gal}}$ , and equation (22) leads to

$$\Delta \propto (R_{\text{eff}}/\langle r_p \rangle) L_{\text{gal}}^{0.35 \pm 0.1} \quad (26)$$

for faint dwarfs.

The major unknown in equations (25) and (26) is the ratio of galaxy  $R_{\text{eff}}$  to GC  $\langle r_p \rangle$ , and how it might or might not vary systematically as a function of galaxy luminosity. If the ratio is constant for all systems, then the dotted lines drawn in the bottom panel of Figure 16 show the expected variation of the mass loss  $\Delta$  versus  $M_{B,\text{gal}}$ . These lines are normalized to make  $\Delta = 2.5 \times 10^5 M_\odot$  at  $M_{B,\text{gal}} = -21$  and to make the bright and faint galaxy scalings meet at  $M_{B,\text{gal}} = -18$ . The net increase of  $L_{\text{gal}}^{-0.5}$  from  $M_{B,\text{gal}} = -21.5$  to  $-18$  is a factor of about 5, while the decrease of  $L_{\text{gal}}^{0.35}$  from  $M_{B,\text{gal}} = -18$  to  $-16$  is a factor of approximately 2.

These changes may be somewhat greater than suggested by the actual fitted estimates of  $\Delta$ . Moreover, an increase of  $\Delta$  by a factor of 5 between  $M_{B,\text{gal}} = -21.5$  and  $-18$  would imply a decrease in  $\langle R_h \rangle$  by a factor of  $5^{2/3} \approx 3$ , which is larger than the measurements of Jordán et al. (2005) support. However, this is clearly not an order-of-magnitude problem. It could easily be alleviated if the galaxy total mass distributions are not isothermal spheres, or if  $R_{\text{eff}}/\langle r_p \rangle$  depends even weakly on galaxy luminosity, or if uncertainties and scatter in the galaxy scalings result in small deviations from the nominal exponents on  $L_{\text{gal}}$  in equations (25) and (26). Tidal shocks may also contribute differently to the net  $\Delta$  in different galaxies, a complication that we have entirely ignored. Again, then, it is encouraging that these crude relations come as close as they do to explaining the systematics in a cluster mass-loss parameter inferred only from the GCLF,

accounting in particular for the change in dependence of  $\Delta$  on galaxy luminosity around  $M_{B,\text{gal}} \simeq -18$ .

Obviously, more rigorous and detailed analyses of individual galaxies are required to really make (or break) the case in general that the overall form of an evolved Schechter function for the GC mass function, and the parameter  $\Delta$  especially, can be interpreted physically and self-consistently as the result of evolution from an initial GC  $dN/dM_0 \propto M_0^{-2}$  with individual cluster mass-loss rates that are constant in time. From our discussion here, it does seem that this “literal” view of the simple fits to the Milky Way and ACSVCS GCLFs is at least broadly compatible with observations of the cluster densities or radii in these galaxies and with the trends in  $\Delta$  versus  $L_{\text{gal}}$ , if evaporation is the main disruptive process for clusters as massive as  $M_{\text{TO}} \sim 2 \times 10^5 M_\odot$ .

Difficulties do arise, however, when considering the additional constraint that the GCLF is invariant over wide ranges of galactocentric radius and GC density in the Milky Way and other large galaxies. As described above, application of equation (22) to the global Galactic GCLF ultimately implies an average GC pericenter of  $\langle r_p \rangle \simeq 2$  kpc, corresponding to about half the effective radius of the bulge. Similarly, our normalization of equation (25) in Figure 16 implies  $\langle r_p \rangle < 0.5R_{\text{eff}}$  for the brightest early-type galaxies in Virgo. But observationally, the GCLF turnover  $M_{\text{TO}}$  (and thus  $\Delta$ ) has the same, global value for clusters currently found out to at least 10–15 effective radii in the Milky Way (e.g., Harris 2001) and at least  $\simeq 4R_{\text{eff}}$  in M87 and M49 (§ 6.3). This can only be consistent with evaporation-dominated depletion of an initially steep GC  $dN/dM_0 \propto M_0^{-2}$  at low masses, and with the additional assumption that the mass loss  $\Delta \propto r_p^{-1}$ , if cluster orbits are systematically much more elongated at larger galactocentric radius in all these systems.

In fact, for the Milky Way and M87, respectively, Fall & Zhang (2001) and Vesperini et al. (2003) have shown that following this chain of logic leads to the conclusion that globulars should *initially* have been on predominantly radial orbits outside about 1 effective radius in each galaxy. On the other hand, the *present* GC velocity distributions in the Galaxy, in M87, and in M49 are all essentially isotropic—implying orbits with typical axis ratios of only  $r_a/r_p \simeq 3$ —out to the same spatial scales of several  $R_{\text{eff}}$ , over which the observed GCLF is invariant (see, e.g., Dinescu et al. 1999; Côté et al. 2001, 2003). Fall & Zhang (2001) suggest that this difference between (presumed) initial and (observed) present orbital properties might be explained by preferential depletion of GCs on the most radial orbits. But while the idea remains to be tested in detail for the Milky Way, Vesperini et al. (2003) show that, again if the galaxy potential is spherical and time independent, it does not suffice to account quantitatively for the combined GCLF and kinematics data in M87.

Related to this is the average density,  $\langle \rho_h \rangle \simeq 3000 M_\odot \text{pc}^{-2}$ , implied by the more general equation (21) and the required total  $\Delta$  for Galactic globulars. A similar  $\langle \rho_h \rangle$  is also suggested for GCs in the brightest Virgo galaxies by the  $\Delta$ -values in Figure 16. As we mentioned above, such densities are observed for real clusters, but there is a broad distribution of  $\rho_h$ , with an average slightly lower than  $3000 M_\odot \text{pc}^{-2}$  and a long tail to much smaller values of  $< 100 M_\odot \text{pc}^{-2}$ . More generally, the GCs in most large galaxies have half-mass radii that are largely uncorrelated with cluster mass (e.g., van den Bergh et al. 1991; Jordán et al. 2005 and references therein), so that  $\rho_h$  apparently always ranges over more than 2 orders of magnitude. When  $\rho_h < 100 M_\odot \text{pc}^{-2}$ , the total evaporative mass loss per cluster over 13 Gyr is  $< 5 \times 10^4 M_\odot$ , well below the typical average  $\Delta$  and global  $M_{\text{TO}}$  for entire GC systems. In the Milky Way at least, the large majority of such low-density GCs are found at galacto-

centric distances  $r_{\text{gc}} \gtrsim 10$  kpc, so in a sense the problem is bound up with the weak radial variation of the GCLF.

These points are important, and they need to be resolved, but they should not be taken as disproof of the idea that long-term dynamical evolution alone might explain the difference between the mass functions of old GCs and young massive clusters. Ultimately, the near flatness of  $dN/dM$  at low masses, which is clearly seen in the Milky Way and is entirely consistent with all of our Virgo GCLFs, only demands that cluster masses decrease linearly in time if the dynamical evolution hypothesis is correct at all (see § 3.2 and Fall & Zhang 2001). It is not absolutely necessary that evaporation account for the full mass-loss rate of every cluster, even though our discussion here has focused on exploring this possibility (and shown that it does come remarkably close, to within factors of 2–3 for the most part). For example, globulars in the extreme low-density tails of  $\rho_h$  distributions, mentioned just above, might be much more strongly (and differently) affected by tidal shocks than any previous GCLF calculations have allowed. Such shock-dominated evolution could still lead to a constant mass-loss rate of its own (see § 3.2.2 and Dehnen et al. 2004), which would add directly to  $\mu_{\text{ev}}$  without otherwise changing any of the main arguments here.

In more specific terms, the radial invariance of the GCLF might ultimately be explained by modifying a single ancillary assumption in the current dynamical evolution models rather than discarding the idea altogether. It is the notion of spherical and steady state galaxy potentials that prompts Fall & Zhang (2001), Vesperini et al. (2003), and almost all other authors to use equations (21) and (22) to tie cluster densities to orbital pericenters in these analyses. But, as Fall & Zhang (2001) themselves point out, this is of course an extreme simplification for galaxies that grow through hierarchical merging.

Fall & Zhang (2001) suggest, for example, that a major merger could obviate the need for extremely radial orbits to distribute clusters with high mean densities, fixed at small and well-defined pericenters, over large volumes in a galaxy. Instead, a merger may efficiently mix two GC systems spatially and isotropize their velocity distribution. This could then work to weaken any radial gradients in the mass loss  $\Delta$  and the GCLF turnover mass, which might have resulted from realistic orbital distributions and  $\mu_{\text{ev}}(r_p)$  dependence like equation (22) in the progenitor galaxies.

In addition to this, multiple minor mergers, which are perhaps more relevant than major mergers for a galaxy like our own, should steadily bring in globulars formed with densities and evaporation rates unrelated, at least initially, to their new orbits in the main galaxy, making the use of equation (22) less than straightforward. In fact, any use of it at all could be questionable in this case, since all clusters would constantly be sampling new pericenters in an evolving potential. Again, then, weak spatial variations in  $\Delta$  and  $M_{\text{TO}}$  need not imply highly radial GC orbits. Prieto & Gnedin (2006) have recently simulated the evolution of the GCLF during the hierarchical growth of a Milky Way-sized galaxy. Starting from an initial cluster mass function  $dN/dM_0 \propto M_0^{-2}$ , which is reshaped primarily by evaporation, but abandoning equation (22) and instead adopting evaporation rates from GC densities fixed independently of their orbits, they find that it is possible (even without a recent major merger) to produce a final GC system with an isotropic velocity distribution and a radially invariant GCLF similar to the observed Galactic distribution.

A caveat is that the hierarchical growth simulations most favored by Prieto & Gnedin (2006) are ones in which they assume that all GCs have a common mean density inside  $R_h$  (just one that is not set by any orbital pericenter). This is still incompatible



with the wide range of  $\rho_h$  observed for the GCs in many galaxies, and it is furthermore not obvious how the cumulative mass loss  $\Delta \propto \langle \rho_h \rangle^{1/2}$  should then vary as a function of galaxy luminosity. On the other hand, Prieto & Gnedin (2006) have also run some models allowing for an initial spread of GC densities followed by evaporation at constant  $\rho_h$ . This is at least more reminiscent of real  $\rho_h$  distributions, and it still produces a GCLF that is not too drastically different from the Galactic one. Clearly, more work is required to clarify the dynamical evolution of initial power-law GC mass functions in time-dependent galaxy potentials, with the totality of relevant observational constraints taken into account: a flat  $dN/dM$  at low masses, a weak or absent correlation between GC radii and masses, radially invariant GCLFs, currently isotropic velocity distributions, and mass losses  $\Delta$  that vary with galaxy luminosity as in Figure 16.

Should all efforts along these lines fail to explain the combined data, the only option left would seem to be that a peak in the GCLF was established much earlier, by processes more related to cluster formation. One possible scenario has been proposed by Vesperini & Zepf (2003). They suggest that low-mass globulars were initially less concentrated (with a larger ratio of half-mass to tidal radius) than high-mass clusters. The inevitable expansion of all clusters following mass loss driven by stellar evolution would then cause many low-mass clusters preferentially to overflow their tidal radii, leading ultimately to fast disruption times of a few hundred Myr or less (Chernoff & Weinberg 1990). This may turn an initial power-law  $dN/dM_0$  at low masses into a roughly flat-topped or even lognormal distribution, with  $M_{\text{TO}}$  near its current value, very early on. Weaker long-term evaporation (i.e., lower cluster densities or larger and more variable pericenters) could then suffice to explain the residual difference between the initial, steep mass function and the final, observed one, even in a static galaxy potential.

Observations of the young massive clusters in the Antennae galaxies already imply that early disruption is *independent* of cluster mass, at least for clusters more massive than several  $10^4 M_\odot$  and younger than  $\simeq 10^8$  yr (Zhang & Fall 1999; Fall et al. 2005). Thus, if the disruption mechanism of Vesperini & Zepf (2003) is to work, the mass-selective aspect of it apparently must be restricted to timescales of  $10^8$ – $10^9$  yr or so. In any case, the success of this or any similar picture further relies on an appropriately tuned mass dependence in some key GC property being built into cluster systems essentially as an initial condition; but this still requires explanation in itself.

## 7.2. Dynamical Friction and the High-Mass Side of the GCLF

At GC mass scales  $M \gtrsim \Delta$ , dynamical friction can in some cases become more important than evaporation or shocks as a cluster destruction mechanism. A point mass  $M$  originally on a circular orbit of radius  $r$  in a galaxy with a total-mass distribution following a singular isothermal sphere will spiral in to the galaxy center within a time (Binney & Tremaine 1987)

$$\tau_{\text{df}} \simeq \frac{5.9 \text{ Gyr}}{(\ln \Lambda)/10} \left( \frac{r}{\text{kpc}} \right)^2 \left( \frac{V_c}{220 \text{ km s}^{-1}} \right) \left( \frac{10^6 M_\odot}{M} \right), \quad (27)$$

where  $V_c$  is the galaxy’s circular speed and  $\ln \Lambda \sim 10$  is the usual Coulomb logarithm.

It is clear from equation (27) that dynamical friction cannot be a major factor in deciding the evolution of all but the very most massive tip of the GCLF in  $\sim L_*$  and brighter galaxies with  $V_c \gtrsim 200 \text{ km s}^{-1}$ . However, the scaling  $\tau_{\text{df}} \propto V_c$  implies that the relevance of dynamical friction can increase significantly for

lower luminosity galaxies (e.g., Hernandez & Gilmore 1998; Lotz et al. 2001). It is then reasonable to ask whether a stronger depletion of massive GCs in dwarf galaxies might be able to explain the systematic decrease of  $M_c$  versus  $M_{B,\text{gal}}$  in our fits of evolved Schechter functions for these systems, and possibly even the slight decrease in average  $M_{\text{TO}}$  toward the faintest  $M_{B,\text{gal}}$ .

We do not attempt here to find a definitive answer to this question, but only an indication of the ability of dynamical friction to produce the observed trends. One particular subtlety is that the expression for  $\tau_{\text{df}}$  in equation (27) does not allow for clusters to evaporate. But a steadily decreasing cluster mass will lead to a longer total dynamical friction timescale. We deal with this complication in the simplest way possible: the timescale  $\tau_{\text{df}}$  for a cluster with initial mass  $M_0$  and present mass  $M = (M_0 - \Delta)$  is approximated by evaluating equation (27) at the *average* mass,  $(M + \Delta/2)$ .

Let us denote by  $\hat{\Psi}(M, t)$  the GC mass function that would be obtained after a time  $t$  of GC evolution in the absence of any dynamical friction. The effects of dynamical friction are easily accounted for by subtracting from  $\hat{\Psi}$  all clusters with instantaneous masses  $M$  such that

$$(M + \Delta/2) > M_{\text{min}}(r, t), \quad (28)$$

where  $M_{\text{min}}$  follows from equation (27) by setting  $\tau_{\text{df}} < t$ :

$$M_{\text{min}}(r, t) \simeq \frac{4.5 \times 10^5 M_\odot}{(\ln \Lambda)/10} \left( \frac{13 \text{ Gyr}}{t} \right) \left( \frac{r}{\text{kpc}} \right)^2 \left( \frac{V_c}{220 \text{ km s}^{-1}} \right). \quad (29)$$

The net, “global” GC mass function (averaged over all GC orbits, or galactocentric radii) at any time  $t$  is thus  $dN/dM = S(M, t)\hat{\Psi}(M, t)$ , where

$$S(M, t) = \frac{\int_0^\infty \rho_{\text{GC}}(r) H[M_{\text{min}}(r, t) - (M + \Delta/2)] 4\pi r^2 dr}{\int_0^\infty \rho_{\text{GC}}(r) 4\pi r^2 dr}. \quad (30)$$

Here  $\rho_{\text{GC}}(r)$  is the space density of GCs (assumed to be independent of cluster mass) and  $H$  is the Heaviside step function:  $H(x) \equiv 1$  for  $x > 0$  and  $H(x) \equiv 0$  for  $x < 0$ .

This raises further points to be dealt with in more careful calculations along these lines. First, dynamical friction will clearly affect also the spatial distribution of GCs, so that  $\rho_{\text{GC}}(r)$  will have a dependence on time, which we ignore. Second, the effects of dynamical friction could introduce some dependence on galactocentric position into the GC mass function, which in a complete treatment would be contrasted with observational limits on any such variations. Third, changing the assumed galaxy potential could significantly affect the derived  $\tau_{\text{df}}$  (e.g., Hernandez & Gilmore 1998; Read et al. 2006), as could relaxing the unrealistic assumption of strictly circular orbits (e.g., Pesce et al. 1992; van den Bosch et al. 1999). Finally, we do not take into account the fact that the ACS has a fixed field of view, and thus we are not always observing truly globally averaged GCLFs, although this point is relevant mainly for the most massive galaxies, where the effects of dynamical friction are expected to be negligible in any case.

These issues notwithstanding, we proceed to estimate the effects of dynamical friction by evaluating  $S(M, t)$  as written in equation (30). We assume that the “friction-free”  $\hat{\Psi}(M, t)$  at the present day is well described by the GCLF of bright ellipticals,

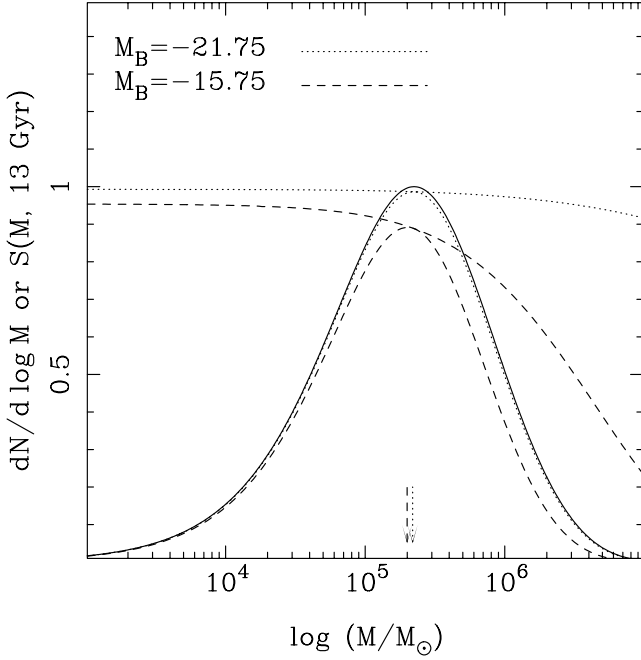


FIG. 19.—Simple model for the effects of dynamical friction on the GC mass function, illustrated in terms of the distribution  $dN/d \log M$ , which is directly proportional to the GCLF. The solid curve shows this version of an evolved Schechter function with  $M_c = 3.0 \times 10^6 M_\odot$  and  $\Delta = 2.6 \times 10^5 M_\odot$  (hence  $M_{\text{TO}} = 2.2 \times 10^5 M_\odot$ ), appropriate for a giant elliptical. This is assumed to be the mass function on which dynamical friction operates. The monotonically decreasing dotted curve shows the function  $S(M, t = 13 \text{ Gyr})$  (eq. [30]), calculated for a galaxy with  $M_{B,\text{gal}} = -21.75$  as described in the text. The dotted bell-shaped curve is the product of this  $S$  times the solid curve; it illustrates the cumulative effect of dynamical friction on the GCLF in very massive galaxies. The monotonically decreasing dashed curve shows the function  $S(M, t = 13 \text{ Gyr})$  as calculated for a galaxy with  $M_{B,\text{gal}} = -15.75$ . The dashed bell-shaped curve is the product of this  $S$  times the solid curve, illustrating the net effect of dynamical friction on the GCLF in very faint galaxies. The arrows indicate the position of the final turnover mass for each of the resulting mass functions. There is a slight decrease in  $M_{\text{TO}}$  for the low-luminosity galaxy as a consequence of dynamical friction, but not enough to account fully for the observed behavior in Fig. 15 or Fig. 12.

where dynamical friction is negligible, and is therefore given by equation (7) with  $\Delta = 2.6 \times 10^5 M_\odot$  and  $M_c = 3 \times 10^6 M_\odot$  (see Fig. 16 and Table 3). To obtain the final  $dN/dM$  including dynamical friction, we then multiply this by the function  $S(M, t \equiv 13 \text{ Gyr})$ . In doing so, we always take the slowly varying Coulomb logarithm in equation (29) to be  $\ln \Lambda = 10$ .

We assume that for giant galaxies with  $M_B < -18$  we have  $V_c \propto \sigma \propto L_{\text{gal}}^{0.25}$  (Faber & Jackson 1976), with a zero point chosen to give  $V_c = 484 \text{ km s}^{-1}$  at  $M_B = -21.75$ , based on the velocity dispersion of M87 (Bender et al. 1994). We impose a change in this scaling at  $M_B > -18$ , so that dwarfs follow  $V_c \propto \sigma \propto L_{\text{gal}}^{0.5 \pm 0.1}$  (Matković & Guzmán 2005; see also § 7.1). We can then find  $M_{\text{min}}(r, t)$  from equation (29) for any GC in any galaxy.

To specify the spatial distribution of GCs and calculate  $S(M, t = 13 \text{ Gyr})$ , we estimate the galaxy's effective radius  $R_{\text{eff}}$  using the data from Ferrarese et al. (2006b); then we assume that the effective radius of the GC system is just twice  $R_{\text{eff}}$  (E. W. Peng et al. 2007, in preparation). Finally, we assume that  $\rho_{\text{GC}}(r)$  is given by the density profile of Prugniel & Simien (1997; see also Terzić & Graham 2005), which is an analytical approximation to the deprojection of a Sersic profile ( $R^{1/n}$  law), and we let the Sersic index  $n$  be determined by  $M_{B,\text{gal}}$  as per equation (25) of Ferrarese et al. (2006b).

The results of the calculations for two representative galaxy magnitudes,  $M_{B,\text{gal}} = -21.75$  and  $-15.75$ , are illustrated in Fig-

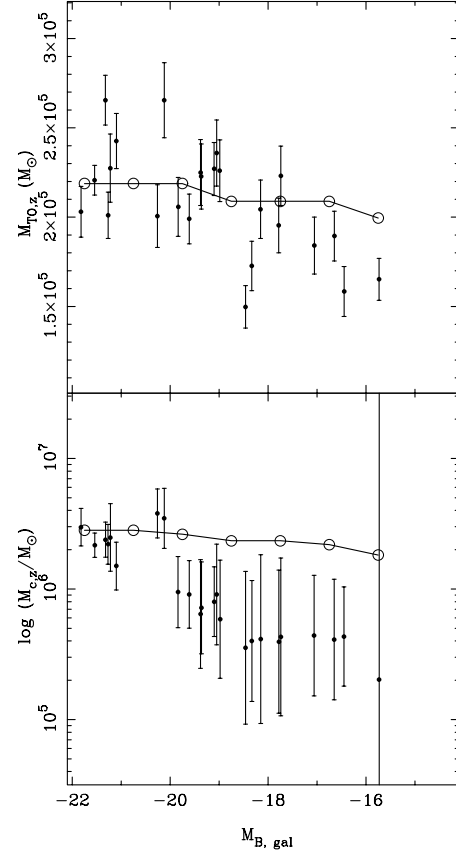


FIG. 20.—*Top*: GCLF turnover mass  $M_{\text{TO},z}$ , inferred from evolved Schechter fits to  $z$ -band data for the binned galaxy GC samples, vs.  $M_{B,\text{gal}}$  (data are the same as the circles in Fig. 15). The open symbols connected by a solid line are the predicted change in  $M_{\text{TO}}$  due to the increasing efficiency of dynamical friction in fainter galaxies; see text. Dynamical friction might account for part of the observed trend, but probably not all of it. *Bottom*: Evolved Schechter function cutoff mass  $M_{c,z}$  vs.  $M_{B,\text{gal}}$  for binned galaxy  $z$ -band samples. The open symbols connected by a solid line are again our predictions for the change in  $M_c$  due to stronger dynamical friction in smaller galaxies. Dynamical friction is not able to explain the observed behavior of  $M_c$  as a function of galaxy luminosity.

ure 19. The figure shows both  $S(M, t = 13 \text{ Gyr})$  (the monotonically decreasing curves) and the function  $dN/d \log M$  (proportional to the GCLF and given by the peaked curves) that follows from dynamical friction acting on the assumed evolved Schechter function. The resulting turnover mass scales are indicated with arrows, which show that the stronger dynamical friction in the fainter galaxy leads to a slightly lower turnover mass scale.

We show the behavior of  $M_{\text{TO}}$  as a function of  $M_{B,\text{gal}}$  in general in the top panel of Figure 20 (*circles connected by a solid line*) and contrast it with the observed variation in our binned galaxy GC samples (Fig. 15). The predicted  $M_{\text{TO}}$  varies quite slowly with  $M_{B,\text{gal}}$ , but it ultimately decreases by  $\sim 10\%$  from our assumed  $2.2 \times 10^5 M_\odot$  in the brightest galaxies. This is comparable to the observed decrease of  $\approx 30\%$  in  $M_{\text{TO}}$ . Thus, dynamical friction may be responsible for some part of the slow change in GCLF turnover mass with galaxy magnitude.

In the bottom panel of Figure 20 we show (*open circles connected by a solid line*) the  $M_c$  values inferred by fitting evolved Schechter functions to our model GC mass functions after calculating the effects of dynamical friction. Evidently, we can expect dynamical friction to cause perhaps a  $\sim 30\%$ – $40\%$  decrease in the value of  $M_c$  from the brightest to the faintest galaxies; but this is altogether too little to account for the factor of  $\approx 6$ – $7$  decrease we actually observe. Similarly, if we fit power laws to

our dynamical friction mass functions in the range  $3 \times 10^5 M_\odot \leq M \leq 2 \times 10^6 M_\odot$ , we obtain rather constant powers  $\beta \simeq 1.7\text{--}1.8$  for galaxy magnitudes  $-21.75 < M_{B,\text{gal}} < -15.75$ , which is far from being able to explain the observational situation in Figure 10 above. We conclude that *dynamical friction cannot account for more than a small fraction of the observed steepening of the GC mass function above the GCLF turnover*.

These results are essentially in agreement with those of Vesperini (2000), who models the effects of evaporation and dynamical friction on the GCLF and predicts only slight decreases in the mean ( $\log M$ ) and the Gaussian dispersion  $\sigma_M$  as galaxy luminosity decreases (see his Fig. 6), at levels much smaller than those seen in our data (e.g., Fig. 14). Thus, although we have emphasized the highly simplified nature of our calculations, it nevertheless appears that galaxy-to-galaxy systematics in the cluster formation processes, rather than dynamical evolution, must be largely responsible for the observed variation in the detailed form of the GCLF at high masses.

### 7.2.1. Initial Conditions

It seems inevitable from the discussion above that the observed steepening or narrowing of the GCLF above the turnover point  $M_{\text{TO}} \sim 2 \times 10^5 M_\odot$  in fainter galaxies—whether this is expressed in terms of smaller Schechter function mass scales  $M_c$  or steeper power-law indices  $\beta$  or narrower Gaussian dispersions  $\sigma_M$ —must reflect nonuniversal initial conditions in the cluster mass distribution and therefore some fundamental aspect of the star formation process.

Observationally, it is known that the luminosity of the brightest young star cluster in a star-forming galaxy scales with the global star formation rate (Billet et al. 2002; Larsen 2002). There has been some discussion as to whether this is just a size-of-sample effect (if more clusters are formed, it is statistically more likely to achieve higher masses by random sampling of an underlying mass distribution that might still be universal) or indicative of a real, physical limit to the initial cluster mass function (Larsen 2002; Weidner et al. 2004).

Gieles et al. (2006a, 2006b) argue that there is a physical upper limit,  $M_{\text{max}}$ , to cluster masses in each of NGC 6946, M51, and the Antennae galaxies (although for a differing view see Whitmore et al. 2007). The number of clusters found with  $M > M_{\text{max}}$  falls rapidly to zero in all three cases, but the value of the upper limit is found by Gieles et al. (2006a, 2006b) to vary between the galaxies, in the range  $M_{\text{max}} \simeq (4\text{--}10) \times 10^5 M_\odot$ . Qualitatively, a parameter like  $M_{\text{max}}$  can be identified with  $M_c$  in a Schechter function description of (initial) GC mass functions. Quantitatively, the range of  $M_{\text{max}}$  claimed by Gieles et al. (2006a, 2006b) for their young systems is very similar indeed to our fitted  $M_c$  values for the old GCs in early-type Virgo galaxies (see Fig. 16).

It will be interesting to explore this possible connection between globulars and young massive clusters in more detail. Possibly one route to take is suggested by the theory of the GCLF developed by Harris & Pudritz (1994), in which a distribution of cluster masses is built up by collisions between gaseous proto-clusters. McLaughlin & Pudritz (1996) suggest that the total time required to produce very high mass clusters may be longer for galaxies in lower density environments, and this could perhaps be related to our finding of a cutoff at lower  $M_c$  (in our current notation) for the initial GC mass functions at fainter  $M_{B,\text{gal}}$ . If these types of ideas can be generalized, then both our GCLF observations and the possible existence of an upper mass “limit” in young cluster systems could be reflecting a systematic varia-

tion in gasdynamical timescales as a function of galaxy mass and/or density.

In any case, the fact that dynamical friction is unable to account for the steepening of an initially universal mass function as the mass of the host galaxy decreases, combined with the possible existence in young, relatively unevolved cluster systems of a mass scale similar to  $M_c$  in our old GC systems, leads us to favor the view that a significant part of the observed morphology *at the highest mass ends* of GCLFs is due to systematics in the initial distributions. The precise extent to which this part of the initial GC mass function is still reflected in the present-day one is still something of an open question, the answer to which will be a crucial ingredient in our understanding of GC formation and evolution. A detailed understanding of the “microscopic” star formation processes on rather short timescales in very young clusters could well be key to making much further progress in this direction.

## 8. SUMMARY AND CONCLUSIONS

We have presented the GCLFs of 89 early-type galaxies in the Virgo Cluster and determined maximum likelihood estimates for model parameters using fits of Gaussians and a simple “evolved Schechter function” described in § 3.2. The latter reflects the effects of GC disruption (at a constant rate and presumably due mostly to two-body relaxation and evaporation) on an initial cluster mass distribution that followed a Schechter function with a fixed power-law index of  $-2$  at low masses. The evolved mass function tends to a flat shape at low  $M$  and is an accurate analytical approximation to the numerical distributions produced in the theory of Fall & Zhang (2001). We have tested the robustness of our results by simulations, by the construction of GCLFs for galaxies binned together to contain a minimum number of clusters, and by using alternate schemes to select GC candidates from catalogs of observed sources. Our main results and conclusions are the following:

1. We find a remarkably regular decrease of the dispersion of the GCLF as the luminosity of the host galaxy decreases (§ 6 and Jordán et al. 2006). Quantitatively, the maximum likelihood estimates of the dispersion  $\sigma$  of Gaussian fits to the  $z$ - and  $g$ -band data are well described by the linear relations presented in equations (17) and (18). The dispersions for the GCLFs of the Milky Way and M31 fall in the midst of our new data and thus the correlation of  $\sigma$  with  $M_{B,\text{gal}}$  would appear to be more fundamental than the older view, that GCLF widths depend on galaxy Hubble type.

This trend reflects a systematic steepening of the GC mass function for *massive* clusters in particular ( $M \gtrsim 3 \times 10^5 M_\odot$ , above the peak of the GCLF) as the host galaxy luminosity decreases. When fitting power-law mass functions to this upper cluster mass regime, the power-law exponents in a model of the form  $dN/dM \propto M^{-\beta}$  increase from  $\beta \lesssim 2$  to  $\beta \gtrsim 3$  over the range of galaxy masses in our sample. This steepening is in turn equivalent to a systematic decrease of the cutoff mass  $M_c$  in evolved Schechter function fits to the GCLFs, from  $M_c \simeq (2\text{--}3) \times 10^6 M_\odot$  in the brightest galaxies to  $M_c \simeq (3\text{--}4) \times 10^5 M_\odot$  in the faintest systems.

2. The GCLF turnover mass  $M_{\text{TO}}$  is slightly smaller in dwarf systems ( $M_B \gtrsim -18$ ), relative to the same quantity in more massive galaxies. In the latter we have  $M_{\text{TO}} = (2.2 \pm 0.4) \times 10^5 M_\odot$ , decreasing to  $M_{\text{TO}} \simeq (1.6\text{--}1.7) \times 10^5 M_\odot$  on average for the faintest galaxies in our sample, although individual dwarfs scatter between  $1 \times 10^5 M_\odot \lesssim M_{\text{TO}} \lesssim 2 \times 10^5 M_\odot$  (§ 6). We show

that this might be at least partly accounted for by the effects of dynamical friction if all other processes shaping the mass function were to lead to an invariant  $M_{\text{TO}}$  (§ 7.2).

3. We explored radial variations of the GCLF over baselines of 20–35 kpc in M87 (VCC 1316) and M49 (VCC 1226) by studying GCs in the fields of dwarf galaxies close in projection to these giant ellipticals (§ 6.3). We find no evidence for a variation of the turnover mass  $M_{\text{TO}}$  with galactocentric distance in either galaxy, consistent with previous studies of M87 in particular. This reinforces the importance of the radial invariance of GCLFs as a constraint on models of GCLF formation and dynamical evolution.

4. Our success in fitting evolved Schechter functions to our data (§ 5.2) means that the GC mass functions in early-type Virgo galaxies are consistent with a universally flat shape,  $dN/dM \sim \text{constant}$ , in the limit of low masses, as is also found in the Milky Way (§ 3.3 and Fall & Zhang 2001). If this feature is caused by dynamical evolution from a much steeper initial distribution, it requires that cluster masses decrease linearly in time. This can plausibly be expected if evaporation dominates the cluster evolution, although tidal shocks may also lead to similar behavior.

5. Fits of the evolved Schechter function imply that a narrow range of average mass losses per GC,  $\Delta \approx (2-10) \times 10^5 M_{\odot}$ , is required in all galaxies to account for our observed GCLFs. Such a range of  $\Delta$  across a factor of  $\approx 400$  in galaxy luminosity is in rough agreement with observed (small) variations in the mean half-mass radii of GCs in the ACSVCS galaxies (Jordán et al. 2005), and with simple scalings of evaporation rate as a function of host galaxy luminosity (§ 7.1). However, more work is required to reconcile fully the main idea—that long-term dynamical evolution alone transformed initial Schechter cluster mass functions into the presently observed distributions—with the weak radial variation of GCLFs inside large galaxies and with observations of the orbital distributions and range of mean cluster densities in the same systems.

6. The clear decrease of the GC cutoff mass  $M_c$  with galaxy luminosity in evolved Schechter function descriptions of the GCLF (§ 5.2) is too pronounced to be explained by dynamical friction operating on a universal  $dN/dM$  with an initially constant  $M_c$  in all galaxies (§ 7.2). It most likely reflects systematic variations at the high-mass end of the initial GC mass function.

The present-day mass functions of GCs were likely shaped by a variety of processes acting on different timescales, including systematic variations in the initial (proto)cluster mass function at the high-mass end; long-term dynamical erosion by evaporation, tidal shocks, and dynamical friction; and global relaxation

effects in time-varying galaxy potentials (hierarchical merging). It is further possible, although not yet entirely clear, that mass-selective early dissolution of clusters due to stellar evolution may have played some role in defining the observed mass distributions. Future attempts to understand the whole of the GCLF will clearly have to consider all of these processes, and their inevitable interplay, in quite some detail. Such comprehensive modeling will also have to acknowledge the increasingly complex and stringent empirical constraints that follow from combining direct GCLF observations with other GC systematics, such as their structural correlations and the dynamics of cluster systems, for which data are continually accumulating and improving in quality.

*Note added in manuscript.*—In a recent paper, McLaughlin & Fall (2007) show that the mass function of globular clusters in the Milky Way is in fact a function of the GC half-mass density  $\rho_h$ :  $\Delta$  and  $M_{\text{TO}}$  are higher for Galactic globulars with higher  $\rho_h$ , by amounts quantitatively consistent with a cluster mass-loss rate scaling as  $\mu_{\text{ev}} \propto \rho_h^{1/2}$ , as we have also adopted in this paper. McLaughlin & Fall (2007) also show that the weak variation of  $dN/dM$  with galactocentric position  $r_{\text{gc}}$  in the Milky Way follows from the dependence of the mass function on  $\rho_h$ , combined with an ambiguous and scattered correlation between observed GC  $\rho_h$  and  $r_{\text{gc}}$ . These results allay criticisms and caveats of the type raised by Vesperini et al. (2003), and reviewed in § 7.1 here, against models like that of Fall & Zhang (2001), in which the current turnover and faint-side shape of the GCLF is primarily the result of dynamical evolution dominated by two-body relaxation and evaporation.

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